

GRIP Channels

User's Manual



Version 1.0

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1 Introduction

GRIP Web Channels is an integrated internet banking application consisting of two separate applications. These two applications are required to be installed separately on the server.

- q Sysadmin: This application is for the system administrator only. System Administrator can create and manage the user & role profiles of the users who would be accessing the Channels application.
- q Channels: This Application is offered to extend existing Settlement Instruction Details, Corporate Action Event Details view, Payment Instruction Details, Cash and Security Movements, Trade Initiation, Payment Initiation and Response Initiation services to clients over the internet.

The functionalities that are covered under the current scope are as mentioned below:-

Enquiry

- q Asset Valuation
- q Holding
- q Trade
- q Broker Allegement
- q Payment
- q Cash Balance
- q Corporate Action Enquiry
- q Securities Movement

Instruction Initiation

- q Trade
- q Payment
- q Response

Reporting/Download capabilities

User/ System Administration

2 Common Features

The features that are common across Web Channels are explained in this section. For example, there is information on how to search a particular record or a set of records. You will also find information on how to hide/unhide and reorder columns in a list or how to search with the help of hour glass. This section also gives a description of icons that are common across the screens such as Export to Excel and PDF.

2.1 Searching for a Record

The functionality is explained by taking Corporate Action Enquiry screen as an example. To view the list of records on Corporate Actions Screen which is in Enquiry tab of Channels, a search criterion needs to be specified to display the relevant records defined in the system

The process of searching for a record is segregated on the basis of commonly used fields for search i.e. into Basic Search and Advance Search. By default any one pair of date is mandatory for search unless user specifies the Event Reference or the Securities Identifier in any of the search criteria (i.e. Basic or Advanced Search criteria) and with a Holding Indicator defaultely populated as "With" .

The possible values of Holding Indicator are:

- q **With** - Lists all those events on which client has position (for an account).
- q **Without** - Lists all those events on which client does not hold position (for an account).
- q **All** - Lists all events irrespective of whether the client has position or not (for an account).

2.1.1 Basic Search - Field Reference

Market	This is a Drop-down listing all the Markets available in Bancs. It will be Optional field for the search
Holding Indicator	This is a Drop-down with values as "WITH", "WITHOUT" and "ALL" so that Client can search for those CA events where holdings is present. The Default value will be "WITH".
Event Classification	This is a Drop-down with possible values as "Mandatory", "Mandatory with Choice" and "Voluntary".
Event Reference	It is a 16 characters unique identifier for the corporate action Id.
Event Type	It is a drop down and shows external event Types as per Swift Qualifiers.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme
Announcement Date: From/To	<p>It is possible for client to specify the Announcement Date range to search specific corporate actions.</p> <p>On default page load, Announcement Date From will be populated as = Today - 30 calendar days where as Announcement Date To will be populated as current business date</p>

Ex Date: From/To		It is possible for client to specify the Ex Date range to search specific corporate actions.
Record From/To	Date:	It is possible for client to specify the Record Date range to search specific corporate actions.
Market Date: From/To	Deadline	It is possible for client to specify the Market Deadline Date range to search specific corporate actions.

2.1.2 Basic Search for a Record

Basic Search enables users to search for the relevant records using minimum set of attributes which appear by default on the Corporate Actions Screen for example - Announcement Date From / Announcement Date To, Market, Event Classification etc.

The user must specify the mandatory attributes (fields marked with *) to perform the search.

The default screen shows Basic Search criterion's

The basic search process is explained below by taking the following scenario into account.

Scenario

You want to search for Corporate Actions which satisfies the following conditions:

- q Event Classification = All
- q Event Type = All
- q Security Identifier = GB1T22929874

To search for a record, do the following:

1. Log on to the Web Channel's Application.
2. Click on Enquiry Tab
3. Navigate to CA/Proxy Sub Menu. The Corporate Actions screen opens.
4. The screen displays some attributes which are part of Basic Search Criterion's
5. To specify the search criteria, do the following:
 - a. Select the Event Classification as 'All
 - b. Select the Event Type as 'All
 - c. Select the Security Identifier eg. as 'GB1T22929874'
6. Click on the Search button. The relevant records for the specified search criteria are displayed in the list.

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You are logged in as TCS-CUSTODYUSR @ 27 Oct 2010 | Logout

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement CA / Proxy Payment Cash Balance Sec Movement

Corporate Action View

Securities Identifier Scheme ISIN

Templates

Market: All
 Event Classification: All
 Event Type: All
 Announcement Date From:
 Ex Date From:
 Record Date From:
 Market Deadline From:
 Advance Search

Holding Indicator*: With
 Event Reference:
 Securities Identifier: GB1T22929874
 Announcement Date To:
 Ex Date To:
 Record Date To:
 Market Deadline To:
 Search Reset

Results Page: 1 Reorder

Event Reference	Securities Name	Securities Identifier	Event Type	Event Classification	Announcement Date	Event Status	Market
2009049504	K-REIT ASIA	GB1T22929874	CALL ON INTERMEDIATE SECURITIES	VOLUNTARY	01/10/2009	COMPLETE	UNITED KINGDOM
2009044014	K-REIT ASIA	GB1T22929874	DIVIDEND OPTION	MANDATORY WITH CHOICE	21/07/2009	PRELIM CONFIRMED	UNITED KINGDOM
2009044016	K-REIT ASIA	GB1T22929874	CASH DIVIDEND	MANDATORY	21/07/2009	COMPLETE	UNITED KINGDOM
2009044017	K-REIT ASIA	GB1T22929874	ANNUAL GENERAL MEETING	VOLUNTARY	25/03/2010	PRELIM CONFIRMED	UNITED KINGDOM

Eligibility Entitlements Response Bookings

Note: You can reset these specified search parameters and assign new values to them thus specifying new search criteria using Reset button.

2.1.3 Advance Search - Field Reference

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Home Enquiry File Transfer Trade Initiation Response Initiation Payment Initiation Help

View New Calendar Authorise Cancel Audit

Corporate Action View

Securities Identifier Scheme ISIN

Templates

Market: Select
 Event Classification: All
 Event Type: All
 Event Status: All
 Announcement Date From:
 Ex Date From:
 Record Date From:
 Market Deadline From:
 Response Deadline From: 27/10/2010
 Effective Date From:
 Maturity Date From:
 Payment Date From:
 Meeting Date From:
 Basic Search

Holding Indicator*: Without
 Event Reference:
 Securities Identifier:
 Official Reference Number:
 Announcement Date To:
 Ex Date To:
 Record Date To:
 Market Deadline To:
 Response Deadline To: 02/11/2010
 Effective Date To:
 Maturity Date To:
 Payment Date To:
 Meeting Date To:
 Search Reset

Results Page: 1 Reorder

SLA	Response Deadline	Event Reference	Event Type	Securities Name	Securities Identifier	Total Instructed Quantity	Total Uninstructed Q
30/03/2010 13:00:00	2010007622 / R010007622	DUTCH AUCTION	PILECON ENRG (MCD)	GB1T22929874	1000	1000	
28/03/2010 13:00:00	2010007623 / R010007623	DUTCH AUCTION	PILECON ENRG (MCD)	GB1T22929875	1000	1000	
31/10/2010 13:00:00	2010007624 / R010007624	DUTCH AUCTION	PILECON ENRG (MCD)	GB1T22929876	1000	1000	
31/10/2010 13:00:00	2010007625 / R010007625	ANNUAL GENERAL MEETING	PILECON ENRG (MCD)	GB1T22929878	1000	1000	

Market This is a Drop-down listing all the Markets available in Bancs. It will be Optional field for the search

Holding Indicator This is a Drop-down with values as "WITH", "WITHOUT" and "ALL" so

		that Client can search for those CA events where holdings is present. The Default value will be "WITH".
Event Classification		This is a Drop-down with possible values as "Mandatory", "Mandatory with Choice" and "Voluntary".
Event Reference		It is a 16 characters unique identifier for the corporate action Id.
Event Type		It is a drop down and shows external event Types as per Swift Qualifiers.
Securities Identifier		It is the Security Number as per Client's Preferred Numbering Scheme
Announcement Date: From/To		It is possible for client to specify the Announcement Date range to search specific corporate actions. On default page load, Announcement Date From will be populated as = Today - 30 calendar days where as Announcement Date To will be populated as current business date
Ex Date: From/To		It is possible for client to specify the Ex Date range to search specific corporate actions.
Record From/To	Date:	It is possible for client to specify the Record Date range to search specific corporate actions.
Market Deadline Date: From/To		It is possible for client to specify the Market Deadline Date range to search specific corporate actions.
Event Status		This will be the processing status of the event with possible values.
Official Reference Number		It shows the Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.
Response Deadline: From/To		It is possible for client to specify the Market Deadline Date range to search specific corporate actions.
Effective From/To	Date:	It is possible for client to specify the Effective Date range to search specific corporate actions.
Maturity From/To	Date:	It is possible for client to specify the Maturity Date range to search specific corporate actions.
Payment From/To	Date:	It is possible for client to specify the Payment Date range to search specific corporate actions.
Meeting From/To	Date:	It is possible for client to specify the Meeting Date range to search specific corporate actions.
Market Claim Tracking End Date: From/To		It is the date by which the depository stops monitoring activities of the event, for instance accounting and tracking activities for due bills end. It is possible for client to specify the Market Claim Tracking End Date range to search specific corporate actions.

2.1.4 Advance Search for a Record

Advance Search enables users to search for the relevant records using a set of attributes which appear by clicking on the Advance Search hyperlink in the Corporate Action View search block at the left hand side corner.

The hyperlink text changes to 'Basic Search' once the user clicks on Advanced Search

Examples of Advance Search Criterion's - Response Deadline From, Payment Date From etc.

The Advance Search process is explained below by taking the following scenario into account.

Scenario

You want to search for Corporate Actions which satisfies the following conditions:

- q Event Classification = All
- q Event Type = All
- q Security Identifier = eg. GB1T22929874
- q Payment Date From = '22/03/2011'
- q Payment Date To = '20/04/2011'

To search for a record, do the following:

1. Log on to the Web Channel's Application.
2. Click on Enquiry Tab
3. Navigate to the Corporate Action sub Menu. The Corporate Actions screen opens.
4. The screen displays some attributes which are part of Basic Search criterion's
5. Expand the search criterion's by clicking on the Advance Search link
6. To specify the search criteria, do the following:
 - a. Select the Event Classification as 'All'
 - b. Select the Event Type as 'All'
 - c. Select the Security Identifier as eg. 'GB1T22929874'
 - d. Select the Payment Date From as '22/03/2010' from the Calendar or type the date in the user preferred date format (i.e. dd/mm/yyyy, mm/dd/yyyy or yyyy/mm/dd).
 - e. Select the Payment Date To as '20/04/2010' from the Calendar or type the date in the user preferred date format (i.e. dd/mm/yyyy, mm/dd/yyyy or yyyy/mm/dd).
7. Click on the Search button.
8. The relevant records for the specified search criteria are displayed in the list.

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Home Enquiry File Transfer Trade Initiation **Response Initiation** Payment Initiation Help

View New Calendar Authorise Cancel Audit

Corporate Action View Securities Identifier Scheme ISIN

Templates

Market: Select

Event Classification: All

Event Type: All

Event Status: All

Announcement Date From:

Ex Date From:

Record Date From:

Market Deadline From:

Response Deadline From: 27/10/2010

Effective Date From:

Maturity Date From:

Payment Date From:

Meeting Date From:

Holding Indicator: Without

Event Reference:

Securities Identifier:

Official Reference Number:

Announcement Date To:

Ex Date To:

Record Date To:

Market Deadline To:

Response Deadline To: 02/11/2010

Effective Date To:

Maturity Date To:

Payment Date To:

Meeting Date To:

Search Reset

Results Page: 1 Reorder

SLA	Response Deadline	Event Reference	Event Type	Securities Name	Securities Identifier	Total Instructed Quantity	Total Uninstructed Q
30/03/2010 13:00:00	2010007622 / R010007622	DUTCH AUCTION	PILECON ENGRG (MCD)	GB1T22929874	1000	1000	
28/03/2010 13:00:00	2010007623 / R010007623	DUTCH AUCTION	PILECON ENGRG (MCD)	GB1T22929875	1000	1000	
31/10/2010 13:00:00	2010007624 / R010007624	DUTCH AUCTION	PILECON ENGRG (MCD)	GB1T22929876	1000	1000	
31/10/2010 13:00:00	2010007625 / R010007625	ANNUAL GENERAL MEETING	PILECON ENGRG (MCD)	GB1T22929876	1000	1000	

Note: You can reset these specified search parameters and assign new values to them thus specifying new search criteria using Reset button.

2.1.5 Maintaining Search Criteria

The user has the privilege of saving search criteria. This is particularly useful for users who run specific queries frequently. Different users can save the searched query with the same name.

You can add as many search criteria as you want to the Saved Search list. Any one of the search criteria can be loaded from the Saved Search list for searching the records.

You can also delete the saved search criteria.

The following sections explain the process of creating and deleting a search template taking the example of Corporate Actions screen.

2.1.6 Saving a Search Template

You can save the search criterion so that you don't have to enter the same each time for query the same search. There is no limit to the number of search templates that can be saved and added to the Saved Search list.

The process is explained below by taking the following scenario into account.

Scenario

You want to search for Corporate Actions that satisfy the following conditions:

- q Event Classification = Mandatory
- q Event Type = Attachment
- q Security Identifier = GB1T22929874

To load the search criteria from the list of saved search criteria, do the following:

1. Log on to the **Web Channel's** Application.
2. Click on **Enquiry** Tab
3. The **Corporate Actions** screen opens.
4. Click on the **Templates** link provided at the top of the search.
5. The fields **Saved Search** and **Template Name** appear.
6. Select a template, say '**Data**' from the Saved Search Drop own List
7. The selected search criterion is loaded and the relevant records are displayed in the Result Section.

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement CA / Proxy Payment Cash Balance Sec Movement

Corporate Action View Securities Identifier Scheme ISIN

Templates

Saved Search

Market: data

Event Classification: data

Event Type: template

Event Status: mandatory with choice

Announcement Date From: All

Ex Date From:

Record Date From:

Market Deadline From:

Response Deadline From:

Effective Date From:

Maturity Date From:

Payment Date From:

Basic Search

Template Name

Holding Indicator*: data

Event Reference:

Securities Identifier: GB1T22929874

Official Reference Number:

Announcement Date To:

Ex Date To:

Record Date To:

Market Deadline To:

Response Deadline To:

Effective Date To:

Maturity Date To:

Payment Date To:

Search Reset

Results Page: 1 Reorder

Event Reference	Securities Name	Securities Identifier	Event Type	Event Classification	Announcement Date	Event Status	Market
2009049054	K-REIT ASIA	GB1T22929874	CALL ON INTERMEDIATE SECURITIES	VOLUNTARY	01/10/2009	COMPLETE	UNITED KINGDOM
2009044014	K-REIT ASIA	GB1T22929874	DIVIDEND OPTION	MANDATORY WITH CHOICE	21/07/2009	PRELIM CONFIRMED	UNITED KINGDOM
2009044016	K-REIT ASIA	GB1T22929874	CASH DIVIDEND	MANDATORY	21/07/2009	COMPLETE	UNITED KINGDOM
2009044017	K-REIT ASIA	GB1T22929874	ANNUAL GENERAL MEETING	VOLUNTARY	25/03/2010	PRELIM CONFIRMED	UNITED KINGDOM

Eligibility Entitlements Response Bookings

2.1.8 Deleting a Search Template


You can delete any of the Saved Search criteria. The process is explained below by taking the following scenario into account.

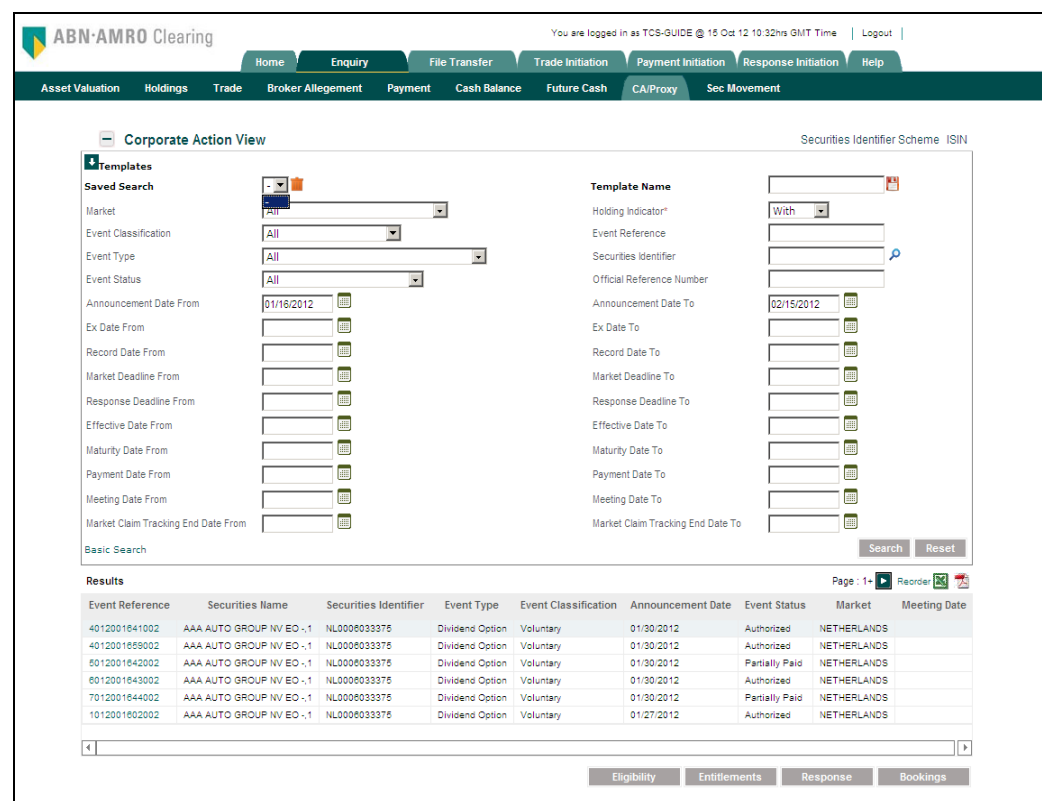
Scenario

You want to delete the search template named 'data' on Corporate Actions screen.

To delete saved search criteria, do the following:

1. Log on to the **Web Channel's** Application.
2. Click on **Enquiry** Tab
3. The **Corporate Actions** screen opens.

- Click on the Templates link provided at the top of the search.
- The fields Saved Search and Template Name appear.
- Select 'Data' from the Saved Search list.
- Click on Delete Template  icon. The selected template is deleted from the list.



Corporate Action View

Securities Identifier Scheme ISIN

Templates

Saved Search

Market: All

Event Classification: All

Event Type: All

Event Status: All

Announcement Date From: 01/16/2012

Ex Date From:

Record Date From:

Market Deadline From:

Response Deadline From:

Effective Date From:

Maturity Date From:

Payment Date From:

Meeting Date From:

Market Claim Tracking End Date From:

Template Name

Holding Indicator*: With

Event Reference:

Securities Identifier:

Official Reference Number:

Announcement Date To: 02/15/2012

Ex Date To:

Record Date To:

Market Deadline To:

Response Deadline To:

Effective Date To:

Maturity Date To:

Payment Date To:

Meeting Date To:

Market Claim Tracking End Date To:

Search Reset

Results

Page: 1- Reorder


Event Reference	Securities Name	Securities Identifier	Event Type	Event Classification	Announcement Date	Event Status	Market	Meeting Date
4012001641002	AAA AUTO GROUP NV EO -1	NL0006033376	Dividend Option	Voluntary	01/30/2012	Authorized	NETHERLANDS	
4012001659002	AAA AUTO GROUP NV EO -1	NL0006033376	Dividend Option	Voluntary	01/30/2012	Authorized	NETHERLANDS	
5012001642002	AAA AUTO GROUP NV EO -1	NL0006033376	Dividend Option	Voluntary	01/30/2012	Partially Paid	NETHERLANDS	
6012001643002	AAA AUTO GROUP NV EO -1	NL0006033376	Dividend Option	Voluntary	01/30/2012	Authorized	NETHERLANDS	
7012001644002	AAA AUTO GROUP NV EO -1	NL0006033376	Dividend Option	Voluntary	01/30/2012	Partially Paid	NETHERLANDS	
1012001602002	AAA AUTO GROUP NV EO -1	NL0006033376	Dividend Option	Voluntary	01/27/2012	Authorized	NETHERLANDS	


Eligibility Entitlements Response Bookings

2.2 Exporting Search Results



The user can view the details of Corporate Actions through the Enquiry screens. The Search facility is provided on the screen to allow the user to list the data according to a particular set of criteria.

The data listed in the Results table on the Channels Application can be exported to an Excel or PDF file. This option is provided on the top of the list of results displayed.

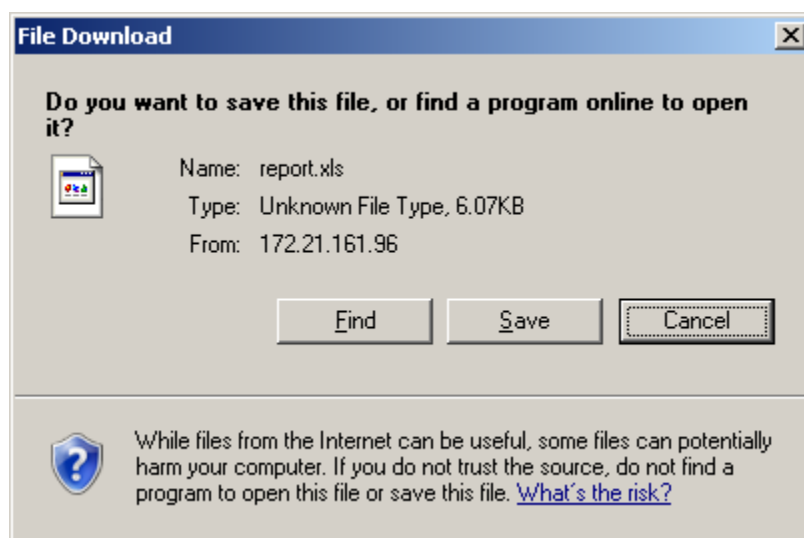
The user can click on the  icon to export the report to an Excel file.

The user can click on the  icon to export the report to a PDF File.

To Export PDF/Excel File, do the following:

- Log on to the Web Channel's Application.
- Click on Enquiry Tab
- The Corporate Actions screen opens.
- Click on the  or  icon.
- The File Download Pop Up appears with Options Save / Open / Cancel Buttons.

- On Clicking the Open Button the File is opened.
- On Clicking the Save Button the File is saved in the specified location.
- On Clicking the Cancel the Pop up is closed.



For example if user exports the data at Corporate Actions screen then the Results table will be exported, but if a user exports the data on screen other than Corporate Actions screen for example CA Details, Eligibility, Entitlement or Bookings screen then the Result set will get exported along with the CA Details on top of the result set also gets exported.

The following image displays the exported data in Excel of Corporate Actions screen:

Event Reference	Securities Name	Securities Identifier	Event Type	Event Classification	Announcement Date	Event Status	Market	Meeting Date
chmDVOP21	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	21/10/2011	Authorized	United States	
chmDVOPNW	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	20/10/2011	Authorized	United States	
chmDVOP	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	12/10/2011	Authorized	United States	
AC190449-01	CHINA GREEN CGA	US16943W1053	Dividend Option	Mandatory with Choice	30/09/2011	Authorized	United States	
chanCHOC	CHINA GREEN CGA	US16943W1053	Dividend Option	Mandatory with Choice	30/09/2011	Authorized	United States	
chanCHOC	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	30/09/2011	Authorized	United States	
chanCHOC	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	30/09/2011	Authorized	United States	
AC190435-01	CHINA GREEN CGA	US16943W1053	Dividend Option	Mandatory with Choice	23/09/2011	Authorized	United States	
chanCHOC	CHINA GREEN CGA	US16943W1053	Dividend Option	Mandatory with Choice	23/09/2011	Authorized	United States	

The following image displays the exported data in PDF of Corporate Actions screen:

Event Reference	Securities Name	Securities Identifier	Event Type	Event Classification	Announcement Date	Event Status	Market	Meeting Date
chulDVOP21	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	21/10/2011	Authorized	United States	
chulDVOPNW	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	20/10/2011	Authorized	United States	
chulDVOP	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	12/10/2011	Authorized	United States	
ACT90443-01	CHINA GREEN CGA	US16M43W1053	Dividend Option	Mandatory with Choice	30/09/2011	Authorized	United States	
chanlCHOS	CHINA GREEN CGA	US16M43W1053	Dividend Option	Mandatory with Choice	30/09/2011	Authorized	United States	
chanCHOS	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	30/09/2011	Authorized	United States	
chanlCHOS	ZAGG	US98884U1088	Dividend Option	Mandatory with Choice	30/09/2011	Authorized	United States	
ACT90435-01	CHINA GREEN CGA	US16M43W1053	Dividend Option	Mandatory with Choice	23/09/2011	Authorized	United States	
chanlCHOS	CHINA GREEN CGA	US16M43W1053	Dividend Option	Mandatory with Choice	23/09/2011	Authorized	United States	

The following image displays the exported data in Excel of Corporate Actions Details screen, it also includes the Corporate Actions Details along with the Option Details table data.

Corporate Action Details							
Event Reference	Event Type	Event Description	Event Classification	Market	Event Status	Securities Identifier	Securities Name
chulDVOP21	Dividend Option	DVOP - 632870000000	Mandatory with Choice	US	Authorized	US98884U1088	ZAGG
	Dividend Type						
	Official Reference Number						
	Announcement Date	21/10/2011					
	Ex Date	21/10/2011					
	Record Date	21/10/2011					
	Meeting Date						
	Interest Start Date						
	Interest End Date						
	Rights Trading Start Date						
	Rights Trading End Date						
	Effective Date						
	Maturity Date						

Option Details							
Option Number	Description	Currency	Details	Default	Response Deadline Date	Market Deadline Date	Payment Date
1	Cash	USD	CSH-USD-2,CR	Yes	21-Oct-2011 01:00:00	24-Oct-2011 22:45:00	25/10/2011
2	Cash	CAD	CSH-CAD-2,CR	No	21-Oct-2011 01:00:00	24-Oct-2011 22:45:00	25/10/2011
3	Securities Option		SCU-10-1,CR	No	21-Oct-2011 01:00:00	24-Oct-2011 22:45:00	25/10/2011

The following image displays the exported data in PDF of Corporate Actions Details screen, it also includes the Corporate Actions Details along with the Option Details table data.

The screenshot shows a PDF document titled 'report_CADetails.pdf' in Adobe Reader. The document contains two tables. The first table, 'Corporate Action Details', lists various fields for a specific event. The second table, 'Option Details', lists details for three different options.

Corporate Action Details							
Event Reference	chvDVOP21						
Event Type	Dividend Option						
Event Description	DVOP - 632870000000						
Event Classification	Mandatory with Choice						
Market	US						
Event Status	Authorized						
Securities Identifier	US98884U1088						
Securities Name	ZAGG						
Dividend Type							
Official Reference Number							
Announcement Date	21/10/2011						
Ex Date	21/10/2011						
Record Date	21/10/2011						
Meeting Date							
Interest Start Date							
Interest End Date							
Rights Trading Start Date							
Rights Trading End Date							
Effective Date							
Maturity Date							
Option Details							
Option Number	Description	Currency	Details	Default	Response Deadline Date	Market Deadline Date	Payment Date
1	Cash	USD	CSH-USD-2,CR	Yes	21-Oct-2011 01:00:00	24-Oct-2011 22:45:00	25/10/2011
2	Cash	CAD	CSH-CAD-2,CR	No	21-Oct-2011 01:00:00	24-Oct-2011 22:45:00	25/10/2011
3	Securities Option		SCU-10-1,CR	No	21-Oct-2011 01:00:00	24-Oct-2011 22:45:00	25/10/2011

2.3 Customizing Columns in a List

When a user opens a list in the Corporate Actions screen, a search criterion needs to be specified to display the records defined in the system for that particular criterion in a pre-defined order. However, you have the option of redefining the order of the columns as per your requirement. You can also hide certain columns from the list if you want so. The columns can be re-displayed as and when required.

The customization process is explained below for the Corporate Action Enquiry Screen.

To reorder columns in the Corporate Actions screen, do the following:

1. Log on to the Web Channel's Application.
2. Click on Enquiry Tab.
3. Navigate to the Corporate Action Sub Menu. The Corporate Actions screen Opens.
4. Specify a particular search criterion and click the Search Button.
5. The records present in the system for that particular criterion are displayed in the Result Section.
6. In the Result screen, click the Reorder Link.

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment Cash Balance CA/Proxy Sec Movement

Corporate Action View Securities Identifier Scheme ISIN

Templates

Market: All Holding Indicator: With

Event Classification: All Event Reference:

Event Type: All Securities Identifier:

Announcement Date From: 05/01/2012 Announcement Date To: 05/02/2012

Ex Date From: Ex Date To:

Record Date From: Record Date To:

Market Deadline From: Market Deadline To:

Advance Search Search Reset

Results Page: 1- Reorder

Event Reference	Securities Name	Securities Identifier	Event Type	Event Classification	Announcement Date	Event Status	Market	Meeting Date
4012001641002	AAA AUTO GROUP NV EO -,1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Authorized	NETHERLANDS	
4012001659002	AAA AUTO GROUP NV EO -,1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Authorized	NETHERLANDS	
6012001642002	AAA AUTO GROUP NV EO -,1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Partially Paid	NETHERLANDS	
6012001643002	AAA AUTO GROUP NV EO -,1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Authorized	NETHERLANDS	
7012001644002	AAA AUTO GROUP NV EO -,1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Partially Paid	NETHERLANDS	
1012001602002	AAA AUTO GROUP NV EO -,1	NL0006033375	Dividend Option	Voluntary	27/01/2012	Authorized	NETHERLANDS	

Eligibility Entitlements Response Bookings

The Reorder Columns screen appears. You will see two boxes on the screen:

- Available Columns - This box is blank.
- Visible Columns - The columns are listed in this box in the order pre-defined in the system.

Reorder Table columns - Microsoft Internet Explorer provided by TATA CONSULTAN...

Reorder Columns

Reordering

Available Columns

Visible Columns

SLA
Response Deadline
Event Reference
Event Type
Securities Name
Securities Identifier

Ok Cancel


- In the Visible Columns box, select the column whose sequence in the list needs to be changed and use ↑ and ↓ icons to move them up or down the sequence.

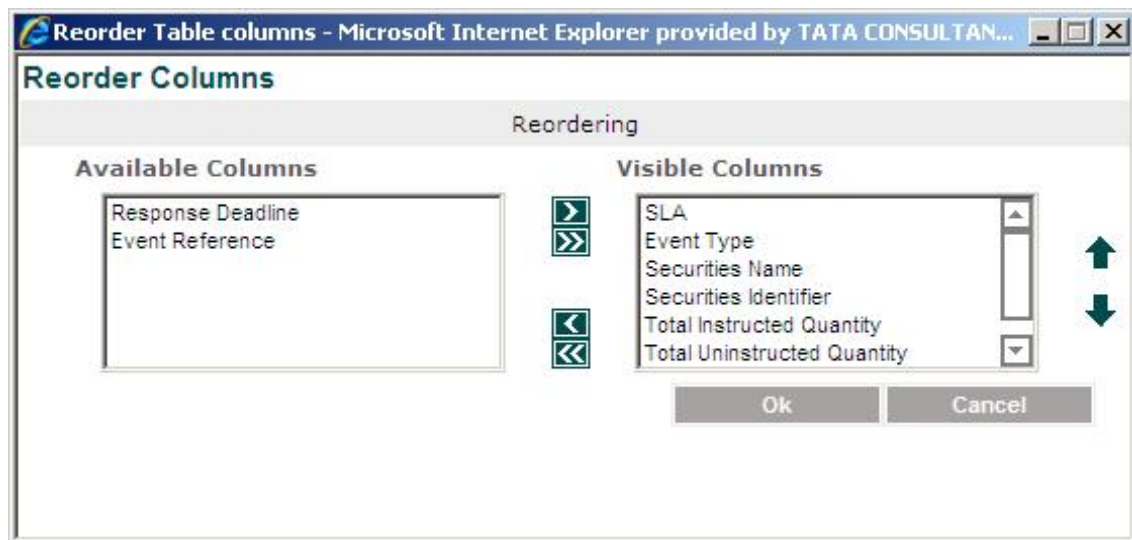
Note: In the screen below, the order of the columns Event Reference has been changed.



8. Repeat step 4 for all the columns whose sequencing needs to be changed.
9. Once you are through with the re-ordering of columns, click the OK button.
You are returned to the list screen where you will see the columns in the new order.

To hide/unhide columns in the Corporate Actions screen, do the following:

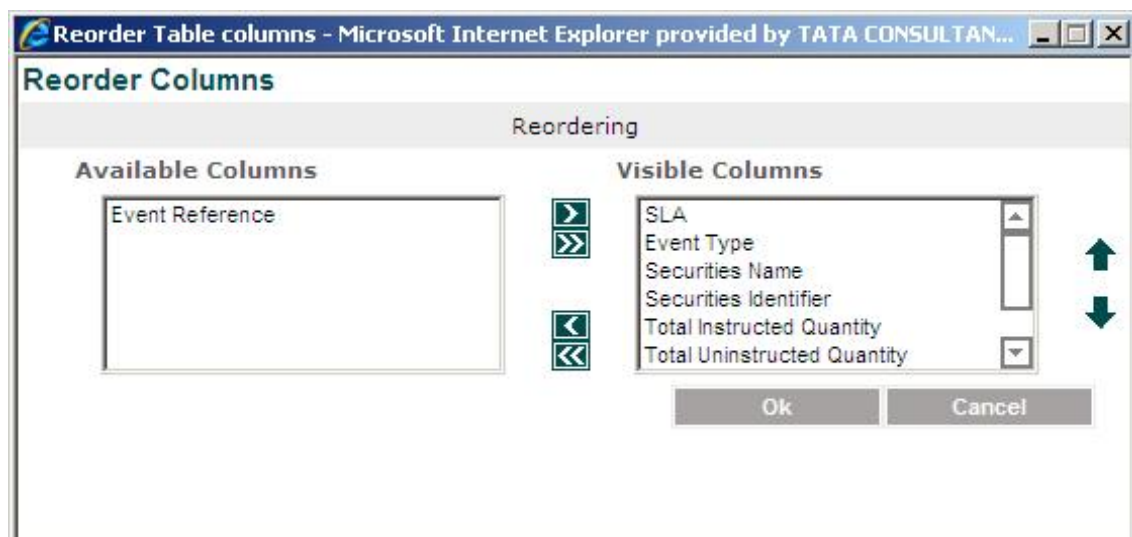
1. Log on to the Web Channel's Application.
2. Click on Enquiry Tab
3. Navigate to the Corporate Action Sub Menu. Corporate Actions screen opens.
4. Specify a particular search criterion and click the Search Button,
5. The records present in the system for that particular criterion are displayed in the Result Section.
6. In the list screen, click the Reorder Link.
7. The Reorder Columns screen appears. You will see two boxes on the screen:
 - q **Available Columns** - This box is normally blank. In case there are some columns displayed in this box, it means that the columns have been hidden from the list screen. However, you can transfer the columns to the Visible Columns box, if you want them to be displayed again on the list screen.
 - q **Visible Columns** - The columns that are visible in the list screen are displayed in this box. The order of the column also suggests the current order of the column on the screen.
8. To hide a column from the list, do the following:
 - a. Select the column by clicking on it in the Visible Columns box.
 - b. You can also select multiple columns at a time. To do this, press the CTRL button and then click on the required columns.
 - c. Click the Remove Selection  icon to the left of the Visible Columns box.
 - d. The columns selected in the Visible Columns box are transferred to the Available Columns box. This indicates that these columns will now not be displayed on the list.



- e. Click the OK button.

You are returned to the list screen where you will find that the fields present in Available Columns box are not displayed on the Result section.














9. To unhide a column from the list, do the following:
 - a. Select the column by clicking on it in the Available Columns box. You can also select multiple columns at a time. To do this, press the CTRL button and then click on the required columns.
 - b. Click the Add Selection icon to the right of the Available Columns box. The columns selected in the Available Columns box are transferred to the Visible Columns box. This indicates that these columns will now be displayed on the list screen.



- c. Click the OK button. You are returned to the list screen where you will find that the fields present in Visible Columns are now displayed on the list screen.

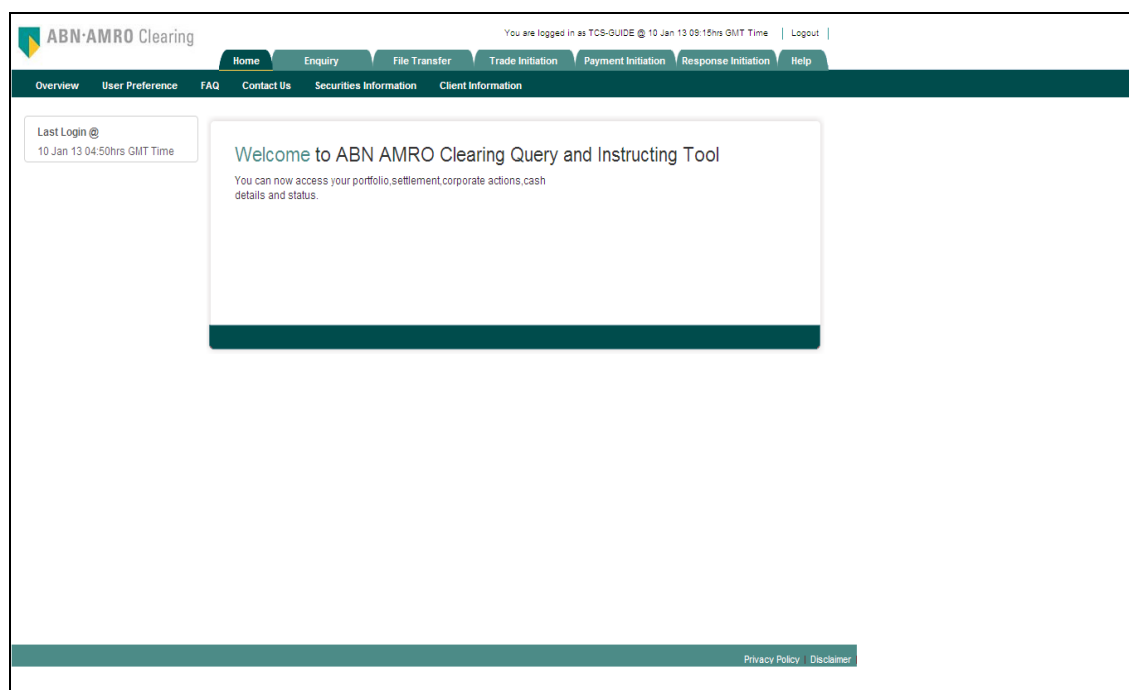
2.4 Common Icons and Buttons

The icons and buttons that are common across Web Channels are explained below:

Icon/Button	Name	Description
	Export all Data to Microsoft Excel	Allows export of data in a list screen to an Excel file.
	Export all Data to PDF	Allows export of data in a list screen to a PDF file.
	Find	Opens up a screen to display the set of valid values for that field.
	Calendar	Opens up a calendar from date and time can be selected.
	Collapse All	Collapses all entries in the list displayed.
	Expand All	Expands all entries in the list displayed.
	Close	Closes the current window.
	Next	Displays the records on the next page in a list screen.
	Previous	Displays the records on the previous page in a list screen.
	Save Template	Saves the template with the name specified.
	Delete Template	Deletes the selected search template.
	Search	Searches for records for the specified criteria in a screen and displays them in the list.
	Reset	Resets the values specified in all the fields in a screen.

3 Home Page

The home page of Web Channels allows the user to The other sections of the home page display a welcome note, last login details and messages.



3.1 Overview

The overview tab gives a summarized report of the transactions, Instruction and events relevant to the securities account tagged to the user. The user can view the count of trades in Matched, Unmatched, Settled and Canceled status.

Also displayed on this screen are - the count of Corporate Actions announced in the last 7 days (both for Proxy and other events separately); count of events, the Response Deadline Date of which falls in the next 7 days (both for Proxy and other events separately); count of events (other than Proxy events), the disbursements of which are pending for the last 7 days; and count of events (other than Proxy events), the disbursements of which are anticipated in the next 7 days.

In case of Payment, the count of Payment Instructions will correspond to the current date. For statuses, Cancelled and Executed, it will tell give the count of Instructions that have been Cancelled and Executed till present date. For rest status; Authorized, To Be Authorized, To Be Cancelled and To Be Repaired, it will give the count of Instructions in respective statuses on present date.

3.1.1 Overview - Field Reference

Announcements	Indicates the number of events, announced in the last 7 calendar days. It is a hyperlink if this value is greater than zero.
Pending Disbursements Anticipated	Indicates the number of events (other than Proxy events) for which Cash or Stock Payment Date falls in the next 7 calendar days.
Pending Disbursements not completed	Indicates the number of events (other than Proxy events) for which Cash or Stock Payment Date falls in the last 7 calendar days.
Settled	Indicates the number of trades that has been settled as at the current date. It is a hyperlink if this value is greater than zero.
Unmatched	Indicates the number of trades that is unmatched with value date as the current date. It is a hyperlink if this value is greater than zero.
Canceled	Indicates the number of trades canceled with value date as the current date. It is a hyperlink if this value is greater than zero.
Matched	Indicates the number of trades in matched status, with value date as the current date. It is a hyperlink if this value is greater than zero.
Response Deadline	Indicates the number of events for which the Response Deadline Date falls in the next 7 calendar days.
Pending Cancellation	Indicates the number of Instruction under cancellation with value date as the current date. It is a hyperlink if this value is greater than zero.
To be Authorized	This will indicate the no. Of Instruction which are pending atuhorization.
To be Cancelled	Indicates the number of Instruction under cancellation with value date as the current date. It is a hyperlink if this value is greater than zero.
To Be Repaired	Indicates the number of Instruction which cannot be processed until the repair is done for the instruction, with value date as the current date. It is a hyperlink if this value is greater than zero.

3.1.2 Overview of Transactions

The user can view the summary of trades from the Overview tab.

To view the transaction summary, do the following:

1. From home page, click on Home tab.
2. Click on Overview link.
3. The Transaction Summary for Value Date <Current Date> head summarizes the transactions

3.1.3 Overview of Corporate Actions

The user can view the summary of Corporate Actions from the Overview tab.

To view the Corporate Action summary, do the following:

1. From home page, click on Home tab.
2. Click on Overview link.
3. The Corporate Action Highlights as at (<Current Date>) head summarizes the events. Summary of Proxy events can be viewed under the head Proxy Highlights as at (<Current Date>).

3.1.4 Overview of Payment

The user can view the summary of Payment from the Overview tab.

To view the Corporate Action summary, do the following:

1. From home page, click on Home tab.
2. Click on Overview tab.
3. The Payment Highlights as at (<Current Date>) head summarizes the events. Summary of Payment events can be viewed under the head Payment Highlights as at (<Current Date>).

3.1.5 User Preferences

This tab is used to help user to give preference for setting the following three options:

- q **Email Notification:** Three radio buttons named "Do you prefer to get email notification for Market Bulletins / Insights / Guides" will be provided. Default value for the same will be "Yes" for the first time when user logs in Channels application.
- q **Numbering Scheme:** This will be a drop down field and will show all numbering schemes currently available on NCS Custody. Default value for the same will be "ISIN". Any change to the numbering scheme will be effective once user logout and login again to the application.
- q **Date Format:** This will be a drop down field and will show all date formats supported by channels. Default value will be "dd/MM/yyyy" if the user has not specified any date format.

User can specify the date in following formats:

- dd/MM/yyyy
- MM/dd/yyyy
- yyyy/MM/dd

This format is applicable throughout the application in Channels once selected by user after a new login.

- q **Landing Page:** This will be a drop down field and will show all screens Authorized to user. User can select any screen and then can save the preference. When ever user will log into channels application again, instead of Home Page, user will be able to see the Landing page.

To navigate to the user preference, do the following:

1. Log on to the Web Channel's Application.
2. Click on the Home tab from the navigator
3. User Preference screen opens with the User Preferred date format drop down.
4. Select the user preferred date format and click on Save.
5. An acknowledgment screen opens which specifies that the user selected date format will be reflected after the next login of the user.

The screenshot displays the 'User Preference' screen in the ABN-AMRO Clearing application. The top navigation bar includes tabs for Home, Enquiry, File Transfer, Trade Initiation, Payment Initiation, Response Initiation, and Help. Below this, a secondary navigation bar shows 'Overview', 'User Preference' (selected), 'FAQ', 'Contact Us', 'Securities Information', and 'Client Information'. The main content area contains three sections: 'Numbering Scheme' with a 'Securities Identifier' dropdown set to 'ISIN'; 'Date Format' with a 'Date Format' dropdown set to 'MM/dd/yyyy'; and 'Landing Page' with a 'Screen Name' dropdown set to 'Enquiry-Transaction'. A 'Save' button is positioned at the bottom right of the form.

Note: If no preference for date format is selected, all the dates in the application will follow the DD/MM/YYYY format.

User can only select the Date Format and save the changes

3.2 Client Information

The user can view the details of clients present in the system that are tagged to the user. The detailed information on the clients can be viewed in this tab.

The Client Information screen is divided into two sections Client Enquiry and Result.

3.3 Client Enquiry

3.3.1 Client Enquiry - Field References

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 05 Oct 12 08:30hrs GMT Time | Logout

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Overview User Preference FAQ Contact Us Securities Information **Client Information**

Client Enquiry

Templates

Short Name Role

Id in Old System

Search Reset

Results Page : 1 Reorder

Short Name	Client Status	Category	Creation Date	Activation Date	Language	Id In Old System
BP12000385 - bp short name	Active	Individual	05/03/2012	05/03/2012	English	
BP12000380 - prakhar	Active	Institution	13/02/2012	13/02/2012	English	
BP12000377 - ps_client	Active	Institution	30/01/2012	30/01/2012	English	
BP12000372 - ABN Client3	Active	Institution	20/01/2012	20/01/2012	English	
BP12000371 - Client2	Active	Institution	20/01/2012	20/01/2012	English	
BP12000368 - ABN Client1	Active	Institution	19/01/2012	19/01/2012	English	
BP11000353 - ABN AMRO BANK N.V.	Active	Institution	01/12/2011	01/12/2011	English	
BP11000348 - CITCO BANK	Active	Institution	01/12/2011	01/12/2011	English	
BP11000347 - BINCKBANK N.V.	Active	Institution	01/12/2011	01/12/2011	English	

Client Enquiry

Client Short Name It is the name assigned to each client.

Role It is a dropdown. You can select one of the available role.

Client Id It is a unique id assigned to each client.

Id In Old System Id In Old System

Result

Activation Date	Activation date of client.
Client Short name	It is Client name with client id.
Category	Category of client like institutional, individual, CSM
Creation Date	Creation Date of Client
Id in Old System	Id of client in old system.
Client Status	Status of the client whether Active or Inactive
Language	Language of the client

3.3.1.1 View Client Information

To view the Client Information, do the following:

1. From the Home page, click on the Home tab.
2. Click on the Client Information tab. The Client Information screen opens.

3.3.2 View Client Details

The user can view the details of a Client from the Client Short Name provided as a link on the Client Enquiry screen.

ABN-AMRO Clearing

You are logged in as TCS-GUIDE @ 05 Oct 12 06:30hrs GMT Time | Logout |

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Overview User Preference FAQ Contact Us Securities Information Client Information

Client Details

Client Details

Client Id	BP12000385	Status	Active
Status Change Date	02/04/2012	Short Name	bp short name
Category	Individual	Market Segment	
Tax Residence Country	USA	Tax Residence Country Change Date	
Previous Name			
Full Legal Name	bp full name		

Address Details

Street Name	street name	City/Town	city town
House/Building Number		Postal/Zip code	
House/Building Name		Country	USA
Room/Floor/Suite Number		State/Province	

Other Details

Creation Date	05/03/2012	Activation Date	05/03/2012
Preferred Numbering Scheme		Language	English
Name in Old System		Id in Old System	

Close

Client id Id of the Client in the System.

Status Status Of Client Active or Inactive.

Status Change Date The Date on which status has been changed.

Client Short Name Short name of the client in the system.

Category Category of the client. Institutional, Individual, CSM

Market Segment

Tax Residence Tax residence country of the client.
Country

Tax Residence Tax residence country change Date of the client.
Country Change
Date

Previous Name Name of client in previous system.

Full Legal name Full legal name of client in real world.

Street Name Street name in address of client in the system.

City/Town City/Town in address of client in the system.

House/Building House/Building Number in address of client in the system.
Number

Postal/Zip Code Postal/Zip Code in address of client in the system.

House/Building House/Building Name in address of client in the system.
Name

Country	Country in address of client in the system.
Room/Floor/Suite Number	Room/Floor/Suite Number in address of client in the system.
State/Province	State/Province in address of client in the system.
Creation Date	Creation date of client.
Activation Date	Activation date of client.
Preferred Numbering Scheme	Preferred numbering scheme of the client.
Language	Language of the client.
Name of Old System	Name of old system .
Id in Old System	Id of the client in old system.
Business Partner Type	Bp type of the Client.
Preferred Numbering Scheme	Preferred numbering scheme of the client.

To view the Client details, do the following:

1. From the Home page, click on the Home tab.
2. Click on the Client Information tab. The Client Enquiry screen opens.
3. Search for the required client . A list will be displayed .
4. Select the required record and click on the Client Short Name provided as a hyperlink. The Client Details screen opens.

4 Securities

The Securities function allows the user to view Asset Valuation, Holdings, Securities Information and Securities Movements as available in BaNCS System

4.1 Asset Valuation

The Asset Valuation link allows the user to view indicative valuation for the relevant portfolios.

4.1.1 Asset Valuation - Field References

Asset Valuation (As at 12/07/2012 05:27:35)

Valuation Date As At: 05/10/2012
 Securities Account No.:
 Securities Identifier:

Portfolio Currency*: EUR
 Base Currency: EUR

Search

Business Partner	Equity		Debt	
	Value in Base Currency	Value (EUR)	Value in Base Currency	Value (EUR)
BP11000348	303,530.00	303,530.00	5,960.00	5,960.00
BP11000353	2,035,240.00	2,035,240.00	112,594.67142192	112,594.67
BP12000368	0.00	0.00	4,480.00	4,480.00
BP12000371	0.00	0.00	4,016,159,120.00	4,016,159,120.00
BP12000372	0.00	0.00	-400.00	-400.00
Total	2,338,770.00	2,338,770.00	4,016,281,754.67142192	4,016,281,754.67

Asset Valuations

Valuation Date As At	The relevant date. It is mandatory for Valuations Enquiry search.
Portfolio Currency	Defaulted to 'Preferred Portfolio Currency' as specified in user profile. It is mandatory for Valuations Enquiry search.
Security Account No.	Name of the account holding the securities. If specified it will show valuation by account.
Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Valuation Date As At	The relevant date. It is mandatory for Valuations Enquiry search.
Portfolio Currency	Defaulted to 'Preferred Portfolio Currency' as specified in user profile. It is mandatory for Valuations Enquiry search.
Security Account No.	Name of the account holding the securities. If specified it will show valuation by account.
Base Currency	This will be the user preferred "Base Currency" defined in the user profile in system admin module for the web account



Results

Base Currency	This will be the user preferred "Base Currency" defined in the user profile in system admin module for the web account
Business Partner	It is the name of Business Partners
Debt	Table displaying the total value (in base and portfolio currency) of Debt Instruments in base and portfolio currency held.
Indicative Price	The indicative market price used for the purpose of valuation, computation and reporting.
Portfolio Currency	Defaulted to 'Preferred Portfolio Currency' as specified in user profile.
Quantity	The quantity of securities, including the blocked securities, available with the client in the specified custody position.
Security Account No.	Name of the account holding the securities.
Securities Currency	The currency of the security.
Securities Identifier	The preferred numbering scheme.
Security Type	It indicates the type of security - Equity/ Debt Instrument.
Valuation Date As At	The relevant date.
Value in Base Currency	The total Value in the currency, for all Clients / Security Accounts.
Value (<Portfolio Currency>)	The total Value in the portfolio currency, for all Clients / Security Accounts.
Securities Identifier	The preferred numbering scheme.

4.1.2 Asset Valuation by Account - Field Reference

Asset Valuation by Account (As at 12/07/2012 05:27:35)

Securities Account No. : 110003480001
 Securities Account Name : CITCO BANK
 Base Currency : EUR
 Portfolio Currency : EUR

Forex Rate  

Securities Type	Securities Identifier	Securities Currency	Indicative Price	Quantity	Total Value (EUR)	Total Value (EUR)
▼ Debt Instrument					1,960.00	1,960.00
ABN AMRO Security	FR0010688259	EUR	40	49	1,960.00	1,960.00
▼ Equity					52,530.00	52,530.00
ING Eur1	NL0000303600	EUR	10	253	2,530.00	2,530.00
Platina Energy Group Inc	US72766F1093	EUR	50	1000	50,000.00	50,000.00
Total					54,490.00	54,490.00

Base Currency	This will be the user preferred "Base Currency" defined in the user profile in system admin module for the web account	
Indicative Price	The indicative market price used for the purpose of valuation, computation and reporting.	
Portfolio Currency	Defaulted to 'Preferred Portfolio Currency' as specified in user profile.	
Quantity	The quantity of securities, including the blocked securities, available with the client in the specified custody position.	
Security Account No.	Account number of the account holding the securities.	
Securities Account Name	Name of the account holding the securities.	
Securities Currency	The currency of the security.	
Securities Identifier	The preferred numbering scheme.	
Security Type	It indicates the type of security - Equity/ Debt Instrument.	
Total Value (<Base Currency>)	The total Value in the base currency, for all Clients / Security Accounts.	
Value in Base Currency	The total Value in the currency, for all Clients / Security Accounts.	

Holding Enquiry

Holdings As At	Relevant business date. It is mandatory for Holdings Enquiry search.
Securities Account No.	Account Number of the account holding the securities.
Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile. It is an optional field for Holdings Enquiry search.
Client	Business Partner. It is an optional field for Holdings Enquiry search.
Securities Type	Indicates the type of security. The two possible values are - Equity and Debt Instrument. It is an optional field for Holdings Enquiry search.
Securities Account No.	Account Number of the account holding the securities.
Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Securities Name	Name of the securities.
Securities Type	Indicates the type of security. whether - Equity or Debt Instrument.
Total Quantity	The total quantity of the securities (including Blocked Quantity).

4.2.2 View Holdings Enquiry

To view the available holdings, do the following:

1. From the home page, click on the Securities tab.
2. Click on the Holdings tab. The Holdings Enquiry screen opens.

4.2.3 Holding Details - Field Reference

The user can view the detailed available holdings through the Security Account No. link.

Holding Details screen is separated in two sections Holding Details and Results. The Result section shows the holding of the security with different place of safekeeping's and their holding details in terms of Unsettled Buy / Sell or blocked holdings.

4.2.3.1 Holding Details - Field Reference

Holding Details(As at 05/09/2012)

Securities Account No.	110003480001	Account Name	CITCO BANK
Client	BP11000348 - CITCO BANK	Securities Type	Equity
Securities Identifier	NL0006033375	Securities Name	AAA AUTO GROUP NV EO -,1

Results

Place of Safekeeping	Registration Name	External Account Id	Total Quantity	Available Quantity	Unsettled Buy Quantity	Unsettled Sell Quantity
BP11000349 - DELTA LLOYD	Normal	00001234	0	36,500	2,300	0

Holding Details

Account Name	Name of the account holding the securities.
Securities Account No.	Account Number of the account holding the securities.
Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Securities Name	Name of the securities.

Securities Type	Indicates the type of security. The two possible values are - Equity and Debt Instrument. It is an optional field for Holdings Enquiry search.
Client	It is auto-populated by the Client associated with the selected Security Account.

Results

Available Quantity	The difference of the Total Qty and the Blocked Qty	
Blocked Quantity	The total blocked quantity of the securities. It is a hyperlink if quantity > 0. On clicking this hyperlink, Blocked Quantity screen opens.	
Place of Safekeeping	of	The location where securities are kept.
Registration Name	The position type in which securities are registered.	
Total Quantity	The total quantity of the securities (including Blocked Quantity).	
Unsettled Quantity	Buy	It is a hyperlink if quantity > 0. This link opens Unsettled Buy Detail screen for all relevant trades and settlement date range as one month.
Unsettled Quantity	Sell	It is a hyperlink if quantity > 0. This link opens Unsettled Sell Detail screen for all relevant trades and with settlement date range as one month.
Lent Quantity	It is a hyperlink if quantity > 0. This link opens Lent Quantity Detail screen for all relevant trades and with settlement date range as one month.	
Borrowed Quantity	It is a hyperlink if quantity > 0. This link opens Borrowed Quantity Detail screen for all relevant trades and with settlement date range as one month.	

4.2.4 View Available Holdings

To view the available holdings, do the following:

1. From the home page, click on the Securities tab.
2. Click on the Holdings tab. The Holdings Enquiry screen opens.
3. Enter the required search criteria to display the results.
4. Click on a Client link for its detailed holdings. The Holding Details screen opens.

4.2.5 Blocked Holdings

Blocked Holdings Enquiry allows the user to view the blocked holdings and the associated blocking reasons. Detailed blocked positions are displayed as of end of the previous day.

4.2.5.1 Blocked Holdings - Field References

The screenshot shows a window titled "Blocked Holdings" with a close button (X) in the top right corner. The window displays the following information:

- Securities Account: 110003480001-CITCO BANK
- Securities: AAA AUTO GROUP NV EO -,1
- Total Blocked Holdings: 36,500

Below this information is a section titled "Details by blocking reason" with a "Reorder" button and a trash icon. A table is displayed with the following columns: "Blocked Reason" and "Quantity".

Blocked Reason	Quantity
Pending CA	31,000

Blocked Reason	The reason for blocking.	
Quantity	The quantity blocked for a particular reason.	
Security	The Security Id suffixed with the security name.	
Security Account	The Security Account Id.	
Total Blocked Holdings	The quantity of security which is blocked.	

4.2.5.2 View Blocked Holdings

The user can view the details of the blocked holdings from the Holdings Enquiry screen.

To view the blocked positions, do the following:

1. From the home page, click on the Securities tab.
2. Click on the Holdings tab. The Holdings Enquiry screen opens.
3. Enter the required search criteria to display the results.
4. Click on a Client link for its detailed holdings. The Holdings Detail screen opens.

- The Blocked Quantity appears as a link if the quantity is greater than zero. Click on this link to display the blocked holdings.

4.2.6 Unsettled Buy / Sell Detail Screen

Unsettled Buy / Sell Holdings Enquiry allow the user to view the Unsettled Buy / Sell positions.

4.2.6.1 Unsettled Buy / Sell Detail - Field Reference

Unsettled Buy Details Screen
✕

Securities Account 110003480001-CITCO BANK
 Securities Name AAA AUTO GROUP NV EO -,1
 Securities Identifier NL0006033375

Trade Details
Reorder
✕

Client Reference	Order Id	Trade Date	Settlement Date	Quantity	Order Type
	5530	20/01/2012	20/01/2012	2,000	Receive Free of Payment

CA Details
Reorder
✕

Event Id	Order Id	Trade Date	Value Date	Quantity
5012001642	1642349	01/02/2012	01/02/2012	100
7012001644	1644349	01/02/2012	01/02/2012	100
4012001641	1641349	01/01/1900	02/02/2012	100

Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Security Account	The Security Account Id.
Security	The Security Id suffixed with the security name.
Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.

Trade Details

Client Reference	It is the Transaction Reference Number
Order Id	It is the Order ID.

Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Settlement Date	The date of settlement of settled quantity.
Trade Date	The date of trade.
Quantity	The quantity settled between client and the counter party.

CA Details

Event ID	It is a 16-character unique Reference Id of the Corporate Action
Order Id	It is the Order ID.
Trade Date	The date of trade.
Value Date	The date of settlement of settled quantity.
Quantity	The quantity settled between client and the counter party.

4.2.6.2 View Unsettled Buy / Sell Screen

The user can view the details of the Unsettled Buy / Sell holdings from the Holdings Enquiry screen.

To view the Unsettled Buy / Sell positions, do the following:

1. From the home page, click on the Securities tab.
2. Click on the Holdings tab. The Holdings Enquiry screen opens.
3. Enter the required search criteria to display the results.
4. Click on a Client link for its detailed holdings. The Holdings Detail screen opens.
5. The Unsettled Buy / Sell appear as a link if the quantity is greater than zero.
6. Click the Unsettled Buy / Sell Details Holdings link if the quantity is greater than zero. The Unsettled Buy / Sell Details Holdings screen appears.

4.2.7 Lent Quantity Details Screen

Lent Quantity allows the user to view the lent positions.

Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
-----------------------	---

Security Account	The Security Account Id.
Security	The Security Id suffixed with the security name.

Trade Details

Client Reference	It is the Transaction Reference Number
Order Id	It is the Order ID.
Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Settlement Date	The date of settlement of settled quantity.
Trade Date	The date of trade.
Quantity	The quantity settled between client and the counter party.

4.2.7.1 Lent Quantity Details Screen

Lent Quantity allow the user to view the pledged positions.

Lent Quantity Details Screen

Security Account: 100117830043-CWCUST007-SCA-SG0600003405-FULL NAME
 Security: AA TRAVELS N TOURS
 Securities Identifier: XS0274113397

Trade Details

Client Reference	Order Id	Trade Date	Settlement Date	Quantity	Order Type
RFPTRADE001	3403211	24/03/2010	27/03/2010	UNITS: 1	RECEIVE FREE OF PAYMENT

CA Details

Event ID	Order Id	Trade Date	Value Date	Quantity
No Data Found				

To view the Lent Quantity positions, do the following:

1. From the home page, click on the Securities tab.
2. Click on the Holdings tab. The Holdings Enquiry screen opens.
3. Enter the required search criteria to display the results.
4. Click on a Client link for its detailed holdings. The Holding Details screen opens.

5. The Lent Quantity appear as a link if the quantity is greater than zero.
6. Click the Lent Quantity Details Holdings link if the quantity is greater than zero Screen. The Lent Quantity Details Holdings screen appears.

4.2.8 Borrowed Quantity Details Screen

Borrowed Quantity allow the user to view the Borrowed positions.

4.2.8.1 Borrowed Quantity Details - Field References

Borrowed Quantity Details Screen

Security Account: 100117830043-CWCUST007-SCA-SG0600003405-FULL NAME
 Security: AA TRAVELS N TOURS
 Securities Identifier: XS0274113397

Trade Details Reorder

Client Reference	Order Id	Trade Date	Settlement Date	Quantity	Order Type
RFFTRADE001	3403211	24/03/2010	27/03/2010	UNITS 1	RECEIVE FREE OF PAYMENT

CA Details Reorder

Event ID	Order Id	Trade Date	Value Date	Quantity
No Data Found				

Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Security Account	The Security Account Id.
Security	The Security Id suffixed with the security name.

Trade Details

Client Reference	It is the Transaction Reference Number
Order Id	It is the Order ID.
Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of

payment.

Settlement Date	The date of settlement of settled quantity.
-----------------	---

Trade Date	The date of trade.
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Quantity	The quantity settled between client and the counter party.
----------	--

4.2.8.2 Borrowed Quantity Details Screen

Blocked Quantity allow the user to view the blocked positions.

To view the Borrowed Quantity positions, do the following:

1. From the home page, click on the Securities tab.
2. Click on the Holdings tab. The Holdings Enquiry screen opens.
3. Enter the required search criteria to display the results.
4. Click on a Client link for its detailed holdings. The Holding Details screen opens.
5. The Borrowed Quantity appear as a link if the quantity is greater than zero.
6. Click the Borrowed Quantity Details Holdings link if the quantity is greater than zero Screen. The Borrowed Quantity Details Holdings screen appears.

4.3 Security Movement

Security Movement allows the user to see movements of security in a list.

Security Movement has two sections, Security Movement Enquiry List and the Result section. User specifies a search criterion on the Security Movement Enquiry List section and presses the Search Button to view the corresponding outcome in the Results section.

4.3.1 Security Movement - Field References

In GRIP Securities the clients of the bank are referred to as business partners of the bank. Business Partner can be an Individual or an Institution.

Securities Movement Enquiry List

Search Results

Securities Account No.	Securities Identifier	A/C at Agent/Depository	Agent/Depository	Transaction Type	Counterparty	Order Type	Transaction ID
100117830043	XIS0274113397	ACC-2323	BP08000003 - BP 7 DP	Settlement Receive	BP10011960 - AFRO COSMOS	FREE OF PAYMENT	ID-12
100117830044	AAASBON LX EQUITY	ACC-2321	BP08000001 - BP TELEKURS	Settlement Receive	BP10001578 - ABSA	FREE OF PAYMENT	ID-12

Movement Reason	The movement Reason can be either "Confirmed" or "Unconfirmed"
Securities Account No.	Account Number of the account holding the securities.
Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Counter Party	Name of the receiver or deliverer of securities for the order.
A/c at Agent Depository	The Nostro Account using which the trade order is going to settle.
Transaction ID	Its possible values can be "Receive Deliver Order", "RGO" , "MM Pledge", " Manual Booking", " Corporate Action"
Trade Date	Date Of trade
Value Date	It is the date of credit of realization of corporate action.
Transaction Date	Date of Transaction.
Reversal Indicator	Reversal indicator is Yes if a corresponding reverse movement has been created . Ex Movement is reversed when a trade with anticipations is settled
Transaction Type	It is the type of transaction related to movement of Securities. It possible values can be " Settlement Receive", "settlement Deliver", "Loan Transaction", "Collateral Receive", etc.

Note: Either of Trade Date, Value Date or Transaction ID will be mandatory.

4.3.2 Securities Identifier - Field Reference

Search Section

Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Securities Identifier Scheme	It is the client preferred numbering scheme mentioned in user profile.
Securities Name	Name of the securities.
Securities Type	The type of the security like mutual funds, bonds and so on.
Maturity Date From and To	Maturity Date of security. Channels user can search for the securities on the basis of Maturity Date From/To date range

Result Section

Securities Account No.	Account Number of the account holding the securities.
Securities Identifier	It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
A/c at Agent Depository	The Nostro Account using which the trade order is going to settle.
Agent/ Depository	The Agent or Depository which is going to settle the trade.
Transaction Type	It is the type of transaction related to movement of Securities. It possible values can be " Settlement Receive", "settlement Deliver", "Loan Transaction", "Collateral Receive", etc
Counter party	Name of the receiver or deliverer of securities for the order.
Movement Description	In can be "Borrow" and "Lend"
Amount/Quantity	Amount credited or debited from user account.
Transaction ID	Its possible values can be "Receive Deliver Order", "RGO", "MM Pledge", "Manual Booking", "Corporate Action"

Value Date The date of settlement of settled quantity.

Creation Date Date of creation of transaction Order.

Movement Reason It can be "Confirmed" and "Unconfirmed"

4.4 Securities Information

The user can view the details of securities present in the system. The detailed information on the securities can be viewed in this tab.

The Securities Listing screen is divided into two sections Securities Listing and Result.

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 11 Oct 12 12:02hrs GMT Time | Logout

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Overview User Preference FAQ Contact Us **Securities Information** Client Information

Securities Enquiry Listing Securities Identifier Scheme ISIN

Templates

Securities Identifier Securities Identifier Scheme

Securities Name Securities Type

Search Reset

Results Page : 1+ Reorder

Securities Name	Scheme / Identifier	Indicative Market Price	Sector Code	Face Value
NAMTestCase1	ISIN - ANN444741014			1
Platina Energy Group Inc	ISIN - US72766F1093	EUR 50.00		1
Polymetal	ISIN - US7317892021			1
SECURITY 241	ISIN - US37930EAD86	EUR 60.00		0.01
A123 SYSTEMS INC	ISIN - US03739T1088			1
ACD23	ISIN - US0378331006			0.1
White house US	ISIN - US464596AA40			1
AAA AUTO GROUP NV EO -1	ISIN - NL0006033375			0.0
AAA AUTO GROUP NV EO	ISIN - NL0000298933	EUR 10.00		0.0
ABNDVOP	ISIN - NL0000046712	EUR 10.00		0.0
ABN AMRO Security	ISIN - FR0010688269	EUR 40.00		0.0
BRN Security	ISIN - NL0000686772			0.1
Manish	ISIN - US31403NJN84			0.0

4.4.1 Securities Listing - Field Reference

Securities Listing

Securities Identifier Scheme It is the numbering scheme of the selected security, along with the security number as per the numbering scheme.

Securities Identifier It is the Security Number as per Client's Preferred Numbering Scheme.

Securities Name It is the Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.

Security Type	The type of security.	
Maturity From/To	Date	Maturity Date Range. Search on Maturity Date range will fetch securities which have maturity date between entered Date range.

Results

Indicative Price	Market	Market Price of the security.
Scheme/Identifier	It is the numbering scheme of the selected security, along with the security number as per the numbering scheme.	
Sector Code	It is the Sector code for a given security.	
Securities Name	It is the Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.	
Maturity Date	Maturity date of the respective security.	

4.4.2 View Securities Information

To view the Securities Information, do the following:

1. From the home page, click on the Securities tab.
2. Click on the Securities Information tab. The Securities Information screen opens.

4.4.3 View Security Details

The user can view the details of a security from the Securities Name provided as a link on the Securities Listing screen.

ABN-AMRO Clearing

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Overview User Preference FAQ Contact Us **Securities Information** Client Information

Security Details [X]

Securities Identifier	FR0010688259	Securities Name	ABN AMRO Security
Securities Category	Debt Instrument	Instrument Type	BONDS
Instrument Sub Type	ASSET BACKED SECURITIES	Issue Currency	EUR
Depository	BP11000349 - DELTA LLOYD	Stock Exchange	NL STOCK EXCHANGE - BP11000351
Par Value	0	Scripless Indicator	Scripless
Start Date		End Date	
Indicative Market Price		Date of Price	05/09/2012
Country	NETHERLANDS		

Close

Country		The country of origin of the security.
Date of Price		Date of market price
Depository		The depository in which the security records are kept.
End Date		Expiry date of the security.
Indicative Price	Market	Market Price of the security.
Instrument Type	Sub	It is instrument sub type
Instrument Type		Type of security - Equity or Debt Instrument.
Issue Currency		The currency in which the security is issued.
Par Value		The value at which the security was issued.
Scripless Indicator		It identifies whether a security exists in physical form, scripless or both.
Securities Identifier		It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name		It is the Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Securities Category		Type of security - Equity or Debt Instrument.
Start Date		Start date of the security.
Stock Exchange		The main stock exchange where the security is listed.

To view the Security details, do the following:

1. From the home page, click on the Securities tab.
2. Click on the Securities Information tab. The Securities Listing screen opens.
3. Search for the required security by entering either the Securities Identifier or Securities Name. A list will be displayed for the selected security, with different records for different securities identifier schemes.
4. Select the required record and click on the Securities Name provided as a hyperlink. The Securities Details screen opens.

5 Trade

5.1 Trade Enquiry

Transaction Status Enquiry allows the user to monitor the status of transactions.

Transaction Status Enquiry has two sections, Transaction Listing and the Result section. User specifies a search criterion on the Transaction Listing section and presses the Search Button to view the corresponding outcome in the Results section.

5.1.1 Trade Enquiry - Field Reference

Trade Enquiry Securities Identifier Scheme: ISIN

Templates

Client Reference:

Order Type:

Settlement Date From*:

Securities Account No.:

Trade Date From:

Counter Party:

Client:

Advance Search

Note: Fields marked with * are mandatory. Date fields with missing values or "Unknown" values will not appear in search results

Transaction Type:

Transaction Status:

Settlement Date To*:

Securities Identifier:

Trade Date To:

Place of Settlement:

Status Type:

Results Page: 1 Reorder

Client Reference	Client	Securities Account No.	Trade Date	Settlement Date	Securities Name	Settlement Quantity	Order Type
repopoencanc1	BP11000363 - ABN AMRO BANK N.V.	110003630009 - ABN SCA 9	03/10/2012	03/10/2012	ABNDVOP	UNIT 11	Delivery Versus Paymen
repopoencanc1	BP11000363 - ABN AMRO BANK N.V.	110003630009 - ABN SCA 9	03/10/2012	03/10/2012	ABNDVOP	UNIT 11	Receive Versus Paymen
EGSP-940	BP11000348 - CITCO BANK	110003480001 - CITCO BANK	04/10/2012	04/10/2012	ABNDVOP	UNIT 100	Delivery Versus Paymen
newbn2	BP11000363 - ABN AMRO BANK N.V.	110003630009 - ABN SCA 9	04/10/2012	04/10/2012	ABNDVOP	UNIT 11	Receive Free of Paymen
repopoencanc1	BP11000363 - ABN AMRO BANK N.V.	110003630009 - ABN SCA 9	05/10/2012	05/10/2012	ABNDVOP	UNIT 11	Delivery Versus Paymen
repopoencanc1	BP11000363 - ABN AMRO BANK N.V.	110003630009 - ABN SCA 9	05/10/2012	05/10/2012	ABNDVOP	UNIT 11	Receive Versus Paymen

Transaction Listing

Actual Settlement Date From / To	User can specify the range of Settlement Date in format specified by user in User Preference screen for search. A calendar button is also provided for selecting the dates, rather than typing them. These are optional fields for Transaction Status Enquiry.
Client Reference	The 16-character transaction reference number. Even a part of this reference number can be specified in the search, and records are displayed where starting part of reference number matches with the given search. It is an optional field for Transaction Status Enquiry.
Counter Party	Name of the receiver or deliverer of securities for the order.
Place of Settlement	The location where securities are kept and settlement takes place. It is an optional field for Transaction Status Enquiry.
Settlement Date From / To	User can specify the range of Settlement Date in format specified by user in User Preference screen for search. A calendar button is also provided for selecting the dates, rather than typing them. These are mandatory fields for Transaction Status Enquiry.
Securities Account No.	Account Number of the account holding the securities.
Trade Date From / To	User can specify the range of Trade Date in format specified by user in User Preference screen. A calendar button is also provided for selecting the dates, rather than typing them. These are optional fields for Transaction Status Enquiry.

Transaction Status	It indicates the status of the transaction. Its possible values are - Unmatched/ Matched/ Canceled/ Settled. It is an optional field for Transaction Status Enquiry.
Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Transaction Type	Indicates the type of transaction. It can be TURN, TRAD, EGSP, SECL, and SECB.
Client	Business Partner
Status Type	It will be a non-mandatory field. It will be a drop down list with various status transitions available in the system for Status Type values which are send to client in Swift message.
Reason Code	It will be a non-mandatory field. It will be a drop down list with various status transitions available in the system for Reason Code values which are send to client in Swift message.
Status Code	It will be a non-mandatory field. It will be a drop down list with various status transitions available in the system for Status Code values which are send to client in Swift message.
Place of Safe-keeping	The location where securities are kept.
Pool Reference	It is the reference Number sent by the Client to identify constituents of a Bulk Trade
Leg	It is a drop down with values as "Opening" and "Closing". This will indicate that whether the Trade is Opening leg or a Closing leg of Repo.
Repo Reference	It is unique reference number sent by client to identify Opening and Closing leg of Repo Trade.
Instructed Quantity	The Net Settlement Amount

Results

Client Reference	The 16-character transaction reference number. Even a part of this reference number can be specified in the search, and records are displayed where starting part of reference number matches with the given search. It is an optional field for Transaction Status Enquiry.
Securities Account No.	Account Number of the account holding the securities.
Securities Name	Name of the securities.
Settlement Date	The date of settlement of settled quantity.
Quantity	The Total settled quantity between the client and the counter party as

specified in the order.

Trade Date	The date of trade.
Transaction Status	It indicates the status of the transaction. Its possible values are - Unmatched/ Matched/ Canceled/ Settled. It is an optional field for Transaction Status Enquiry.
Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Status Type	It will be a non-mandatory field. It will be a drop down list with various status transitions available in the system for Status Type values which are send to client in Swift message.
Status Code	It will be a non-mandatory field. It will be a drop down list with various status transitions available in the system for Status Code values which are send to client in Swift message.
Reason Code	It will be a non-mandatory field. It will be a drop down list with various status transitions available in the system for Reason Code values which are send to client in Swift message.
Settlement Quantity	The settled quantity between the client and the counter party.
Client	Business Partner
Transaction Type	Indicates the type of transaction. It can be TURN, TRAD, EGSP, SECL, and SECB.
Order Sub Type	Indicates the Sub-Type of the Transaction. It is used to identify different Derivative Trades.
Failed Status	Defines whether the Trade is failed or not.
Security Type	The type of security.

5.1.1.1 View Transaction Status Enquiry

To view the Transaction Status, do the following:

1. From the home page, click on the Trade tab.
2. Click on the Transaction Status tab. The Transaction Status Enquiry screen opens.

5.1.2 Transaction Order Details - Field Reference

Transaction Order Details provides the user with a view of the transaction. The user clicks on the link of the Client Reference to open the Transaction Order Details Screen.

Transaction Order Details has five sections, Client Details, Transaction Details, Receiving/Delivering Agent, Repo Details, Actual Settlement Details and Failed Reason section.

Transaction Order Details

Client Details

Client BP11000353 - ABN AMRO BANK N.V.

Securities Account No. 110003530009 Securities Account Name ABN SCA 9

Repo Details

Leg Opening Repo Rate 11

Repo Reference reporef Secondary Leg Reference repoopen7canc

Repurchase Amount

Transaction Details

Securities Identifier NL0000046712 Securities Name ABNDVOP

Client Reference repoopen7canc Bank Reference 22037 - 217

Date of Receipt 05/10/2012 05:00:30 Trade Date 05/10/2012

Transaction Status Cancelled Order Type Delivery Versus Payment

Settlement Date 05/10/2012 Place of Settlement BP11000349 - DELTA LLOYD

Quantity UNIT 11 Settlement Amount EUR 100.00

Settled Quantity

Transaction Type Repo Pool Reference

Status CRRD/CAND

Reason CAND/CANI Tolerance Level 100 - EUR

Short in Security Attribute OK Off Market Indicator Yes

Special Delivery Delivery Without Matching

Physical

Receiving/Delivering Agent

Counter Party Name BP11000350 - ECONOMY BANK

Buyer/Seller Name BP11000350 - ECONOMY BANK

Receiving/Delivering Party

Narratives

Close

Client Details

Securities Account No. Account Number of the account holding the securities.

Securities Account Name Name of the securities Account

Transaction Details

Bank Reference Uniquely identifies a settlement/received order.

Client Reference The 16-character transaction reference number. Even a part of this reference number can be specified in the search, and records are displayed where starting part of reference number matches with the given search. It is an optional field for Transaction Status Enquiry.

Date of Receipt The date of receipt of trade instruction message.

Place of Settlement The location where securities are kept and settlement takes place. It is an optional field for Transaction Status Enquiry.

Quantity The total quantity to be settled.

Securities Identifier		It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Securities Name		Name of the securities
Settled Amount		The Final Amount settled between client and the counter party.
Settled Quantity		The quantity settled between client and the counter party.
Settlement Amount		It is the Principle amount on which securities are traded.
Settlement Date		The date of settlement.
Trade Date		The date of trade.
Transaction Status		It indicates the status of the transaction. Its possible values are - Unmatched/ Matched/ Canceled/ Settled. It is an optional field for Transaction Status Enquiry.
Order Type		It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Transaction Type		Indicates type of trade. TURN, TRAD, EGSP, SECL, and SECB.
Pool Reference		Reference number sent by the client to identify constituents of a back to back trade. This field will only appear for transaction type "TURN"
Place Safekeeping	Of	It is a Unique identification code assigned to the requested place of safe-keeping (Agent/Depository) of the Security Id.
Reason		The reason code for trade failure.
Tolerance Level		Gives the Tolerance Amount as specified in the Net Settlement Tolerance SI setup for the transaction.
Off Market Indicator		Identifies a trade as an OFF Market Trade.
Short in Security Attribute		The security availability to show settlement of a deliver trade order.
Deal Currency		Currency corresponding to Deal Amount.
Deal Amount		This is amount on which Deal is Initiated. It sis Calculated on the basis of Deal Price and Settlement Quantity.
Deal Price		The price of the security for which Trade was initiated.

Receiving/Delivering Agents

Buyer / Seller Name		The unique identifying code (and name) assigned to a buyer/seller of security. A Buyer /Seller is a person who has placed this order.
Counter Party Name		Name of the receiver or deliverer of securities for the order.
Receiving Delivery Party	/	The unique identifying code and name assigned to a receiver/deliver agent.

Repo Details

Leg		This will indicate that whether the Trade is Opening leg or a Closing leg of Repo.
Repo Rate		The rate used to calculate the repurchase amount.
Repo Reference		It is unique reference number sent by client to identify Opening and Closing leg of Repo Trade.
Secondary Reference	Leg	The Reference number sent in by the client for second leg of a Repo Trade.
Repurchase Amount		The Settlement Amount for the closing leg.

Actual Settlement Details

Cash Settlement Date		The date when the actual cash settlement takes place.
Stock Settlement Date		The date when the actual stock settlement takes place.
Settled Amount		The amount settled between client and the counter party.
Settled Quantity		The quantity settled between client and the counter party.

Failed Reason

Failed Quantity		The failed quantity.
Fail Date		The date on which the order was failed.
Reason		The reason code for trade failure.

5.1.2.1 View Transaction Order Details

The user can view the Transaction Order details through the Client Reference which is provided as a link.

To view the transaction order details, do the following:

1. From the home page, click on the Trade tab.
2. Click on the Transaction Status tab. The Transaction Listing screen opens.
3. Search for the required Transaction Order record.
4. Click on the Client Reference link of the required transaction. The Transaction Order Details screen opens.

5.2 Broker Allegement

BaNCs Securities Processing receives Allegement instructions from agent/depository which is initiated by counter party. These instructions that are either for delivery or receipt of securities are captured in the system as Broker Allegement.

Broker Allegement Enquiry has two sections, Broker Allegement Enquiry and the Result section. User specifies a search criterion on the Broker Allegement Enquiry section and presses the Search Button to view the corresponding outcome in the Results section.

5.2.1 Broker Allegement Enquiry - Field Reference

ABN-AMRO Clearing You are logged in as TCS-CUSTODYUSR @ 27 Oct 2010 | Logout |

Home Enquiry File Transfer Trade Initiation Response Initiation Payment Initiation Help

Asset Valuation Holdings Trade Broker Allegement CA / Proxy Payment Cash Balance Sec Movement

Broker Allegement Enquiry Securities Identifier Scheme ISIN

Templates

Depository Reference Number

Order Type

Status

Settlement Date From*

Counterparty

Securities Account No.

Securities Identifier

Settlement Date To*

Place of Settlement

Search Reset

Results Page: 1 Reorder

Broker Allegement Id	Depository Reference	Status	Order Type	Securities Account No.	Securities Identifier	Trade Date
RVPTRADE009	abcTRADE001	Cancelled	RECEIVE VERSUS PAYMENT	301220-4-001 - CWCUST007-SCA-SG0600002405-FULL NAME	ISIN - LU0085495322	28/07/2010

Depository Reference Number It is the unique reference number of the depository.

Order Type		It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Counter Party		Name of the receiver or deliverer of securities for the order.
Transaction Status		Its possible values can be "Accepted", "Matched", "Rejected", "To Be Repaired", " canceled" and "DK"
Settlement To and From	Date	It is the date of Settlement.
Place Settlement	Of	The location where securities are kept and settlement takes place. It is an optional field for Transaction Status Enquiry.
Securities No.	Account	Account Number of the account holding the securities.
Securities Identifier		It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Trade Date From	To and	The date of trade.
Results		
Securities No.	Account	Account Number of the account holding the securities.
Securities Identifier		It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Broker Id	Allegement	The system generates the Broker Allegement ID when the Broker Allegement is received.
Depository Reference		The reference of the Order sent by the Depository.
Transaction Status		The current status of the Broker Allegement. The system generates the status when the broker Allegement is received. Its possible values can be "Accepted", "Matched", "Rejected", "To Be Repaired", " canceled" and "DK"
Trade Date		The date of trade.
Settlement	Date	The date of settlement of trade.
Amount		The amount of money to be paid or received in exchange of security in a trade order.
Quantity		The total quantity to be settled.

A/c at Agent The Nostro Account using which the trade order is going to settle.
Depository

Order Type		It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
------------	--	---

Counter party		Name of the receiver or deliverer of securities for the order.
---------------	--	--

Place Settlement	Of	The location where securities are kept and settlement takes place. It is an optional field for Transaction Status Enquiry.
---------------------	----	--

Place Settlement	Of	The location where securities are kept and settlement takes place. It is an optional field for Transaction Status Enquiry.
---------------------	----	--

Securities No.	Account	Account Number of the account holding the securities.
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Securities Identifier		It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
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Trade Date To and From		The date of trade.
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5.2.1.1 View Broker Allegement Details

To view the Broker Allegement details, do the following:

1. From the home page, click on the Trade tab.
2. Click on the Broker Allegement tab. The screen Broker Allegement details opens.

5.2.2 Broker Allegement Details - Field Reference

Broker Allegement Details provides the user with a view of the broker Allegement details. The user clicks on the link of the Broker Allegement ID to open the Broker Allegement Details Screen.

Broker Allegement Details has three sections, Transaction Details, Settlement Details and Receiving/Delivering Parties section.

ABN-AMRO Clearing You are logged in as TCS-CUSTODYUSR @ 27 Oct 2010 | Logout |

Home Enquiry File Transfer Trade Initiation Response Initiation Payment Initiation Help

Asset Valuation Holdings Trade Broker Allegement CA / Proxy Payment Cash Balance Sec Movement

Broker Allegement Details

Transaction Details

Broker Allegement Id	RVPTRADE009	Status	Cancelled
Order Type	RECEIVE VERSUS PAYMENT	Depository Reference	abcTRADE001
Trade Date	26/10/2010	Settlement Date	27/10/2010
Instruction Date	26/10/2010	Client Type	Controlled

Settlement Details

Securities Account	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	Securities Identifier	ISIN - LU0085495322
Quantity	UNITS 1,407	Settled Amount	SGD 5,548.32
Deal Price	SGD 5,548.32	Deal Amount	SGD 5,548.32
Place of Settlement	THE CENTRAL DEPOSITORY (PTE) LIMITED	Place of Settlement BIC	ABSAJJD

Receiving/Delivering Parties

CounterParty	ABNAHKHH-FULL NAME	CounterParty BIC	SBSAJAC
Agent/Depository	CWCUST010-CWSG SINGAPORE	A/c at Agent/Depository	CWCUST010-CWSG SINGAPORE

OK

Broker Allegement Id	The system generates the Broker Allegement ID when the Broker Allegement is received.
Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Transaction Status	The current status of the Broker Allegement. The system generates the status when the broker Allegement is received. Its possible values can be "Accepted", "Matched", "Rejected", "To Be Repaired", " canceled" and "DK"
Depository Reference	The reference of the Order sent by the Depository.
Trade Date	The date of trade.
Settlement Date	The date of settlement of trade.
Instruction Date	The date of settlement of trade.
Client Type	It is the type of client associated with the selected Security Account.

Settlement Details

Securities Account No.	Account Number of the account holding the securities.
------------------------	---

Securities Identifier		It is Securities Identifier based on the client preferred numbering scheme mentioned in user profile.
Quantity		The total quantity to be settled.
Settled Amount		Amount of settlement of trade.
Deal Price		Deal Price of the trade order.
Deal Amount		Deal Amount of the trade order.
Place Settlement	Of	The location where securities are kept and settlement takes place. It is an optional field for Transaction Status Enquiry.
Place Settlement BIC	Of	It is the BIC(Bank Identification Code) related to the place of settlement. A unique data that identifies precisely the financial institutions involved in international financial transactions.

Receiving/Delivering Parties

Counter party		The Delivering/Receiving party that interacts with place of settlement.
Counter party BIC		Counter party's bank information code.
Agent/Depository		The Agent or Depository which is going to settle the trade.
A/c Agent/Depository	at	The Nostro Account using which the trade order is going to settle.

6 File Transfer

The user can create transactions and responses in the system by downloading the standard template, filling the details and uploading it back using the File Transfer tab. The uploaded file needs to be authorized so that it can be submitted for further validation before processing at the back end system.

6.1 Upload File

The Upload File tab provides the user the facility to upload the Transaction Details and Response Details in the pre-defined template (available in Download Trade Template or Download CA Template). The format and structure of template file should not be altered/amended for a successful file upload. Only files in .CSV format can be uploaded.

6.1.1 Upload File - Field Reference

The user can upload a file for creating a transaction or response.

File Name	It is the name and complete path of the file to be uploaded. A Browse button is provided to select a file from the user's system
File Type	It is the type of file. To create a Response, the File Type should be CA Order Creation.
Remarks	The user can give some remarks for the uploaded file

To upload a file, do the following:

1. From the home page, click on File Transfer tab.
2. Click on Upload File tab. The Upload File screen opens.
3. Select a file from the Browse button or write the complete path and name of the file in File Name.
4. Select the type of the file.
5. Enter Remarks, if required.
6. Click on Upload File. The Acknowledgment screen appears. Click OK on the Acknowledgment screen. If successfully uploaded, the file would be listed in File Authorize screen.
7. If successfully uploaded, the records of the file will be available in Response Authorize screen in Pending Authorization status. User can search for the records of a specific file using File Name field in Response Authorization screen.

6.2 File Authorize

Uploaded transaction details files in all status can be viewed from the File View tab.

6.2.1 File Authorize- Field Reference

File Authorize

Templates

File Type:

Upload Date From*:

Upload Date To*:

Page: 1 [Reorder](#)

File Name	File Type	No. of Transactions	Upload Date	Uploaded By	Remarks
OrderTemplatecast01	Trade Order	1	01/12/2009 08:23:38	TCS-CUSTODYUSR	
CATemplatecast00	CA Response	2	01/12/2009 08:22:22	TCS-CUSTODYUSR	

File Name	It is Name of the uploaded file
File Type	Type of uploaded file
No. of Transactions	It indicates the no of transactions that have been entered in the uploaded file.
Remarks	Remarks text given while uploading the file
Status	Status of the uploaded file
Upload Date	Calendar date on which the file was uploaded in the system
Upload Date From / Upload Date To	Range of calendar dates on which the file was uploaded, to search for a particular file(s). It is mandatory for File View search
Uploaded By	IDEAL Custody Log in account Id who uploaded the file

6.2.2 Authorizing/Rejecting a file

The user can authorize the uploaded Trade Order/ CA Response File. The user can also open the files by clicking on the file name. Authorization is required if file upload is at Record Level

To authorize records of a file, do the following:

1. From the home page, click on File Transfer tab.
2. Click on File tab. The File screen appears.

3. Select a successfully uploaded file and click on authorize button
4. Acknowledgemnt screen will appear indicating file has authorized/rejected sucessfully

6.3 File View

Uploaded transaction details files in all status can be viewed from the File View tab.

6.3.1 File View - Field Reference

File View

Templates

Status: File Type:

Upload Date From: Upload Date To:

Page : 1 [Reorder](#)

File Name	File Type	No. of Transactions	Upload Date	Uploaded By	Authorised Date	Authorised By	Remarks	Status
Trade001	TradeOrder	1	05/12/2009 08:42:41	TCS-CUSTODYUSR			DUPLICATE	
OrderTemplatebtlocal12	TradeOrder	1	04/12/2009 12:10:26	TCS-CUSTODYUSR			SDF	UPLOADED
CA0044	CAResponse	1	04/12/2009 12:20:35	TCS-CUSTODYUSR			SDF	FAILED
OrderTemplatebt24feb10	TradeOrder	1	04/12/2009 12:30:45	TCS-CUSTODYUSR			SDF	UPLOADED WITH ERRORS

Authorized By	It is Custody Log in account id who authorized the file
Authorized Date	It is Date and time on which the file was authorized
File Name	It is Name of the uploaded file
File Type	Type of uploaded file
No. of Transactions	It indicates the no of transactions that have been entered in the uploaded file.
Remarks	Remarks text given while uploading the file
Status	Status of the uploaded file

Upload Date	Calendar date on which the file was uploaded in the system
Upload Date From / Upload Date To	Range of calendar dates on which the file was uploaded, to search for a particular file(s). It is mandatory for File View search
Uploaded By	IDEAL Custody Log in account Id who uploaded the file

6.3.2 Viewing a file

The user can view the uploaded Trade Order CA Response File. The user can also open the files by clicking on the file name.

To view a file, do the following:

1. From the home page, click on File Transfer tab.
2. Click on File View tab. The File View screen appears.
3. Search for the required record (file).
4. Select the file from the list of files displayed.

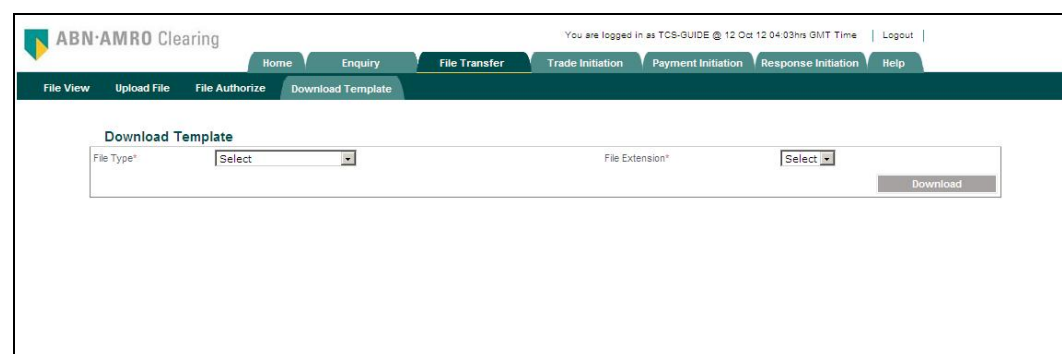
Click on the File Name. A download box appears, giving the user the options of opening and saving the file.

To view records of a file, do the following:

1. From the home page, click on File Transfer tab.
2. Click on File View tab. The File View screen appears.
3. Select a successfully uploaded file and click on View button
4. Response View screen will open showing the responses uploaded by selected file in result list and name of selected file in File Name field.

6.4 Download Template

The user can create records using the standard template provided on the Download Template tab.



The screenshot displays the ABN-AMRO Clearing web application. At the top, a navigation bar includes links for Home, Enquiry, File Transfer (highlighted), Trade Initiation, Payment Initiation, Response Initiation, and Help. Below this, a sub-navigation bar shows File View, Upload File, File Authorize, and Download Template (highlighted). The main content area is titled 'Download Template' and contains a form with two dropdown menus: 'File Type*' and 'File Extension*', both currently set to 'Select'. A 'Download' button is positioned to the right of these fields. The top right of the interface shows a login status: 'You are logged in as TCS-GUIDE @ 12 Oct 12 04:03hrs GMT Time' and a 'Logout' link.

To download template, do the following:

1. From the home page, click on File Transfer tab.
2. Click on Download Template Tab. The Download Template screen appears.
3. Fill in the required details-File Type & File Extension.
4. Select the File Type from the drop down list as either CA Response Creation or Trade Order Creation.
5. Select the File Extension from the drop down list as either CSV or XML.
6. Click on Download Button. A download box appears, providing the user the options of opening and saving the template. The default name of the file opened/saved is CATemplate.csv or CATemplate.xml for CA Response Creation.

7 Corporate Actions

The corporate action announcements announced are shared by the Bank to their various clients by extending their existing services over the Internet via the Integrated Internet Banking Solution (IBS).

Corporate Actions allows the user to view Corporate Action announcements and related details-

7.1 Corporate Action Details

- q Option Details
- q Eligibility
- q Entitlements
- q Response
- q Bookings

7.1.1 Corporate Actions

Corporate Actions screen has two sections, Corporate Action View and the Result section. User specifies a search criterion on the Corporate Actions View section and presses the Search Button to view the corresponding outcome in the Results section.

7.1.1.1 Corporate Actions- Field Reference

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 05 Oct 12 08:30hrs GMT Time | Logout |

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment Cash Balance CA/Proxy Sec Movement

Corporate Action View Securities Identifier Scheme ISIN

Templates

Market: All Holding Indicator: With

Event Classification: All Event Reference:

Event Type: All Securities Identifier:

Announcement Date From: 05/01/2012 Announcement Date To: 05/02/2012

Ex Date From: Ex Date To:

Record Date From: Record Date To:

Market Deadline From: Market Deadline To:

Advance Search Search Reset

Results Page: 1+ Reorder

Event Reference	Securities Name	Securities Identifier	Event Type	Event Classification	Announcement Date	Event Status	Market	Meeting Date
4012001641002	AAA AUTO GROUP NV EO -1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Authorized	NETHERLANDS	
4012001659002	AAA AUTO GROUP NV EO -1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Authorized	NETHERLANDS	
5012001642002	AAA AUTO GROUP NV EO -1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Partially Paid	NETHERLANDS	
6012001643002	AAA AUTO GROUP NV EO -1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Authorized	NETHERLANDS	
7012001644002	AAA AUTO GROUP NV EO -1	NL0006033375	Dividend Option	Voluntary	30/01/2012	Partially Paid	NETHERLANDS	
1012001602002	AAA AUTO GROUP NV EO -1	NL0006033375	Dividend Option	Voluntary	27/01/2012	Authorized	NETHERLANDS	

Eligibility Entitlements Response Bookings

Announcement Date From/To

It is the date on which the Corporate Action is announced.

User can provide a range of dates (30 days range) in the search criteria. Announcement Date From is mandatory if Announcement Date To is specified and vice versa.

Also, Announcement Date From/To become optional if either Event Reference or Security Identifier is specified.

Holding Indicator

It is used to classify the records depending on whether the user has holding or not on the Corporate Action announced.

For example it has values as

With: If the user selects 'With' and search then all the corporate actions are listed where the user has holding

Without: If the user selects 'Without' then the corporate actions on which user doesn't have holdings are listed.

All: Lists all the corporate actions announced irrespective of clients holding.

By Default '**With**' is selected.

Event Classification

It reflects the Event Classification of the Corporate Action. Possible values are Mandatory, Mandatory With Choice and Voluntary.

Event Reference

A 16 character unique identifier for the event sent to the client in outgoing notifications

Event Status

The processing status of the Corporate Action. Possible values are 'Complete', 'Information Only', 'Prelim Confirmed', 'Prelim Unconfirmed', 'With Entitlements'

Event Type	The type of Corporate Action announced. For example: Cash Dividend, Dividend Reinvestment.
Ex Date From/To	<p>The date on or after which any trade done on the security undergoing the Corporate Action is not eligible for Corporate Action entitlement.</p> <p>User can provide a range of dates in the search criteria. This is an optional search criterion for Corporate Action Enquiry. Ex Date From becomes mandatory if Ex Date To is specified and vice versa.</p>
Effective Date From/To	<p>The date at which an event is officially effective from issuer's Perspective.</p> <p>User can provide a range of dates in the search criteria. This is an optional search criterion for Corporate Action Enquiry. Effective Date From becomes mandatory if Effective Date To is specified</p>
Market	It indicates for which Market CA has been announced.
Market Deadline Date From/To	<p>It is the Issuer / Issuer's Agents Deadline Date to respond, with an election Instruction, to an outstanding offer or privilege.</p> <p>User can provide a range of dates in the search criteria. This is an optional search criterion for Corporate Action Enquiry.</p>
Maturity Date From/To	<p>The date upon which Interest Bearing Security becomes due and principal is paid.</p> <p>User can provide a range of dates in the search criteria. This is an optional search criterion for Corporate Action Enquiry. Market Deadline Date From becomes mandatory if Market Deadline Date To is specified.</p>
Meeting Date From/To	It is the Meeting Date of the event. User can provide a range of dates in the search criteria. This is an optional search criterion for Corporate Action Enquiry. It is only valid for Proxy events
Official Reference Number	Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.
Payment Date From/To	<p>The Date on which the Cash / Security Movement is due to take place.</p> <p>User can provide a range of dates in the search criteria. This is an optional search criterion for Corporate Action Enquiry. Payment Date From becomes mandatory if Payment Date To is specified</p>
Record Date From/To	<p>The Date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.</p> <p>User can provide a range of dates in the search criteria. This is an optional search criterion for Corporate Action Enquiry.</p>
Response Deadline Date From/To	<p>It is the Response Deadline Date of the event - the latest date by which the client gets back to the custodian with the chosen option of cash/security realization.</p> <p>User can provide a range of dates in the search criteria. This is an optional search criterion for Corporate Action Enquiry. Response Deadline Date From becomes mandatory if Response Deadline Date To is specified.</p>

Securities Identifier	Security Number of the security, on which the event is announced, as per client's preferred numbering scheme.
-----------------------	---

7.1.1.2 View Corporate Actions Enquiry

To view the Corporate Actions, do the following:

1. From the home page, click on the Enquiry tab.
2. Click on the Corporate Actions tab. The Corporate Actions Details screen opens.

7.1.2 Corporate Action Details

- q The user can view the Corporate Action details through the Event Reference which is provided as a link.
- q The user can also view the Corporate Action details from the CA Details button provided on the Eligibility, Entitlements, Response and Bookings screens.

7.1.2.1 Corporate Action Details - Field Reference

Corporate Action Details

Event Summary

Event Reference	4012001641002	Event Type	Dividend Option
Event Description	DVOP - 248	Event Classification	Voluntary
Market	NETHERLANDS	Event Status	Authorized
Securities Identifier	NL0006033375	Securities Name	AAA AUTO GROUP NV EO -1
Dividend Type		Official Reference Number	

Key Dates

Announcement Date	30/01/2012	Ex Date	31/01/2012
Record Date	02/02/2012	Meeting Date	
Interest Start Date		Interest End Date	
Rights Trading Start Date		Rights Trading End Date	
Effective Date		Maturity Date	
Last Date of Trade	16/02/2012		

Option Details

Option Number	Description	Currency	Details	Default	Response Deadline Date (GMT Time Zone)	Market Deadline Date
1	Cash			Yes	31/01/2012 13:30:00	01/02/2012 13:30:00
2	Securities Option		SCU-5:1:NL0006033375:NL0006033375,CR	No	31/01/2012 13:30:00	01/02/2012 13:30:00
3	No Action			No	31/01/2012 13:30:00	01/02/2012 13:30:00

Eligibility Entitlements Response Bookings

Event Summary

Dividend Type	Specifies the conditions in which a dividend is paid. Possible Values are 'Regular', 'Final', 'Special', 'Interim'.
Event Classification	It reflects the Event Classification of the Corporate Action. Possible values are Mandatory, Mandatory With Choice and Voluntary.
Event Reference	A 16 character unique identifier for the event sent to the client in outgoing notifications It is a hyperlink used to navigate to the CA Details.
Event Status	The processing status of the Corporate Action. Possible values are 'Complete', 'Information Only', 'Prelim Confirmed' , 'Prelim Unconfirmed', 'With Entitlements'
Event Type	The type of Corporate Action announced. For example Cash Dividend, Dividend Reinvestment.
Event Description	Description of the Corporate Action announced.
Official Reference Number	Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.
Securities Identifier	Security Number of the security, on which the event is announced, as per client's preferred numbering scheme.
Securities Name	It is the Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.

Key Dates

Announcement Date	It is the date on which the Corporate Action is announced.
Ex Date	The date on or after which any trade done on the security undergoing the Corporate Action is not eligible for Corporate Action entitlement.
Effective Date	The date at which an event is officially effective from issuer's Perspective.
Interest End Date	The Interest end date as per Corporate Action Set up on Custody
Interest Start Date	The Interest start date as per Corporate Action Set up on Custody
Maturity Date	The date upon which Interest Bearing Security becomes due and principal is paid
Meeting Date	It is the Annual General Meeting Date of the event, only for proxy events.
Record Date	The Date at which positions are struck at the end of the day to note which

parties will receive the relevant amount of entitlement, due to be distributed on payment date.

Last Date of Trade	It is the date by which the actual custody positions of a security account for a corporate event are computed by the system. This is also known as First Eligibility Date.
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Rights Trading End Date	The Trade End date of the renounceable PALS.
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Rights Trading Start Date	The Trade Start date of the renounceable PALS.
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Option Details

Description	Describes the Corporate Action Option like 'CASH', 'SECU', 'NOAC' etc.
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Details	<p>For Cash Basis it shows the 'Realization Type' - 'Realization Currency' 'Realization Amount' and the CR/DR indicator</p> <p>For Stock Basis it shows the 'Disbursed Security': 'Base security' 'Disbursement Type' - 'Disbursed Qty': 'Base Qty' 'Tax Rate' and CR/DR indicator</p>
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Default	<p>This will be marked as "Yes" or "No" based on default option on outgoing MT564 swift announcements sent from BaNCS to its clients.</p> <p>It Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner</p>
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Market Deadline Date	It is the Issuer / Issuer's Agents Deadline Date to respond, with an election Instruction, to an outstanding offer or privilege.
----------------------	--

Option Number	This will be shown as per Option numbers sent to client from BaNCS as per outgoing MT564 CA announcement messages.
---------------	--

Payment Date	The Date on which the Cash / Security Movement is due to take place
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Exchange Rate Fixing Date	The date on which Exchange rate is fixed between two currencies under consideration.
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Response Deadline Date	It is the Response Deadline Date of the event - the latest date by which the client gets back to the custodian with the chosen option of cash/security realization.
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7.1.2.2 View Corporate Action Details

To view the Corporate Action details, do the following:

1. Log on to the Web Channel's Application.
2. Click on Enquiry Tab
3. And then open Corporate Actions screen.
4. Search for the required Corporate Action record.
5. Click on the Event Reference hyperlink of the required event from the Results section. The Corporate Action Details screen opens.

Corporate Action Details

Event Summary

Event Reference	4012001641002	Event Type	Dividend Option
Event Description	DVOP - 248	Event Classification	Voluntary
Market	NETHERLANDS	Event Status	Authorized
Securities Identifier	NL0006033375	Securities Name	AAA AUTO GROUP NV EO -,1
Dividend Type		Official Reference Number	

Key Dates

Announcement Date	30/01/2012	Ex Date	31/01/2012
Record Date	02/02/2012	Meeting Date	
Interest Start Date		Interest End Date	
Rights Trading Start Date		Rights Trading End Date	
Effective Date		Maturity Date	
Last Date of Trade	16/02/2012		

Option Details

Option Number	Description	Currency	Details	Default	Response Deadline Date (GMT Time Zone)	Market Deadline Date
1	Cash			Yes	31/01/2012 13:30:00	01/02/2012 13:30:00
2	Securities Option		SCU-5:1:NL0006033375:NL0006033375:CR	No	31/01/2012 13:30:00	01/02/2012 13:30:00
3	No Action			No	31/01/2012 13:30:00	01/02/2012 13:30:00

Eligibility Entitlements Response Bookings

Following buttons are present below the "Key Dates" section on "Corporate Action Details" screen -

- q Narratives
- q Further Details

7.1.2.3 Narratives

All the Sequence D and Sequence F Narratives coming in the MT564 message are present in the Narratives pop up.

To view the Event details, do the following:

1. Log on to the Web Channel's Application.
2. Click on Enquiry Tab
3. And then open Corporate Actions screen.
4. Search for the required Corporate Action record.
5. Click on the Event Reference hyperlink of the required event. The Corporate Action Details screen opens.

- Click on the Narratives button to view the event terms - Sequence D and Sequence F narrative of MT564.

Corporate Action Details

Event Summary

Event Reference: **Narratives**

Message Type	Option Number	Narrative Type	Narrative
MT564SEQF		Additional Text	DVOP EVENT TESTING
MT564SEQE	1 - Cash	Additional Text	CASH DISTRIBUTION
MT564SEQE	2 - Securities Option	Additional Text	SEC DISTRIBUTION
MT564SEQE	3 - No Action	Additional Text	NO ACTION

Dividend Option: Voluntary
Authorized: AAA AUTO GROUP NV EO -,1
31/01/2012

Buttons: Narrative, Further Details, Reorder, OK

Timeline Date (GMT Time Zone) | Market Deadline D
:00 | 01/02/2012 13:30:00
:00 | 01/02/2012 13:30:00
:00 | 01/02/2012 13:30:00

Eligibility | Entitlements | Response | Bookings

7.1.2.4 Further Details

All the Sequence C Narratives coming in the MT568 message are present in the Further Details pop up.

To view the Further details, do the following:

Log on to the Web Channel's Application.

- Click on Enquiry Tab
- And then open Corporate Actions screen.
- Search for the required Corporate Action record.
- Click on the Event Reference hyperlink of the required event. The Corporate Action Details screen opens.
- Click on the Further Details button to view the additional details of the event. - Sequence C narrative of MT568.

ABN-AMRO Clearing

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Home Enquiry File Transfer Trade Initiation Response Initiation Payment Initiation Help

Asset Valuation Holdings Trade Broker Allegement CA / Proxy Payment Cash Balance Sec Movement

Corporate Action Details

Event Summary

Event Reference	2009049664	Event Type	CALL ON INTERMEDIATE SECURITIES
Event Description	PROP RENOUNCE RTS ISSUE - KREIT	Event Classification	VOLUNTARY
Market	UNITED KINGDOM	Event Status	COMPLETE
Security	SP/755555555		K-REIT ASIA

Dividends

Further Details

Narrative

Taxable income of SGD0.0383/unit Dist period 01Jan09 to 30Jun09 Tax will not be deducted at the prevailing corporate tax rate where the beneficial owners of the units are:

1. Individuals and the units that are not held through a partnership in Spore (0pct) tax rate.
2. Foreign non-individual investor (eligible for 10pct tax) You are requested to complete Declaration to IRAS for Spore Tax Purpose Form B and its annexes (to be sent to you via email). Pls return the completed annexes via email to BANK Deadline to submit is 11Aug09, 5pm (Spore Time).

Options

Option	Option	Default	Response
1	K-REIT ASIA RIGHTS : K-REIT ASIA : RATIO TO BASE QTY - HOLDINGS - 1.0 : 1.0.DR;1.9558300000000000	No	11/11/20
2	LAPSE SGD	Yes	11/11/20
3	EXCESS SGD	No	11/11/20
4	SELL SGD	No	11/11/20

Eligibility Entitlements Response Bookings

7.1.3 Eligibility Details

When a client selects a Corporate Action and click on Eligibility button, browser window is refreshed with client's Eligibility details.

The user can also view the Eligibility details of an event from the Eligibility button provided on the Corporate Action Details, Entitlements, Response and Bookings.

7.1.3.1 Eligibility - Field Reference

ABN-AMRO Clearing

You are logged in as TCS-GUIDE @ 12 Oct 12 04:03hrs GMT Time | Logout

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment Cash Balance Future Cash CA/Proxy Sec Movement

Eligibility

+ Corporate Action View Details

Position Details

Securities Account No.	Securities Name	Provisional Eligibility	Securities Identifier	Eligible Balance	Settled Balance	Pending Receive	Pending Deli
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -.1		NL0006033375	2,000		2,000	
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -.1		NL0006033375	4,000		4,000	
110003530001 - ABN SCA 1	AAA AUTO GROUP NV EO -.1		NL0006033375	1,000		1,000	
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -.1		NL0006033375	16,800	8,000	8,800	

Trade Details

Securities Account No.	Securities Name	Securities Identifier	Trade Date	Value Date	Transaction Type	Trade Quantity	Cash Amount	Counter
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -.1	NL0006033375	20/01/2012	20/01/2012	RFP	2,000		BF11000
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -.1	NL0006033375	20/01/2012	20/01/2012	RFP	2,500		BF11000
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -.1	NL0006033375	20/01/2012	20/01/2012	RFP	1,500		BF11000
110003530001 - ABN SCA 1	AAA AUTO GROUP NV EO -.1	NL0006033375	20/01/2012	20/01/2012	RFP	1,000		BF11000
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -.1	NL0006033375	20/01/2012	20/01/2012	RFP	800		BF11000
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -.1	NL0006033375	20/01/2012	20/01/2012	RFP	8,000		BF11000
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -.1	NL0006033375				8,000		

CA Details Entitlements Response Bookings

Note: Any amount/quantity which is debited in the system will be displayed with negative signage.

Trade Details

Securities Account No.	It is the Security Account Id suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Trade Date	It is the date at which trade is executed in the system.
Value Date	It is the date of credit of realization of corporate action.
Transaction Type	It is the terms of underlying trade transaction between the counter-parties. Possible Values are 'Delivery Free of Payment', 'Delivery Versus Payment', 'Received Free of Payment', and 'Receive Versus Payment'.
Trade Quantity	It is the quantity of trade placed/executed
Cash Amount	It is the Cash Amount value of the Trade. It is represented in the system as cash amount with currency.
Counter-party Name	It is the name of the person/institution entering the contract on the opposite sides of the transaction. It is the combination of counter-party Id followed by its name created in the system.

Position Details

Securities Account No.	It is the Security Account Id suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Eligible Balance	It is the sum of Settled Balance + Pending Receive-Pending Delivery across an event reference, security account and eligible security for specified value date.
Settled Balance	It is the Sum of Entitled Nominal's which are Settled.
Pending Receive	It is the Sum of Entitled Nominal's which are yet to be Received.
Pending Delivery	It is the Sum of Entitled Nominal's which are yet to be Delivered.
Provisional Eligibility	It is a check box when checked will depict that the eligibility shown is provisional eligibility, i.e. eligibility before the First Eligibility Date

7.1.3.2 View Eligibility Details

To view the Eligibility details, do the following:

1. Log on to the Web Channel's Application.
2. From the home page, click on the Enquiry tab
3. Click on CA/Proxy Tab the Corporate Actions Details screen opens.
4. Search for the required Corporate Action record.
5. Select the required event from the Results section.
6. Click on Eligibility button. The Eligibility screen opens.
7. Click on the CA Details, Entitlements or Bookings button to view the respective details.

ABN·AMRO Clearing

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment Cash Balance Future Cash CA/Proxy Sec Movement

Eligibility

+ Corporate Action View Details

Position Details

Securities Account No.	Securities Name	Provisional Eligibility	Securities Identifier	Eligible Balance	Settled Balance	Pending Receive	Pending Deliv
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -1	<input type="checkbox"/>	NL0006033375	2,000		2,000	
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -1	<input type="checkbox"/>	NL0006033375	4,000		4,000	
110003530001 - ABN SCA 1	AAA AUTO GROUP NV EO -1	<input type="checkbox"/>	NL0006033375	1,000		1,000	
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -1	<input type="checkbox"/>	NL0006033375	16,800	8,000	8,800	

Trade Details

Securities Account No.	Securities Name	Securities Identifier	Trade Date	Value Date	Transaction Type	Trade Quantity	Cash Amount	Counter
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -1	NL0006033375	20/01/2012	20/01/2012	RFP	2,000		BP11000
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -1	NL0006033375	20/01/2012	20/01/2012	RFP	2,500		BP11000
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -1	NL0006033375	20/01/2012	20/01/2012	RFP	1,500		BP11000
110003530001 - ABN SCA 1	AAA AUTO GROUP NV EO -1	NL0006033375	20/01/2012	20/01/2012	RFP	1,000		BP11000
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -1	NL0006033375	20/01/2012	20/01/2012	RFP	800		BP11000
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -1	NL0006033375	20/01/2012	20/01/2012	RFP	8,000		BP11000
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -1	NL0006033375				8,000		

CA Details Entitlements Response Bookings

7.1.4 Entitlement Details

When client will select a corporate action and click on Entitlement button, browser window will be refreshed with client's Cash and Security Entitlement details.

The user can also view the entitlement details of an event from the Entitlement button provided on the Corporate Action Details, Eligibility, Response and Bookings screens.

7.1.4.1 Entitlement - Field Reference

Entitlement

+ Corporate Action Details

Cash Entitlements

Securities Account No.	Securities Name	Securities Identifier	Exercised Quantity	Gross Amount	Net Amount	Tax Amount	Tax Rate	Pre funds
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -1	NL0006033375	1,500	EUR 375	EUR 375			
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -1	NL0006033375	2,500	EUR 625	EUR 625			
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -1	NL0006033375	1,500	EUR 375	EUR 375			
110003530001 - ABN SCA 1	AAA AUTO GROUP NV EO -1	NL0006033375	1,000	EUR 250	EUR 250			
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -1	NL0006033375	8,000	EUR 2,000	EUR 2,000			
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -1	NL0006033375	8,000	EUR 2,000	EUR 2,000			
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -1	NL0006033375	800	EUR 200	EUR 200			

Stock Entitlements

Securities Account No.	Securities Name	Securities Identifier	Quantity	Entitled Securities	Entitled Identifier	Entitled Quantity	Tax Amount
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -1	NL0006033375	500	AAA AUTO GROUP NV EO -1	NL0006033375	100	

CA Details Eligibility Response Bookings

Note: Any amount/quantity which is debited in the system will be displayed with negative signage.

Cash Entitlements

Exercised Quantity	Sum of Exercised Quantity across an Event Reference, Security Account, Security Id, Option No and Sub option no for a given value date.
Gross Amount	Sum of Gross Amount (in Gross Amount Currency) for the event, Security Account, Security Identifier, Option No, Sub Option No for a given value date. It is displayed with the currency
Net Amount	Sum of Net Amount (in Net Amount Currency) for the event, Security Account, Security Identifier, Option No, Sub Option No for a given value date. It is displayed with the currency
Option Number	The Option Number, along with description of the Option for which Entitlement is generated.
Securities Account No.	It is the Security Account Id suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Base Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Tax Amount	Sum of Tax Amount (with Tax Amount Currency) for the event, Security Account, Security Identifier, Option No, Sub Option No for a given value

Account No.	present) suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Base Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Tax Amount	Sum of Tax Amount (prefixed with Tax Amount currency) across an event reference, Security Account Id, Base Security Id, Option No and Entitled Securities for a given Value date.
Tax Rate	Unique Tax rate as per entitlement record for an event reference, Security Account Id, Base Security Id, Credit/Debit Indicator, Option No and Entitled Securities for a given Value date.
Option Number	The Option Number, along with description of the Option for which Entitlement is generated.

7.1.4.2 View Entitlement Details

To view the Entitlement details, do the following:

1. Log on to the Web Channel's Application.
2. From the home page, click on the Enquiry tab.
3. Click on Corporate Action Tab the Corporate Actions Details screen opens.
4. Search for the required Corporate Action record.
5. Select the required event from the Results section.
6. Click on Entitlement button. The Entitlement screen opens.
7. Click on the CA Details, Eligibility or Bookings button to view the respective details.

You are logged in as TCS-GUIDE @ 05 Oct 12 08:30hrs GMT Time | Logout

[Home](#)
[Enquiry](#)
[File Transfer](#)
[Trade Initiation](#)
[Payment Initiation](#)
[Response Initiation](#)
[Help](#)

[Asset Valuation](#)
[Holdings](#)
[Trade](#)
[Broker Allegement](#)
[Payment](#)
[Cash Balance](#)
[CA/Proxy](#)
[Sec Movement](#)

Entitlement

Corporate Action Details

Cash Entitlements

Securities Account No.	Securities Name	Securities Identifier	Exercised Quantity	Gross Amount	Net Amount	Tax Amount	Tax Rate	Pre fund
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -,1	NL0006033375	1,500	EUR 375	EUR 375			
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -,1	NL0006033375	2,500	EUR 625	EUR 625			
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -,1	NL0006033375	1,500	EUR 375	EUR 375			
110003530001 - ABN SCA 1	AAA AUTO GROUP NV EO -,1	NL0006033375	1,000	EUR 250	EUR 250			
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -,1	NL0006033375	8,000	EUR 2,000	EUR 2,000			
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -,1	NL0006033375	8,000	EUR 2,000	EUR 2,000			
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -,1	NL0006033375	800	EUR 200	EUR 200			

Stock Entitlements

Securities Account No.	Securities Name	Securities Identifier	Quantity	Entitled Securities	Entitled Identifier	Entitled Quantity	Tax Amount	T
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -,1	NL0006033375	500	AAA AUTO GROUP NV EO -,1	NL0006033375	100		

[CA Details](#)
[Eligibility](#)
[Response](#)
[Bookings](#)

7.1.5 Response Details

When client will select a Corporate Action Event Reference and click on Response button, browser window will be refreshed with booking details.

The user can also view the Response details of an Elective Event from the Response button provided on the CA Details, Eligibility, Entitlements and Bookings screens.

7.1.5.1 Response - Field Reference

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 05 Oct 12 06:30hrs GMT Time | Logout

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment Cash Balance CA/Proxy Sec Movement

Response

Corporate Action Details

Event Summary

Event Reference	4012001641002	Event Type	Dividend Option
Event Description	DVOP - 248	Event Classification	Voluntary
Market	NETHERLANDS	Event Status	
Securities Identifier	NL0006033375	Securities Name	AAA AUTO GROUP NV EO -,1
Dividend Type		Official Reference Number	

Key Dates

Announcement Date	30/01/2012	Ex Date	31/01/2012
Record Date		Meeting Date	
Interest Start Date		Interest End Date	
Rights Trading Start Date		Rights Trading End Date	
Effective Date		Maturity Date	
Last Date Of Trade	16/02/2012		

Response Details

Securities Account No.	Securities Name	Securities Identifier	Response Type	Response / Accepted Quantity	Response Date	Response Status	Client Reference
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -,1	NL0006033375	Cash	1,500	31/01/2012	ACCEPTED	23
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -,1	NL0006033375	Securities Option	500	31/01/2012	ACCEPTED	34
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -,1	NL0006033375	Cash	4,000	31/01/2012	ACCEPTED	56
110003530001 - ABN SCA 1	AAA AUTO GROUP NV EO -,1	NL0006033375	Cash	1,000	23/02/2012	ACCEPTED	
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -,1	NL0006033375	Cash	16,800	23/02/2012	ACCEPTED	

CA Details Eligibility Entitlements Bookings

Note: Any amount/quantity which is debited in the system will be displayed with negative signage.

Response Details

Securities Account No	It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Base Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Response Type	It is the type of response which has been created
Response Accepted Quantity	Its is the quantity for which response has been authorised.
Response Date	It will show the date when response has been created.
Client Reference Number	It will be a reference number enter by user.

7.1.5.2 View Response Details

To view the Response details, do the following:

1. Log on to the Web Channel's Application.
2. From the home page, click on the Enquiry tab.
3. Click on Corporate Action Tab the Corporate Actions Details screen opens.
4. Search for the required Corporate Action record.
5. Select the required event from the Results section.
6. Click on Response button. The Response screen opens.
7. Click on the CA Details, Eligibility, Entitlements or Bookings button to view the respective details.

Response

Corporate Action Details

Event Summary

Event Reference	4012001641002	Event Type	Dividend Option
Event Description	DVOP - 248	Event Classification	Voluntary
Market	NETHERLANDS	Event Status	
Securities Identifier	NL0006033375	Securities Name	AAA AUTO GROUP NV EO -,1
Dividend Type		Official Reference Number	

Key Dates

Announcement Date	30/01/2012	Ex Date	31/01/2012
Record Date		Meeting Date	
Interest Start Date		Interest End Date	
Rights Trading Start Date		Rights Trading End Date	
Effective Date		Maturity Date	
Last Date Of Trade	16/02/2012		

Response Details

Securities Account No.	Securities Name	Securities Identifier	Response Type	Response / Accepted Quantity	Response Date	Response Status
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -,1	NL0006033375	Cash	1,500	31/01/2012	ACCEPTED
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -,1	NL0006033375	Securities Option	500	31/01/2012	ACCEPTED
110003480003 - CITCO Client003	AAA AUTO GROUP NV EO -,1	NL0006033375	Cash	4,000	31/01/2012	ACCEPTED
110003530001 - ABN SCA 1	AAA AUTO GROUP NV EO -,1	NL0006033375	Cash	1,000	23/02/2012	ACCEPTED
110003530003 - ABN SCA 3	AAA AUTO GROUP NV EO -,1	NL0006033375	Cash	16,800	23/02/2012	ACCEPTED

CA Details Eligibility Entitlements Bookings

7.1.6 Bookings Details

When client will select a Corporate Action Event Reference and click on Booking button, browser window will be refreshed with booking details.

The user can also view the Booking details of an event from the Booking button provided on the CA Details, Eligibility, Entitlements and Response screens.

7.1.6.1 Bookings - Field Reference

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 05 Oct 12 08:30hrs GMT Time | Logout |

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment Cash Balance CA/Proxy Sec Movement

Bookings

+ Corporate Action Details

Cash Bookings

Securities Account Number	Securities Name	Securities Identifier	Value Date	Option Number	Gross Amount	Tax Amount	Pre-Funded Amount	Net
110003480001	AAA AUTO GROUP NV EO -.1	NL0006033375	02/02/2012	1 - CSH	EUR 375			
110003480003	AAA AUTO GROUP NV EO -.1	NL0006033375	02/02/2012	1 - CSH	EUR 625			
110003480003	AAA AUTO GROUP NV EO -.1	NL0006033375	02/02/2012	1 - CSH	EUR 375			
110003530001	AAA AUTO GROUP NV EO -.1	NL0006033375	02/02/2012	1 - CSH	EUR 250			
110003530003	AAA AUTO GROUP NV EO -.1	NL0006033375	02/02/2012	1 - CSH	EUR 200			
110003530003	AAA AUTO GROUP NV EO -.1	NL0006033375	02/02/2012	1 - CSH	EUR 2,000			
110003530003	AAA AUTO GROUP NV EO -.1	NL0006033375	02/02/2012	1 - CSH	EUR 2,000			

Stock Bookings

Securities Account Number	Securities Name	Securities Identifier	Disburse Name	Disburse Identifier	Quantity	Availability Date	Post Date	
110003480001 - CITCO BANK	AAA AUTO GROUP NV EO -.1	NL0006033375	AAA AUTO GROUP NV EO -.1	NL0006033375	100	05/10/2012	23/02/2012	2

CA Details Eligibility Entitlements Response

Note: Any amount/quantity which is debited in the system will be displayed with negative signage.

Cash Bookings

Securities Account No	It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Base Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Value Date	It is the date of credit of realization of corporate action
Option Number	Option Number, along with description for which the Booking is done.
Gross Amount	Sum of Gross Amount (in Gross Amount Currency) for the event, Security Account, Security Identifier, Option No, Sub Option No for a given value date.
Tax Amount	Sum of Tax Amount (in Tax Amount Currency) for the event, Security Account, Security Identifier, Option No, Sub Option No for a given value date.
Pre Funded Amount	It's the tax reclaim amount which is paid to specific clients even before the recovery of tax reclaim
Net Amount	Sum of Net Amount (in Net Amount Currency) for the event, Security Account, Security Identifier, Option No, Sub Option No for a given value date.

Net Amount in Pay Currency	It is the Net Amount calculated after applying the Foreign Exchange Rates of the Paying Currency.
Tax Rate	Tax Rate as per the entitlement record for the event, Security Account, Security Identifier, Option No, Sub Option No for a given value date.
Fx Rate	It is the Foreign Exchange Rates
Cash Account	It is the cash account of the client with the custodian created in the system. It is displayed with cash account Id and its name.
Post Date	Date when the Payment has been Posted
Booking Status	It defines what is the status of booking to the client for example projected and posted.

The screenshot displays the ABN-AMRO Clearing web application. The top navigation bar includes links for Home, Enquiry, File Transfer, Trade Initiation, Payment Initiation, Response Initiation, and Help. Below this, a secondary menu shows Asset Valuation, Holdings, Trade, Broker Allegement, Payment, Cash Balance, CA/Proxy, and Sec Movement. The main content area is titled 'Bookings' and contains a 'Corporate Action Details' section. Under 'Cash Bookings', there is a table with columns: Securities Account Number, Securities Name, Securities Identifier, Value Date, Option Number, Gross Amount, Tax Amount, Pre-Funded Amount, and Net. The table lists several entries for 'AAA AUTO GROUP NV EO -.1' with various dates and amounts. Below the cash bookings, there is a 'Stock Bookings' section with a table that includes columns for Securities Account Number, Securities Name, Securities Identifier, Disburse Name, Disburse Identifier, Quantity, Availability Date, and Post Date. A single entry is visible for 'AAA AUTO GROUP NV EO -.1' with a quantity of 100. At the bottom of the interface, there are buttons for 'CA Details', 'Eligibility', 'Entitlements', and 'Response'.

Stock Bookings

Disburse Identifier	It is the Security Number of the Entitled Security as per Client's Preferred Numbering Scheme.
Disburse Name	Security Short Name of the Disbursed Security if the security type is Equity or Warrants. Security Long Name of the Disbursed Security is displayed if the security

	type is Debt Instrument.
Quantity	Sum of Disbursed Quantity across the event, Security Account, Security Identifier, Option No and Entitled Security for the given value date.
Option Number	Option Number, along with description for which the cash/security entitlement is generated.
Securities Account No	It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Base Security's Short Name if the Security is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Available Date	Date when stock payment is done.
Post Date	Date when the Payment has been Posted.
Booking Status	It defines what is the status of booking to the client for example projected and posted.

7.1.6.2 View Booking Details

To view the Booking details, do the following:

1. Log on to the Web Channel's Application.
2. From the home page, click on the Enquiry tab.
3. Click on CA/ProxyTab the Corporate Actions Details screen opens.
4. Search for the required Corporate Action record.
5. Select the required event from the Results section.
6. Click on Bookings button. The Bookings screen opens.
7. Click on the CA Details, Eligibility or Entitlements button to view the respective details.

ABN-AMRO Clearing

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment Cash Balance CA/Proxy Sec Movement

Bookings

+ Corporate Action Details

Cash Bookings

Securities Account Number	Securities Name	Securities Identifier	Value Date	Option Number	Gross Amount	Tax Amount	Pre-Funded Amount	Net
110003480001	AAA AUTO GROUP NV EO -,1	NL0006033375	02/02/2012	1 - CSH	EUR 375			
110003480003	AAA AUTO GROUP NV EO -,1	NL0006033375	02/02/2012	1 - CSH	EUR 625			
110003480003	AAA AUTO GROUP NV EO -,1	NL0006033375	02/02/2012	1 - CSH	EUR 375			
110003530001	AAA AUTO GROUP NV EO -,1	NL0006033375	02/02/2012	1 - CSH	EUR 250			
110003530003	AAA AUTO GROUP NV EO -,1	NL0006033375	02/02/2012	1 - CSH	EUR 200			
110003530003	AAA AUTO GROUP NV EO -,1	NL0006033375	02/02/2012	1 - CSH	EUR 2,000			
110003530003	AAA AUTO GROUP NV EO -,1	NL0006033375	02/02/2012	1 - CSH	EUR 2,000			

Stock Bookings

Securities Account Number	Securities Name	Securities Identifier	Disburse Name	Disburse Identifier	Quantity	Availability Date	Post Date
110003480001 - CITIC BANK	AAA AUTO GROUP NV EO -,1	NL0006033375	AAA AUTO GROUP NV EO -,1	NL0006033375	100	05/10/2012	23/02/2012

CA Details Eligibility Entitlements Response

8 Response Initiation

The user can initiate responses manually from the Response Initiation tab. The response created needs to be authorised so that it can be submitted for further validation before processing at the back-end system. The user can also cancel a response.

8.1 Response List - Field Reference

ABN-AMRO Clearing

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Home Enquiry File Transfer Trade Initiation Response Initiation Payment Initiation Help

View New Calendar Authorise Cancel Audit

Response List

Securities Identifier Scheme ISIN

Templates

Event Reference:

Status:

Response Deadline From:

Response Entry From Date:

Client Reference:

File Name:

Securities Identifier:

Securities Account No.:

Response Deadline To:

Response Entry To Date:

Canc. Related Reference:

Search Reset

Response List

Page: 1 Reorder

	Status	Event Reference	Client Name	Securities Account No.	Securities Name	Securities Identifier
<input type="checkbox"/>	PENDING AUTHORISATION	2009007588	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	FILECON ENGRG (MCD)	GB1T22929868
<input type="checkbox"/>	AUTHORISED	2009007588	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	FILECON ENGRG (MCD)	GB1T22929868
<input type="checkbox"/>	PENDING CANCELLATION	2009007588	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	FILECON ENGRG (MCD)	GB1T22929868

Delete

Search Section

Event Reference	It is the unique reference of the event.
Response Deadline From / To	User can specify the range of Response Deadline Date in dd/mm/yyyy format. A calendar button is also provided for selecting the dates, rather than typing them.
Securities Account No.	It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Status	Status of the response.
Canc. Related Reference	It is the cancellation reference of the response which has been canceled and authorized.

Response List

Authorized By	The authorizer's name appears
Client Reference	The Client Reference of the Response, either specified by the user (while creating the response), or generated by system.
Created By	The creator's name appears
Eligible Holdings	It is the quantity of shares for which the client is eligible.
Entry Date	It is the date and time on which the response was submitted by the user.
Event Reference	It is the unique reference of the event.
File Name	Manual will be visible in File Name if the Response is created from the application else the file name.
Instructed Quantity	The quantity of shares for which the response has been initiated.
Option	It is the event option on which the instruction has been made.
Responded Quantity	It is the quantity of shares for which election has been authorized and submitted in IDEAL Custody.
Response Deadline	It is the Response Deadline Date for the event.
Securities	It is the Old Account Id or Security Account Id (if Old Account Id is not

Account No.	present) suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Security's Short Name if the Security associated with the response is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Status	Status of the response.
Canc. Related Reference	It is the client reference of the original response which has been canceled and authorized.
Remarks	This hyper link displays the client remarks (if any) entered during response creation.

8.2 View a Response

The user can view one or more responses (created through Channels) with its status from the View Response screen available in Response Initiation tab.

Response List

Securities Identifier Scheme ISIN

Templates

Event Reference:

Status:

Response Deadline From:

Response Entry From Date:

Client Reference:

File Name:

Securities Identifier:

Securities Account No.:

Response Deadline To:

Response Entry To Date:

Canc. Related [Reference](#)

Response List

Page: 1

<input type="checkbox"/>	Status	Event Reference	Client Name	Securities Account No.	Securities Name	Securities Identifier
<input type="checkbox"/>	PENDING AUTHORISATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	FILECON ENGRG (MCD)	GB1T22929888
<input type="checkbox"/>	AUTHORISED	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	FILECON ENGRG (MCD)	GB1T22929888
<input type="checkbox"/>	PENDING CANCELLATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	FILECON ENGRG (MCD)	GB1T22929888

To view a response, do the following:

1. From the home page, click on Response Initiation tab.
2. Click on View tab. The View Response screen opens.
3. Search for the record(s) to be viewed.

8.3 Using Calendar Screen

ABN-AMRO Clearing

You are logged in as TCS-CUSTODYUSR @ 27 Oct 2010 | Logout |

Home Enquiry File Transfer Trade Initiation **Response Initiation** Payment Initiation Help

View New **Calendar** Authorise Cancel Audit

Calendar Securities Identifier Scheme ISIN

From Date: 20/10/2010 To Date: 18/11/2010 Search Reset

Calendar View (20/10/2010 to 26/11/2010) Monthly Weekly Fortnightly

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			20	21	22	23
24	25	26	27	28 PILECON ENRG (MCD) / RIGHTS ISSUE	29	30
31 PILECON ENRG (MCD) / RIGHTS ISSUE	01	02	03	04 PILECON ENRG (MCD) / RIGHTS ISSUE	05	06
07	08	09	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	

To view calendar screen, do the following:

1. From the home page, click on Response Initiation tab.
2. Click on Calendar tab from the sub menu. The Calendar screen opens with a calendar view with date range from previously 7 calendar days to next 30 days. The calendar will contain events for which response deadline date falls in the range specified.
3. Search for the event for which the response needs to be initiated.
4. Click on the Securities Name / Event Type hyperlink. The Response screen opens.
5. Enter the required response details - select an option and enter the Instructed Quantity and Client Reference. Click on the Add or Delete link to add a new response, or delete the selected record.
6. Click on the check-box for the record created and click on Submit button to submit it in the system. The Acknowledgment screen appears.
7. Click on OK to complete the initiation process. On successful creation, the response is submitted in 'Pending Authorization' status.

On click of submit button, user will be shown an acknowledgment screen showing the status of the record sent.

8.4 Deleting a Response

The user can delete one or more responses in 'Pending Authorisation' status from the View Response screen available in Response Initiation tab.

To delete a response, do the following:

1. From the home page, click on Response Initiation tab.
2. Click on View tab. The View Response screen opens.
3. Search for the record(s) to be deleted.

Response List

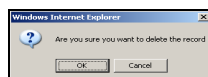
Search and Reset buttons are present.

Status	Event Reference	Client Name	Securities Account No.	Securities Name	Securities Identifier
PENDING AUTHORISATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	PILECON ENRG (MCD)	GB1T22929858
AUTHORISED	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	PILECON ENRG (MCD)	GB1T22929858
PENDING CANCELLATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	PILECON ENRG (MCD)	GB1T22929858

Page: 1 Reorder

Delete button at the bottom right.

4. Select the required response and click on the Delete button. A pop-up appears to confirm the deletion.



5. Click on Yes to continue with deletion. The Acknowledgment screen appears.
6. Click on OK to complete the deletion process. On successful deletion, the status of the response changes to 'Deleted'.

Acknowledgment

Client Reference	Status	Success/Failure
testresp1	Success	Record Deleted Successfully

OK button at the bottom right.

8.5 Creating a Response

The user can initiate responses for an event from the Corporate Action View and Calendar screen available in Response Initiation tab.

8.5.1 From Corporate Action View Screen

1. From the home page, click on Response Initiation tab.
2. Click on New tab. The Corporate Action View screen opens with a list of events for which Response Deadline Date falls in the next 7 calendar days.

Corporate Action View Securities Identifier Scheme ISIN

Templates

Market: Select

Event Classification: All

Event Type: All

Announcement Date From:

Ex Date From:

Record Date From:

Market Deadline From:

Response Deadline From: 27/10/2010

Holding Indicator*: Without

Event Reference:

Securities Identifier:

Announcement Date To:

Ex Date To:

Record Date To:

Market Deadline To:

Response Deadline To: 02/11/2010

Search Reset

Results Page: 1 Reorder

SLA	Response Deadline	Event Reference	Event Type	Securities Name	Securities Identifier	Total Instructed Quantity	Total Uninstructed Q
30/03/2010 13:00:00	2010007622 / R010007622	DUTCH AUCTION	FILECON ENGRG (MCD)	GB1T22929874	1000	1000	
28/03/2010 13:00:00	2010007623 / R010007623	DUTCH AUCTION	FILECON ENGRG (MCD)	GB1T22929875	1000	1000	
31/10/2010 13:00:00	2010007624 / R010007624	DUTCH AUCTION	FILECON ENGRG (MCD)	GB1T22929876	1000	1000	
31/10/2010 13:00:00	2010007625 / R010007625	ANNUAL GENERAL MEETING	FILECON ENGRG (MCD)	GB1T22929876	1000	1000	

Response

3. Search for the event for which the response needs to be initiated.
4. Select the required event and click on the Response button. The Response screen opens.

Response

Corporate Action Details

Client Name:

Securities Account No.:

Search

Response List Page: 1

Select	Securities Account No.	Eligible Quantity	Pending Submission	Options	Instructed Quantity	Instd Qty
<input type="checkbox"/>	301220-4-051 - ABNSWISS-SCA-SG0000007270-FULL NAME	16	0	Select	<input type="checkbox"/>	

Save Submit Cancel

5. Enter the required response details - select an option and enter the Instructed Quantity and Client Reference. Click on the Add or Delete link to add a new response, or delete the selected record.
6. Click on the check-box for the record created and click on Submit button to submit it in the system. The Acknowledgment screen appears.

ABN-AMRO Clearing

You are logged in as TCS-CUSTODYUSR @ 27 Oct 2010 | Logout

Home Enquiry File Transfer Trade Initiation Payment Initiation **Response Initiation** Help

View New Calendar Authorise Cancel Audit

Acknowledgement

Account No	Options	Status	Success/Failure
301220-4-051 - ABNSWISS-SCA-SG000007270-FULL NAME	1 - AAA	Success	Response Created Successfully with the Client Reference-KUMAR

Ok

7. Click on OK to complete the initiation process. On successful creation, the response is submitted in 'Pending Authorization' status.

8.6 Authorizing a Response

The user can authorize one or more responses from the Authorize Response screen available in Response Initiation tab. The responses in status 'Pending Authorization' or 'Pending Cancellation' can be authorized from this screen.

To authorize a response, do the following:

1. From the home page, click on Response Initiation tab.
2. Click on Authorize tab. The Authorize Response screen opens.
3. Search for the record(s) to be authorized.

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Home Enquiry File Transfer Trade Initiation **Response Initiation** Payment Initiation Help

View New Calendar **Authorise** Cancel Audit

Authorise Response Securities Identifier Scheme ISIN

Templates

Event Reference

Status

Response Deadline From

Response Entry From Date

Client Reference

File Name

Securities Identifier

Securities Account No.

Response Deadline To

Response Entry To Date

Canc. Related Reference

Search Reset

Response List Page: 1 Reorder

<input type="checkbox"/>	SLA	Status	Event Reference	Client Name	Securities Account No.	Securities Name	Securities Ide
<input type="checkbox"/>		PENDING AUTHORISATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	PILECON ENRG (MCD)	GB1T22929808
<input type="checkbox"/>		PENDING AUTHORISATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME		
<input type="checkbox"/>		PENDING AUTHORISATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME		
<input type="checkbox"/>		PENDING CANCELLATION	2009099006	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME		

Authorise Reject

4. Select the required response and click on the Authorize button. The Acknowledgment screen appears.
5. Click on OK to complete the authorization process. On successful authorization, the status of the response changes from 'Pending Authorization' to 'Authorized', or from 'Pending Cancellation' to 'Cancellation Authorized'

ABN-AMRO Clearing You are logged in as TCS-CUSTODYUSR @ 27 Oct 2010 | Logout |

Home Enquiry File Transfer Trade Initiation Payment Initiation **Response Initiation** Help

View New Calendar **Authorise** Cancel Audit

Acknowledgement

Client Reference	Status	Success/Failure
142	Success	Response Authorised Successfully

Ok

8.7 Rejecting a Response

The user can reject one or more responses from the Authorise Response screen available in Response Initiation tab. The responses in status 'Pending Authorisation' or 'Pending Cancellation' can be rejected from this screen.

To reject a response, do the following:

1. From the home page, click on Response Initiation tab.
2. Click on Authorize tab. The Authorize Response screen opens.
3. Search for the record(s) to be rejected.

ABN-AMRO Clearing You are logged in as TCS-CUSTODYUSR @ 27 Oct 2010 | Logout

Home Enquiry File Transfer Trade Initiation **Response Initiation** Payment Initiation Help

View New Calendar **Authorise** Cancel Audit

Authorise Response Securities Identifier Scheme ISIN

Templates

Event Reference

Status

Response Deadline From

Response Entry From Date

Client Reference

File Name

Securities Identifier

Securities Account No.

Response Deadline To

Response Entry To Date

Canc. Related Reference

Search Reset

Response List Page: 1 Reorder

<input type="checkbox"/>	SLA	Status	Event Reference	Client Name	Securities Account No.	Securities Name	Securities Id
<input type="checkbox"/>		PENDING AUTHORISATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME	PILECON ENRG (MCD)	GB1T22929888
<input type="checkbox"/>		PENDING AUTHORISATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME		
<input type="checkbox"/>		PENDING AUTHORISATION	2009007599	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME		
<input type="checkbox"/>		PENDING CANCELLATION	2009099888	ABNAHKHH-FULL NAME	301220-4-001 - CWCUST007-SCA-SG0600003405-FULL NAME		

Authorise Reject

4. Select the required response and click on the Reject button. The Acknowledgment screen appears.
5. Click on OK to complete the authorization process. On successful rejection, the status of the response changes from 'Pending Authorization' to 'Reject' or from 'Pending Cancellation' to 'Cancellation Rejected'.

8.8 Cancellation of a Response

The user can cancel one or more responses from the Cancel Response screen available in Response Initiation tab. The responses in 'Submitted' status can only be cancelled.

To cancel a response, do the following:

1. From the home page, click on Response Initiation tab.
2. Click on Cancel tab. The Cancel Response screen opens.
3. Search for the record(s) to be cancelled.

Cancel Response

Securities Identifier Scheme ISIN

Templates

Event Reference:

Response Deadline From:

Securities Account No.:

Securities Identifier:

Response Deadline To:

Client:

Search Reset

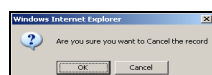
Response List

Page: 1- Reorder

Event Reference	Client Name	Securities Account No.	Securities Name	Securities Identifier	Response/Accepted Quantity	Option
1012001539002	ABN AMRO BANK N.V.	110003530007 - ABN SCA 7	ING Groep1	NL0000303600	48	1 - Cash
4012001541002	CITCO BANK	110003480003 - CITCO Client003	AAA AUTO GROUP NV EO - 1	NL00006033375	4.000	1 - Cash
1012001539002	ABN Client1	120003590001 - Client1 SCA	ING Groep1	NL0000303600		1 - Cash
4011001380002	CITCO BANK	110003480003 - CITCO Client003	Platina Energy Group Inc.	US72705F1093	1.500	2 - Securities Opti
6012001481002	CITCO BANK	110003480003 - CITCO Client003	CNH Global NV	NL0000298933	3.851	1 - Cash
7012001544002	CITCO BANK	110003480001 - CITCO BANK	AAA AUTO GROUP NV EO - 1	NL00006033375	1.500	1 - Cash

Cancel

- Select the required response and click on the Cancel button. A pop-up appears to confirm the cancellation.



- Click on Yes to continue with cancellation. The Acknowledgment screen appears.
- Click on OK to complete the cancellation process. On successful cancellation, the status of the response changes from 'Submitted' to 'Pending Cancellation'.

8.9 Auditing of a Response

Audit allows the user in viewing audit related information of the instruction created (i.e. from creation of record in Channels to submission of response to Bancs) and canceled (i.e. from creation of cancellation request in Channels to submission of cancellation request to Bancs).

The user can audit one or more trade orders on which any one of "AUTHORIZE", "CANCEL", "CREATE", "DELETE", "REJECT", "SUBMIT" operations has been performed.

To audit a response, do the following:

- From the home page, click on Response Initiation tab.
- Click on Audit tab. The Audit Response screen opens.

Audit Field References

Client Reference	The Client Reference of the Response, either specified by the user (while creating the response), or generated by system.
------------------	---

Securities Account No.		It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
Action		It lists action performed for a particular response or instruction. A drop down list will be provided for all the possible actions on an instruction like create, delete, authorize, reject, submit and cancel.
User Id		Id of the user who has performed the action.
Audit From/To	Date	The Range of date during which auditing of Response is intended on any action if specified.
Response Deadline From/To	Date	The Range of Response deadline dates can be given of events for which auditing is being done.

8.9.1.1 View Response Edit Details

To view the Response Audit Details, do the following:

1. From the home page, click on the Enquiry tab.
2. Click on the Corporate Actions tab. The Corporate Actions Details screen opens.

8.9.1.2 Audit Detail Field References

Client Reference	The Client Reference of the Response, either specified by the user (while creating the response), or generated by system.
Audit Date	Date on which action has been performed.
Action	It lists action performed for a particular response or instruction. A drop down list will be provided for all the possible actions on an instruction like create, delete, authorize, reject, submit and cancel.
User Id	Org Id -User Id of the user who has performed action on that instruction will be displayed here..
Field Name	Name of the field of transaction for which changes have been done.
Previous Value	The previous value of the transaction fields.
Current Value	The current value of the transaction fields.

9 Payments

The user can initiate payments manually from the Payment Initiation tab.

9.1 Payment New

The user can create a new payment transaction from Payment Initiation screen. For each type of payment user is shown different fields.

OUTBOUND PAYMENT

The screenshot shows the 'Payment Initiation' screen for 'Outbound' payments. The interface includes a top navigation bar with tabs for Home, Enquiry, File Transfer, Trade Initiation, Payment Initiation (selected), Response Initiation, and Help. Below this is a sub-navigation bar with buttons: View, New (selected), Authorize, Cancel, and Audit. The main form is titled 'Payment Initiation' and contains several sections:

- Payment Details:**
 - Payment Type: Outbound (dropdown)
 - Payment Product: Select (dropdown)
 - Client Reference*: (text field)
 - Value Date*: 12/10/2012 (calendar icon)
 - Order Date*: 12/10/2012 (calendar icon)
 - Amount*: (text field)
 - Currency*: Select (dropdown)
- From Account:**
 - From Cash Account*: (text field with magnifying glass icon)
- To Account:**
 - To Cash Account*: (text field)
 - Name*: (text field with magnifying glass icon)
 - Address: (three stacked text fields)
 - Account With Institution*: (text field with magnifying glass icon)
 - BIC Correspondent Beneficiary: (text field with magnifying glass icon)
 - Description: (text field with up/down arrow icons)
 - Client to Bank info#: (text field with up/down arrow icons)
- Standing Monthly Instruction*:** No (dropdown)

At the bottom right, there is a red warning message: '#Use of Client to Bank Info field might result in Non-STP processing'. Below this are three buttons: 'Save To AddressBook', 'Submit', and 'Reset'.

INBOUND PAYMENT

You are logged in as TCS-GUIDE @ 10 Jan 13 12:12hrs GMT Time
Logout

Home
Enquiry
File Transfer
Trade Initiation
Payment Initiation
Response Initiation
Help

View
New
Authorize
Cancel
Audit

Payment Initiation

Payment Details

Payment Type
Inbound

Client Reference*

Value Date*
10/01/2013

Amount*

To Account

To Cash Account

Related Reference*

Order Date*
10/01/2013

Currency*
Select

Submit
Reset

INTERNAL TRANSFER

You are logged in as TCS-GUIDE @ 10 Jan 13 12:12hrs GMT Time
Logout

Home
Enquiry
File Transfer
Trade Initiation
Payment Initiation
Response Initiation
Help

View
New
Authorize
Cancel
Audit

Payment Initiation

Payment Details

Payment Type
Internal Transfer

Client Reference*

Value Date*
10/01/2013

Amount*

From Account

From Cash Account*

To Account

To Cash Account

Standing Monthly Instruction*
No

Order Date*
10/01/2013

Currency*
Select

Submit
Reset

9.1.1 Payment Initiation Field References

Payment Type	Type of payment instruction. It can be "Outbound", "Inbound" and "Internal Transfer".
Client Reference	The client reference entered by the user while initiating the payment is displayed.
Order ID	It is the unique ID generated at the time of creating a new payment transaction in Bancs.
Order Date	Date on which the Payment Order is captured into the system.
Value Date	This is the date when the funds will be made available in the Nostro account.
Amount	The amount of funds that will be made available on the value date.
Currency	The Currency of the Credit/Debit amount.
Payment Product	It can be "Customer Application" and "Bank Application".
Related Reference.	It is reference number associated with Inbound Payment.

From Account:

From Account	Cash	It indicates debit account of the user.
--------------	------	---

To Account:

Name	Name of the Beneficiary.
Standing Instruction	It indicates the instruction given by account holder to bank to make payment on regular interval to the same payee. It can be "Yes" or "No"
Account Institution	with This field identifies the financial institution, other than the Sender or Receiver, at which the beneficiary of money has been or is to be credited with the amount claimed.
BIC Correspondent Beneficiary	Beneficiary associated with BIC.
To Cash Account	It indicates credit account of the user.
Description	It indicates extra information given by sender to financial institution.
Address	Address of the Beneficiary

Client To Bank Remarks entered by the user while initiating payment.
Info.

To create a payment transaction, do the following:

1. From the Home page, click on Payments tab.
2. Click on New tab. The Payment Initiation screen opens.
3. Fill the required details. Client Reference, Value Date, Order Date, Amount, Currency, From Cash Account, Name, Transaction Purpose are mandatory fields in case Payment Type is "Outbound".
4. Click on the Submit button. The Payment Acknowledgment screen opens. Click OK on the Acknowledgment screen to complete the process of Payment Initiation. If user is a 4I (user account is "Institutional") user The payment will be created in the Pending Authorization status and If user is 2I (user account is "Individual") User the payment will be created in Submitted status.

The payments created by 4 I (user account is "Institutional") user needs to be authorized so that it can be submitted for further validation before processing at the back-end system.

ABN-AMRO Clearing

You are logged in as TCS-GUIDE @ 12 Oct 12 05:22hrs GMT Time | Logout

Home Enquiry File Transfer Trade Initiation **Payment Initiation** Response Initiation Help

View New Authorize Cancel Audit

Payment Details

Payment Details			
Payment Type	Outbound	Payment Product	Customer Transfer
Value Date	24/08/2012	Order Date	24/08/2012
Client Reference	testonsite	Related Reference	
Amount	100	Currency	EUR
Status	Submitted	Canc. Related Reference	
From Account			
From Cash Account	120003800001001 - prakhar		
To Account			
To Cash Account	sdfdsfsdf		
Name	sdfsf	Address	sdfsf
BIC Correspondent Beneficiary		Account With Institution	AFSGNL22
Description		Client to Bank Info	
Standing Monthly Instruction	No		

Close

9.2 Authorize Payment

The user can authorize one or more payment transactions in 'Pending Authorization' status, at the same time, from the Authorize Payments Screen. In case role assigned to Web Channel Log in user account is "Individual" then Payment will automatically be authorized at the time of creation and hence creator and authority can be same else creator and authority for authorization cannot be same.

To authorize/reject a Payment Transaction, do the following:

1. From the Home page, click on Payments tab.
2. Click on Authorize tab. The Authorize Payments screen opens.
3. Search for the required payment record(s).
4. Select the required record(s) and click the Authorize or Reject button.
5. If the user clicks on Authorize from list screen, the Acknowledgment screen opens. On successful authorization, the status of the payment changes from 'Pending Authorization' to 'Authorized'. If the user clicks on Authorize button on Details screen, on successful authorization, the status of the payment changes from 'Pending Authorization' to 'Submitted' and record is sent to BaNCS system real time. User is shown acknowledgment screen depending on the BaNCS processing.
6. If the user clicks on Reject, the Acknowledgment screen opens. On successful rejection, the status of the payment changes from 'Pending Authorization' to 'Rejected'.

Field References

Client Reference	The client reference entered by the user while initiating the payment is displayed.
Order Date From / To	It is the date on which the payment is initiated. User can specify the range of Order Date. A calendar button is also provided for selecting the dates, rather than typing them.
Value Date From / To	It is the date of credit of realization of payment initiated. User can specify the range of Value Date. A calendar button is also provided for selecting the dates, rather than typing them.
From Cash Account	This is the debit account of the user from where the money is transferred. User can directly put the Cash Account reference or can use the search pop-up to search on this field.
Status	Status of Payment Instruction in Channels System.
Canc. Related Reference	Its the client reference number of payment instruction for which cancellation request has been generated.

Result Section

Authorized By	The Authorizes name appears. Only shown in the result section of View tab.
Canc. Related Reference	It is the client reference number of payment for which cancellation request has been generated.
Client Reference	The 16-character transaction reference number.
Payment Type	It indicates the type of payment instruction initiated. It can be "Outbound", "Inbound" and "Internal Transfer".
Created By	The Creators name appears. Only shown in the result section of View tab.
Standing	It indicates the instruction given by account holder to bank to make

Instruction	payment on regular interval to the same payee. It can be "Yes" or "No"
Account with Institution	This field identifies the financial institution, other than the Sender or Receiver, at which the beneficiary of money has been or is to be credited with the amount claimed.
From Cash Account	It indicates debit account of the user.
To Cash Account	It indicates credit account of the user.
Description	It indicates extra information given by sender to financial institution.
Value Date	It is the date of credit of realization of payment initiated
Order Date	It is the date on which payment is initiated.
Amount	Indicates Amount to be credited or debited.
Currency	Indicates the currency entered while initiating payment.

9.2.1 Payment Details - Field References

Payment Details provides the user with a view of the payment. The user clicks on the link of the Client reference to open the Payment Details Screen. Payment Details has three sections, Payment Details, From Account and To Account section.

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 12 Oct 12 05:22hrs GMT Time | Logout |

Home Enquiry File Transfer Trade Initiation **Payment Initiation** Response Initiation Help

View New Authorize Cancel Audit

Payment Details

Payment Type	Outbound	Payment Product	Customer Transfer
Value Date	24/08/2012	Order Date	24/08/2012
Client Reference	testonsite	Related Reference	
Amount	100	Currency	EUR
Status	Submitted	Canc. Related Reference	
From Account			
From Cash Account	120003800001001 - prakhar		
To Account			
To Cash Account	safdsdfsd		
Name	sdfsd	Address	sdfsd
BIC Correspondent Beneficiary		Account With Institution	AFSGNL22
Description		Client to Bank Info	
Standing Monthly Instruction	No		

Close

Payment Details:

Related Reference.	It is reference number associated with Inbound Payment.
Canc. Related Reference	It is the client reference number of payment for which cancellation request has been generated.
Client Reference	The 16-character transaction reference number.
Payment Type	It indicates the type of payment instruction initiated. It can be "Outbound", "Inbound" and "Internal Transfer".
Value Date	It is the date of credit of realization of payment initiated
Order Date	It is the date on which payment is initiated.
Amount	Indicates Amount to be credited or debited.
Currency	Indicates the currency entered while initiating payment.
Payment Product	It can be "Customer Application" and "Bank Application".
Status	Indicates status of the payment order.

From Account:

From Cash Account	It indicates debit account of the user.
-------------------	---

To Account:

Name	Name of the Beneficiary.
Standing Instruction	It indicates the instruction given by account holder to bank to make payment on regular interval to the same payee. It can be "Yes" or "No"
Account With Institution	This field identifies the financial institution, other than the Sender or Receiver, at which the beneficiary of money has been or is to be credited with the amount claimed.
BIC Correspondent Beneficiary	Beneficiary associated with BIC.
To Cash Account	It indicates credit account of the user.
Description	It indicates extra information given by sender to financial institution.

Address	Address of the Beneficiary
Client To Bank Info.	Remarks entered by the user while initiating payment.

9.3 View Payment

The user can view Payment Records in any status, at the same time, from the Payments View Screen.

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Home Enquiry File Transfer Trade Initiation **Payment Initiation** Response Initiation Help

View **New** Authorize Cancel Audit

View Payments

Templates

Standing Instruction* No

Client Reference

Order Date From* 13/08/2012

Value Date From

Canc. Related Reference

Status Select

Order Date To* 12/09/2012

Value Date To

From Cash Account

Search Reset

Results Page : 2+ Reorder

<input type="checkbox"/>	Status	From Cash Account	Client Reference	Payment Type	Value Date	Order Date	Amount	Currency	Standing Instruction	Account
<input type="checkbox"/>	Submitted	120003720001001 - CLIENT3 CASH1	testyouhjl	Outbound	24/08/2012	24/08/2012	12,345	EUR	No	AASQF
<input type="checkbox"/>	Pending Authorization	120003800001001 - prakhar	ssss	Outbound	24/08/2012	24/08/2012	100	EUR	No	AARSC
<input checked="" type="checkbox"/>	Submitted	120003800001001 - prakhar	testonsite	Outbound	24/08/2012	24/08/2012	100	EUR	No	AFSGH
<input type="checkbox"/>	Submitted	120003800001001 - prakhar	shivani	Outbound	24/08/2012	24/08/2012	100	EUR	No	AARSC

Delete

9.3.1 Payment View Details

To view the payment details, do the following:

1. From the Home page, click on Payments tab.
2. Click on View tab. The View Payments screen opens.
3. Search for the required payment.
4. Click on the Client Reference of the required record, which is provided as a link, to open the details of the payments. The Payment Details screen opens.

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Home Enquiry File Transfer Trade Initiation **Payment Initiation** Response Initiation Help

View **New** Authorize Cancel Audit

View Payments

Templates

Standing Instruction* Status

Client Reference Order Date To*

Order Date From* Value Date To

Value Date From From Cash Account

Cancel Related Reference

Search Reset

Results Page : 2+ Reorder

<input type="checkbox"/>	Status	From Cash Account	Client Reference	Payment Type	Value Date	Order Date	Amount	Currency	Standing Instruction	Account
<input type="checkbox"/>	Submitted	120003720001001 - CLIENT3 CASH1	testyouhjl	Outbound	24/08/2012	24/08/2012	12,345	EUR	No	AASQF
<input type="checkbox"/>	Pending Authorization	120003800001001 - prakhar	ssss	Outbound	24/08/2012	24/08/2012	100	EUR	No	AARSC
<input checked="" type="checkbox"/>	Submitted	120003800001001 - prakhar	testonsite	Outbound	24/08/2012	24/08/2012	100	EUR	No	AFSGH
<input type="checkbox"/>	Submitted	120003800001001 - prakhar	shivani	Outbound	24/08/2012	24/08/2012	100	EUR	No	AARSC

Delete

9.3.2 View Payments

The user can view the payment transactions created.

To view a payment transaction, do the following:

1. From the Home page, click on Payments tab.
2. Click on Payments tab. The View Payments screen opens.
3. Search for the required initiated payment.
4. Click on the Client Reference of the required record, which is provided as a link, to open the details of the payments. The Payment Details screen opens.
5. Click on the Close button to close the view.

9.4 Delete Payment

The user can delete one or more payments in "Pending Authorization" or "Pending Cancellation" status from the View Payments screen. Same user who has initiated the cancellation or creation request can delete.

ABN-AMRO Clearing

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Home Enquiry File Transfer Trade Initiation **Payment Initiation** Response Initiation Help

View **New** Authorize Cancel Audit

View Payments

Templates

Standing Instruction*

Client Reference

Order Date From*

Value Date From

Canc. Related Reference

Status

Order Date To*

Value Date To

From Cash Account

Search Reset

Results

Page : 2+ Reorder

<input type="checkbox"/>	Status	From Cash Account	Client Reference	Payment Type	Value Date	Order Date	Amount	Currency	Standing Instruction	Account
<input type="checkbox"/>	Submitted	120003720001001 - CLIENT3 CASH1	testyouhji	Outbound	24/08/2012	24/08/2012	12,345	EUR	No	AASQF
<input type="checkbox"/>	Pending Authorization	120003800001001 - prakhar	ssss	Outbound	24/08/2012	24/08/2012	100	EUR	No	AARSC
<input checked="" type="checkbox"/>	Submitted	120003800001001 - prakhar	testonsite	Outbound	24/08/2012	24/08/2012	100	EUR	No	AFSGN
<input type="checkbox"/>	Submitted	120003800001001 - prakhar	shivani	Outbound	24/08/2012	24/08/2012	100	EUR	No	AARSC

Delete

To delete a Payment Transaction, do the following:

1. From the Home page, click on Payments tab.
2. Click on View tab. The View Payments screen opens.
3. Search for the payments to be deleted. Select one or more payments to be deleted. Click on the Delete button. The Acknowledgment screen appears. On successful deletion, the status of the payment changes to 'Deleted'.

9.5 Cancel Payment

All the instructions that are sent to Bancs and which are eligible for cancellation will be made visible in Cancel Screen.

To cancel a payment, do the following:

1. From the Home page, click on Payment Initiation tab.
2. Click on Cancel tab. The Cancel Payments screen opens.
3. Search for the payments to be canceled.
4. A cancel button will be provided to the user and once the cancel is selected the payments that are selected for cancellation will be created in "Pending Cancellation" status if the user role is not "Individual" otherwise the status will be "Cancellation Authorized". The transaction needs to be authorized to complete the cancellation process.
5. On this Screen detail of the payment Instruction will be visible along with "Cancel" button through the client reference hyperlink in the result table.

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Home Enquiry File Transfer Trade Initiation **Payment Initiation** Response Initiation Help

View New Authorize **Cancel** Audit

Cancel Payments

Templates

Standing Instruction* No Status Select

Client Reference Cash Account

Order Date From* 13/07/2012 Order Date To* 12/08/2012

Value Date From Value Date To

Search Reset

Results Page : 1 Reorder

<input type="checkbox"/>	Order ID	Status	Cash Account	Client Reference	Payment Type	Value Date	Order Date	Amount	Currency	Standing Instruction	Be
<input type="checkbox"/>	9787	To be Repaired	120003800001002 - prakhar	nausitestoutshee	Outbound	13/07/2012	13/07/2012	456,376	EUR	No	na
<input type="checkbox"/>	9788	To be Repaired	120003710004001 - Client2 CASH3	naushisheet	Outbound	13/07/2012	13/07/2012	4,566	EUR	No	na
<input type="checkbox"/>	9789	To be Repaired	120003770002001 - ps_cash	naushisheet1	Outbound	13/07/2012	13/07/2012	4,376	EUR	No	na

Cancel

9.6 Audit Payment

Audit allows the user in viewing audit related information of the instruction created (i.e. from creation of record in Channels to submission of response to Bancs) and canceled (i.e. from creation of cancellation request in Channels to submission of cancellation request to Bancs).

User depending upon the role will be able to view all actions performed on the instruction

The user can audit one or more trade orders on which any one of "AUTHORIZE", "CANCEL", "CREATE", "DELETE", "REJECT", "SUBMIT" operations has been performed.

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Home Enquiry File Transfer Trade Initiation **Payment Initiation** Response Initiation Help

View New Authorize Cancel **Audit**

Payment Audit

Templates

Client Reference User Id TCS-GUIDE Cash Account Action Select

Audit Date From* 06/10/2012 Audit Date To* 12/10/2012

Execution Date From Execution Date To

Search Reset

Results Page : 1 Reorder

Client Reference	Audit Date	Action	Action Performed by
test	12/10/2012 11:04:07	CREATE	TCS-GUIDE

To cancel a payment, do the following:

1. From the Home page, click on Payments tab.
2. Click on Audit tab. The Payment Audit screen opens.

9.6.1 Audit - Field Reference

Client Reference	The Client Reference of the Payment, either specified by the user (while creating the response), or generated by system.
Cash Account	Account associated to user where money is deposited to buy securities.
User Id	Id of the user who has performed the action.
Action	It lists action performed for a particular payment or instruction. A drop down list will be provided for all the possible actions on an instruction like create, delete, authorize, reject, and cancel.

9.6.1.1 View Payment Audit Details

To view the Payment Audit Details, do the following:

1. From the home page, click on the Payments tab.
2. Click on the Audit tab. The Payment Audit Details screen opens.

Payment Audit Details

Client Reference: test Audit Date: 12/10/2012 11:04:07

Action: CREATE Action Performed by: TCS-GUIDE

Results

Field Name	Previous Value	Current Value
Payment Type		Outbound
Payment Product		Bank Transfer
From Cash Account		120003800001002
Payment Status		Pending Authorization
Value Date		12/10/2012
Order Date		12/10/2012
Related Reference		
Amount		12000
Currency		EUR
Standing Instruction		No
To Cash Account		120998456000001
To Account Name		mary
To Account Address		

Close

Client Reference	The Client Reference of the Response, either specified by the user (while creating the response), or generated by system.
Action	This will list action performed for a particular response or instruction. A drop down list will be provided for all the possible actions on an instruction like create, delete, authorize, reject, and cancel.
Audit Date	Date on which action has been performed.
Action Performed By	Org Id -User Id of the user who has performed action on that instruction will be displayed here.
Field Name	Name of the field of transaction for which changes have been done.
Previous Value	The previous value of the transactions fields.
Current Value	The current value of the transaction fields.

9.7 Payment Enquiry

Payments Enquiry allows the user to view payment transactions, which in turn provide detailed payment transactions. Payments Enquiry has two sections, Payments Enquiry and the Result section. User specifies a search criterion on the Payments Enquiry Section and presses the Search button to view the corresponding outcome in the Results section.

9.7.1 Payment Enquiry - Field Reference

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement **Payment** Cash Balance CA/Proxy Sec Movement

Payments Enquiry Securities Identifier Scheme ISIN

Templates

Standing Instruction: No

Client Reference:

Status: Select

Value Date From: 05/08/2012

Value Date To: 05/09/2012

Order Date From:

Order Date To:

Cash Account:

Search Reset

Results Page: 1+ Reorder

Order ID	Value Date	Order Date	Client Reference	Cash Account	Status	Payment Type	Currency	Amount
1082	05/09/2012	05/09/2012	sofsdsof	120003800001001 - prakhar srivastava	To be Repaired	Internal Transfer	EUR	32,424
9795	24/08/2012	24/08/2012	testjyotiwar	120003770002001 - ps_cash	To be Repaired	Outbound	EUR	2,345
9796	24/08/2012	24/08/2012	testshivabni	120003800001001 - prakhar	To be Repaired	Outbound	EUR	1,456
9797	24/08/2012	24/08/2012	testR78o	120003770002001 - ps_cash	To be Repaired	Outbound	EUR	23,456
9798	24/08/2012	24/08/2012	tsconsite	120003770002001 - ps_cash	To be Repaired	Outbound	EUR	1,234
9800	24/08/2012	24/08/2012	tsconsitereg	120003800001001 - prakhar	To be Repaired	Outbound	EUR	234
9801	24/08/2012	24/08/2012	testyouthjl	120003720001001 - CLIENT3 CASH1	To be Repaired	Outbound	EUR	12,345
9802	24/08/2012	24/08/2012	testshivani	120003800001001 - prakhar	To be Repaired	Outbound	EUR	1,000

Client Reference This will be reference number associated with respective transaction. User can also search upon the client reference which was put at the time of creation of payment instruction.

Status Status of the payments.

Value Date It is the date on which the payment is received in the account. User can specify the range of Value date. A calendar button is also provided for selecting the dates, rather than typing them. These will be the mandatory fields.

Order Date It is the date on which the payment is initiated or captured in the system. User can specify the range of Order date. A calendar button is also provided for selecting the dates, rather than typing them.

Cash Account. This is the account of the user from where the money is transferred. User can directly put the Cash Account reference or can use the search pop-up to search on this field. It will be non-mandatory.

9.7.2 View Payments Enquiry

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 05 Oct 12 08:30hrs GMT Time | Logout

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement **Payment** Cash Balance CA/Proxy Sec Movement

Payments Enquiry Securities Identifier Scheme ISIN

Templates

Standing Instruction:

Client Reference:

Value Date From*:

Order Date From:

Cash Account:

Status:

Value Date To*:

Order Date To:

Search Reset

Results Page: 1+ Reorder

Order ID	Value Date	Order Date	Client Reference	Cash Account	Status	Payment Type	Currency	Amount
1082	05/09/2012	05/09/2012	sofsosfsof	120003800001001 - prakhar srivastava	To be Repaired	Internal Transfer	EUR	32,424
9795	24/08/2012	24/08/2012	testjyotiuar	120003770002001 - ps_cash	To be Repaired	Outbound	EUR	2,345
9796	24/08/2012	24/08/2012	testshivabni	120003800001001 - prakhar	To be Repaired	Outbound	EUR	1,456
9797	24/08/2012	24/08/2012	testR78o	120003770002001 - ps_cash	To be Repaired	Outbound	EUR	23,456
9798	24/08/2012	24/08/2012	tsosnile	120003770002001 - ps_cash	To be Repaired	Outbound	EUR	1,234
9800	24/08/2012	24/08/2012	tsosnilerag	120003800001001 - prakhar	To be Repaired	Outbound	EUR	234
9801	24/08/2012	24/08/2012	testyouthl	120003720001001 - CLIENT3 CASH1	To be Repaired	Outbound	EUR	12,345
9802	24/08/2012	24/08/2012	testshivani	120003800001001 - prakhar	To be Repaired	Outbound	EUR	1,000

To view the available Payment Transactions, do the following:

1. From the Home page, click on the Payments tab.
2. Click on the Enquiry. The Payment Enquiry screen opens.

9.7.3 Payment Details-Field Reference

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 05 Oct 12 08:30hrs GMT Time | Logout

Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement **Payment** Cash Balance CA/Proxy Sec Movement

Payment Enquiry Details X

Payment Details

Payment Type	Internal Transfer	Payment Product	
Client Reference	testinternal	Order ID	1065
Value Date	14/08/2012	Order Date	14/08/2012
Amount	234	Currency	EUR
Status	Cancelled	Related Reference	

From Account

From Cash Account	120003800001002 - prakhar srivastava
-------------------	--------------------------------------

To Account

To Cash Account	120003720001001 - CLIENT3 CASH1
-----------------	---------------------------------

Name	Address
------	---------

Account With Institution	BIC Correspondent Beneficiary
Description	Client To Bank Info
Standing Monthly Instruction	Frequency

Close

Payment Details:

Payment Product	It can be "Customer Application" and "Bank Application".
Canc. Related Reference	It is the client reference number of payment for which cancellation request has been generated.
Client Reference	The 16-character transaction reference number.
Payment Type	It indicates the type of payment instruction initiated. It can be "Outbound", "Inbound" and "Internal Transfer".
Value Date	It is the date of credit of realization of payment initiated
Order Date	It is the date on which payment is initiated.
Amount	Indicates Amount to be credited or debited.
Currency	Indicates the currency entered while initiating payment.
Related Reference.	It is reference number associated with Inbound Payment.
Status	Indicates status of the payment order.

From Account:

From Cash Account	It indicates debit account of the user.
-------------------	---

To Account:

Name	Name of the Beneficiary.
Standing Instruction	It indicates the instruction given by account holder to bank to make payment on regular interval to the same payee. It can be "Yes" or "No"
Account with Institution	This field identifies the financial institution, other than the Sender or Receiver, at which the beneficiary of money has been or is to be credited with the amount claimed.
BIC Correspondent Beneficiary	Beneficiary associated with BIC.
To Cash Account	It indicates credit account of the user.
Description	It indicates extra information given by sender to financial institution.

Address	Address of the Beneficiary
Client To Bank Info.	Remarks entered by the user while initiating payment.

Payment Enquiry Details

Payment Type	Internal Transfer	Payment Product	
Client Reference	testinternal	Order ID	1065
Value Date	14/08/2012	Order Date	14/08/2012
Amount	234	Currency	EUR
Status	Cancelled	Related Reference	
From Account			
From Cash Account	120003800001002 - prakhar srivastava		
To Account			
To Cash Account	120003720001001 - CLIENT3 CASH1		
Name	Address		
Account With Institution			
Description	BIC Correspondent Beneficiary		
Standing Monthly Instruction	No	Client To Bank Info	
		Frequency	

Close

To view the Payment Enquiry details, do the following:

1. From the Home page, click on the Payments tab.
2. Click on the Enquiry tab, the Payments Enquiry screen opens.
3. Search for the required Payments record.
4. Click on the Order ID link of the required payment transaction. The Payments Enquiry Details screen opens.

9.8 Cash Balance

Cash Balance allows the user to view the sum of all the Cash Bookings booked in the Nostro / Vostro Account which have been credited/debited with Value Date of today or earlier for the cash accounts to which he has been provided access through the administration module by the administrator.

Cash Balance has two sections, Cash Balance and Results section.

9.8.1 Cash Balance View-Field Reference

Cash Balance View Securities Identifier Scheme ISIN

Templates

Client Name Securities Account No.

Cash Account Currency

Consolidated By* As Of Date*

Results Page: 1

Client	Securities Account No.	Cash Account	Currency	Confirmed Balance	Unconfirmed Balance	Overdue Unconfirmed	Tc
BP12000380 - prakhar	120003800001 - prakhar	120003800001001 - prakhar	EUR	-4,306,509	0	0	
BP12000380 - prakhar	120003800001 - prakhar	120003800001002 - prakhar	EUR	-43,702,421.2	0	0	
BP12000372 - ABN Client3	120003720001 - CLIENT3 SCA1	120003720001001 - CLIENT3 CASH1	EUR	164,325	0	0	
BP12000371 - Client2	120003710006 - Client2 SCA5	120003710006001 - CASH_6	EUR	45,480,357	-2,164	-2,164	
BP12000371 - Client2	120003710005 - Client2 SCA4	120003710005001 - Client2 Cash4	EUR	16,902,537	0	0	
BP12000371 - Client2	120003710004 - Client2 SCA3	120003710004001 - Client2 CASH3	EUR	-17,595,711	-95	-95	
BP12000371 - Client2	120003710003 - Client2 SCA2	120003710003001 - Client2 Cash2	EUR	455,026	-1,090	-1,090	
BP12000371 - Client2	120003710002 - Client2 SCA1	120003710002001 - Client2 Cash	EUR	1,031,499	0	0	
BP12000368 - ABN Client1	120003680002 - Client1 SCA2	120003680002001 - Client1 SCA2 Cash	EUR	0	800	800	
BP12000368 - ABN Client1	120003680001 - Client1 SCA	120003680001001 - Client1 Cash	EUR	-7,142	460	460	

Client Name	This is a non-mandatory field. If nothing is specified then all the client's attached with the user will be shown. User is also given a search popup for searching on the basis of client name. After client is provided the search result will be only for this client.
Securities Account No.	This is the securities account number of the client which is tagged to the application user will be displayed for selection.. Selection of this account will be optional. The user is provided with a search popup screen from where the SCA which is tagged to the application user will be displayed for selection.
Cash Account	It is Non mandatory field, On selecting an account the currency attached will be automatically populated in Currency field on Cash Balance Screen
Currency	The currency of the cash balance for the selected cash account. If the cash account field is left blank all the cash accounts are displayed.
Consolidated By	User will be shown the cash balances consolidated on the basis of option selected by User. User can see consolidation level on the basis of Cash Account, Client and Securities Account. By default user will be provided with Cash Account Level consolidation.
As Of Date	.It is a mandatory field. By default the current system date will be displayed. It is the value date up-to which all the cash balances of the user are present in the cash account.

9.8.2 View Cash Balance

Cash Balance View Securities Identifier Scheme ISIN

Templates

Client Name:

Cash Account:

Consolidated By:

Securities Account No.:

Currency:

As Of Date:

Results Page: 1

Client	Securities Account No.	Cash Account	Currency	Confirmed Balance	Unconfirmed Balance	Overdue Unconfirmed	Total
BP12000380 - prakhar	120003800001 - prakhar	120003800001001 - prakhar	EUR	-4,308,509	0	0	-4,308,509
BP12000380 - prakhar	120003800001 - prakhar	120003800001002 - prakhar	EUR	-43,702,421.2	0	0	-43,702,421.2
BP12000372 - ABN Client3	120003720001 - CLIENT3 SCA1	120003720001001 - CLIENT3 CASH1	EUR	164,325	0	0	164,325
BP12000371 - Client2	120003710005 - Client2 SCA5	120003710005001 - CASH_5	EUR	45,460,357	-2,164	-2,164	43,296,193
BP12000371 - Client2	120003710005 - Client2 SCA4	120003710005001 - Client2 Cash4	EUR	16,902,537	0	0	16,902,537
BP12000371 - Client2	120003710004 - Client2 SCA3	120003710004001 - Client2 CASH3	EUR	-17,595,711	-95	-95	-17,690,706
BP12000371 - Client2	120003710003 - Client2 SCA2	120003710003001 - Client2 Cash2	EUR	455,026	-1,090	-1,090	453,936
BP12000371 - Client2	120003710002 - Client2 SCA1	120003710002001 - Client2 Cash	EUR	1,031,499	0	0	1,031,499
BP12000368 - ABN Client1	120003680002 - Client1 SCA2	120003680002001 - Client1 SCA2 Cash	EUR	0	800	800	800
BP12000368 - ABN Client1	120003680001 - Client1 SCA	120003680001001 - Client1 Cash	EUR	-7,142	460	460	-6,682

To view the available cash balances, do the following:

1. From the Home page, click on the Payment tab.
2. Click on the Cash Balance link. The Cash Balance view screen opens.

Client Name	It is the name associated with business partner.
Securities Account No.	It is the securities account number of the client. Selection of this account will be optional.
Confirmed Balance	Confirmed Balance till "As Of Date"
Cash Account	It is the account where the total cash balance is present.
Currency	The currency of the cash balance for the selected cash account. If the cash account field is left blank all the cash accounts are displayed.
Confirmed Balance	It is the balance which is confirmed till 'As Of Date'.
Unconfirmed Balance	It is the balance which is unconfirmed till 'As Of Date'.
Total Balance	It is the sum of Confirmed Balances and Unconfirmed Balances.
Overdue Unconfirmed Balance	It is the balance due to confirmed but unsettled movements which are now overdue.

9.8.3 Confirmed Balance View

Clicking on Confirmed Balance hyperlink will open a new window with all the cash movements which are confirmed by 'As Of Date'.

A detail section will be displayed at the top of the screen.

Search Section:

Client Name	It is the name associated with business partner.		
Securities Account No.	It is the securities account number of the client. Selection of this account will be optional.		
Confirmed Balance	It is the balance which is confirmed till 'As Of Date'.		
Cash Account	It is the account where the total cash balance is present.		
Currency	The currency of the cash balance for the selected cash account. If the cash account field is left blank all the cash accounts are displayed.		
Value Date From/To	It is the date on which the payment is received in the account. User can specify the range of Value date. A calendar button is also provided for selecting the dates, rather than typing them.		
Opening Balance	The balance at the beginning of the value date from.		
Transaction Type	Indicates the type of transaction.		

Result Section:

Transaction Type	The transaction type selected by the user.		
Client Reference	This will be reference number associated with respective Transaction.		
Securities Number	This is the issue number or securities number in preferred numbering scheme in cash movements have been generated due to CA or Trade.		
Securities Name	This is the issue name or securities name in cash movements have been generated due to CA or Trade.		
Value date	It is the date on which the payment is received in the account.		
Order Date	It is the date on which the payment is initiated.		
Amount	This will be the amount which is pending or anticipated		
Running Balance	This column will show the balance against each movement after considering Debit or Credit indicator.		

Component Type	This field describes the amount. All the movements will be grouped by component type so that clubbing of charges can be done.
----------------	---

9.8.3.1 View Confirmed Balance

To view the Confirmed Balance, do the following:

1. From the Home page, click on the Payments tab.
2. Click on the Cash Balance tab, the Cash Balance screen opens.
3. Enter the required search criteria to display the results.
4. Click on the Confirmed Balance hyperlink for the detailed view. The Confirmed Balance View screen opens.

Confirmed Balance View

Client: BP10001170-JPMC Securities Account No.: 100011700001-CIWCUST007
 Cash Account: 123456790 - ACEURO Currency: BRL
 Value Date From*: 28/09/2010 Value Date To*: 27/10/2010
 Transaction Type: Select Opening Balance: 100,000.00
 Confirmed Balance: 100,000.00

Search Reset

Results Page: 1 Reorder

Transaction Type	Client Reference	Securities Number	Securities Name	Value Date	Order Date	Amount	Running Balance	Component Type
Payments	33421	NL007302	Alex	27/10/2010	27/10/2010	8,854.00	78,854.00	Charges without VAT
Settlements	33422	NL007302	Alex	27/10/2010	27/10/2010	8,854.00	78,854.00	Charges with VAT
Corporate Actions	33423	NL007302	Alex	27/10/2010	27/10/2010	8,854.00	78,854.00	Tax

Close

9.8.4 Unconfirmed Balance View

Clicking on Unconfirmed Balance hyperlink will open a new window with all the cash movements which are pending by 'As Of Date'.

A detail section will be displayed at the top of the screen

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment Cash Balance Future Cash CA/Proxy Sec Movement

Unconfirmed Balance View

Client BP11000353 - ABN AMRO BANK N.V. Cash Account 110003530001001 - ABN CASH 1

Securities Account No. 110003530001 - ABN SCA 1 Currency EUR

Transaction Type Days

As of Date 12/06/2012 Unconfirmed Balance 127,271,935.2

Results

Page : 1+

Transaction Type	Client Reference	Securities Number	Securities Name	Value Date	Order Date	Amount	Component Type
Receive Deliver Order	21510	NL0000303600	ING Eur1	12/04/2012	06/04/2012	-1,000	Principal
Receive Deliver Order	20559	FR0010688259	ABN AMRO Security	08/03/2012	07/03/2012	-75	Principal
Reversal/Receive Deliver Order	20559	FR0010688259	ABN AMRO Security	08/03/2012	05/03/2012	75	Principal
Receive Deliver Order	20556	FR0010688259	ABN AMRO Security	05/03/2012	05/03/2012	-75	Principal
Receive Deliver Order	20555	FR0010688259	ABN AMRO Security	05/03/2012	05/03/2012	-75	Principal
Reversal/Receive Deliver Order	20555	FR0010688259	ABN AMRO Security	05/03/2012	05/03/2012	75	Principal
Receive Deliver Order	20521	FR0010688259	ABN AMRO Security	05/03/2012	05/03/2012	-77	Principal
Receive Deliver Order	20519	FR0010688259	ABN AMRO Security	08/03/2012	05/03/2012	-77	Principal

Search Section:

Client	This is name associated with business partner.
Cash Account	This is the issue number or securities number in preferred numbering scheme in case movements have been generated due to CA or Trade.
Securities Account No.	It is the securities account number of the client.
Currency	Currency of the cash balance.
Transaction Type	This will be a drop-down which user can select the values from.
Days	User can put the no. of days for which he wants to see the projection or detail of unconfirmed movements.
As Of Date	It is the date up-to which all the cash balances of the user are present in the cash account. By default, the current system date will be displayed.
Unconfirmed Balance	It is the balance which is pending till 'As of date'.

Result Section:

Transaction Type	The transaction type selected by the user.
Client Reference	This will be reference number associated with respective Transaction.
Securities	This is the issue number or securities number in preferred numbering

Number	scheme in case movements have been generated due to CA or Trade.
Securities Name	This is the issue name or securities name in case movements have been generated due to CA or Trade.
Value date	It is the date on which the payment is received in the account.
Order Date	It is the date on which the payment is initiated.
Amount	This will be the amount which is pending or anticipated
Component Type	This field describes the amount. All the movements will be grouped by component type so that clubbing of charges can be done.

9.8.4.1 View Unconfirmed Balance

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment **Cash Balance** Future Cash CA/Proxy Sec Movement

Unconfirmed Balance View

Client BP11000353 - ABN AMRO BANK N.V. Cash Account 110003530001001 - ABN CASH 1
 Securities Account No. 110003530001 - ABN SCA 1 Currency EUR
 Transaction Type Days
 As of Date 12/06/2012 Unconfirmed Balance 127,271,935.2

Search Reset

Results Page: 1+ Reorder

Transaction Type	Client Reference	Securities Number	Securities Name	Value Date	Order Date	Amount	Component Type
Receive Deliver Order	21510	NL0000303600	ING Eur1	12/04/2012	06/04/2012	-1,000	Principal
Receive Deliver Order	20559	FR0010688259	ABN AMRO Security	08/03/2012	07/03/2012	-75	Principal
Reversal:Receive Deliver Order	20559	FR0010688259	ABN AMRO Security	08/03/2012	05/03/2012	75	Principal
Receive Deliver Order	20556	FR0010688259	ABN AMRO Security	05/03/2012	05/03/2012	-75	Principal
Receive Deliver Order	20555	FR0010688259	ABN AMRO Security	05/03/2012	05/03/2012	-75	Principal
Reversal:Receive Deliver Order	20555	FR0010688259	ABN AMRO Security	05/03/2012	05/03/2012	75	Principal
Receive Deliver Order	20521	FR0010688259	ABN AMRO Security	05/03/2012	05/03/2012	-77	Principal
Receive Deliver Order	20519	FR0010688259	ABN AMRO Security	08/03/2012	05/03/2012	-77	Principal

Close

To view the Unconfirmed Balance, do the following:

1. From the Home page, click on the Payments tab.
2. Click on the Cash Balance link, the Cash Balance screen opens.
3. Enter the required search criteria to display the results.
4. Click on the Unconfirmed Balance hyperlink for the detailed view. The Unconfirmed Balance View screen opens.

9.8.5 Overdue Unconfirmed Balance View

Clicking on Overdue Unconfirmed Balance hyperlink will open a new window with all the cash movements which are overdue by 'As Of Date'.

A detail section will be displayed at the top of the screen.

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

Asset Valuation Holdings Trade Broker Allegement Payment **Cash Balance** CA/Proxy Sec Movement

Overdue Unconfirmed Balance View

Client BP11000353 - ABN AMRO BANK N.V. Securities Account No. 110003530003 - ABN SCA 3

Cash Account 110003530003001 - ABN CASH 3 Currency EUR

Transaction Type As of Date 05/05/2012

Overdue Unconfirmed Balance 17,047

Search Reset

Results Page: 1 Reorder

Transaction Type	Client Reference	Value Date	Order Date	Amount	Securities Number	Securities Name	Component Type
Receive Deliver Order	20128	27/02/2012	27/02/2012	-32	FR0010688259	ABN AMRO Security	Principal
Reversal Receive Deliver Order	20128	27/02/2012	27/02/2012	16	FR0010688259	ABN AMRO Security	Principal
Reversal Receive Deliver Order	20128	27/02/2012	27/02/2012	16	FR0010688259	ABN AMRO Security	Principal
Receive Deliver Order	20078	21/02/2012	27/02/2012	-118	FR0010688259	ABN AMRO Security	Principal
Corporate Action	8011001349002	02/12/2011	02/12/2011	10,565	NL0008033375	AAA AUTO GROUP NV EO -,1	Principal
Receive Deliver Order	4889	01/12/2011	01/12/2011	-500	NL0000303600	ING Eur1	Principal
Receive Deliver Order	4889	01/12/2011	01/12/2011	-500	NL0000303600	ING Groep1	Principal

Close

Search Section:


Client	This is name associated with business partner.
Cash Account	This is the issue number or securities number in preferred numbering scheme in case movements have been generated due to CA or Trade.
Securities Account No.	It is the securities account number of the client.
Currency	Currency of the cash balance.
Transaction Type	This will be a drop-down which user can select the values from.
Days	User can put the no. of days for which he wants to see the projection or detail of unconfirmed movements.
As Of Date	It is the date up-to which all the cash balances of the user are present in the cash account. By default, the current system date will be displayed.
Overdue Unconfirmed Balance	It is the balance due to confirmed but unsettled movements which are now overdue.

Result Section:

Transaction Type	The transaction type selected by the user.
------------------	--

Client Reference	This will be reference number associated with respective Transaction.
Securities Number	This is the issue number or securities number in preferred numbering scheme in cash movements have been generated due to CA or Trade.
Securities Name	This is the issue name or securities name in cash movements have been generated due to CA or Trade.
Value date	It is the date on which the payment is received in the account.
Order Date	It is the date on which the payment is initiated.
Amount	This will be the amount which is pending or anticipated
Component Type	This field describes the amount. All the movements will be grouped by component type so that clubbing of charges can be done.

9.8.5.1 View Overdue Unconfirmed Balance


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[Asset Valuation](#)
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[Trade](#)
[Broker Allegement](#)
[Payment](#)
[Cash Balance](#)
[CA/Proxy](#)
[Sec Movement](#)

Overdue Unconfirmed Balance View

Client	BP11000353 - ABN AMRO BANK N.V.	Securities Account No.	110003530003 - ABN SCA 3
Cash Account	110003530003001 - ABN CASH 3	Currency	EUR
Transaction Type	<input type="text" value="Select"/>	As of Date	05/05/2012
Overdue Unconfirmed Balance	17,047		

Results
Page: 1 Reorder

Transaction Type	Client Reference	Value Date	Order Date	Amount	Securities Number	Securities Name	Component Type
Receive Deliver Order	20128	27/02/2012	27/02/2012	-32	FR0010688269	ABN AMRO Security	Principal
Reversal Receive Deliver Order	20128	27/02/2012	27/02/2012	16	FR0010688269	ABN AMRO Security	Principal
Reversal Receive Deliver Order	20128	27/02/2012	27/02/2012	16	FR0010688269	ABN AMRO Security	Principal
Receive Deliver Order	20078	21/02/2012	27/02/2012	-118	FR0010688269	ABN AMRO Security	Principal
Corporate Action	8011001348002	02/12/2011	02/12/2011	10,565	NL0006033375	AAA AUTO GROUP NV EO -,1	Principal
Receive Deliver Order	4889	01/12/2011	01/12/2011	-500	NL0000303600	ING Eur1	Principal
Receive Deliver Order	4889	01/12/2011	01/12/2011	-500	NL0000303600	ING Groep1	Principal

To view the Overdue Unconfirmed Balance, do the following:

1. From the Home page, click on the Payments tab.
2. Click on the Cash Balance link, the Cash Balance screen opens.
3. Enter the required search criteria to display the results.
4. Click on the Overdue Unconfirmed Balance hyperlink for the detailed view. The Unconfirmed Balance View screen opens.

10 Trade Initiation

The user can create transactions manually from the Trade Initiation tab. The transaction created needs to be authorized so that it can be submitted for further validation before processing at the back end system. The user can also do amendments in the details, or can cancel a transaction.

10.1 Transaction List -Field Reference

Search Section

Canc. Related Reference	Its is the client reference number of trade for which cancellation request has been generated.
Client Reference	The 16-character transaction reference number.
Counterparty	Name of the receiver or deliverer of securities for the order.
Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Place of Settlement	The location where securities are kept and settlement takes place.
Securities Account No.	It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Canc. Related Reference	Its is the client reference number of trade for which cancellation request has been generated.
Settlement Date From / To	User can specify the range of Settlement Date in dd/mm/yyyy format. A calendar button is also provided for selecting the dates, rather than typing them.
Trade Date From / To	User can specify the range of Trade Date in dd/mm/yyyy format. A calendar button is also provided for selecting the dates, rather than typing them.
Transaction Status	It indicates the status of the transaction. Its possible values are - Unmatched/ Matched/ Canceled/ Settled.

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Home Enquiry File Transfer **Trade Initiation** Payment Initiation Response Initiation Help

View / Amend Audit New Authorize Cancel

Transaction List

Templates

Client Reference

Order Type

Settlement Date From

Securities Account No.

Trade Date From

Counter Party

Advance Search

Transaction Type

Transaction Status

Settlement Date To

Securities Identifier

Trade Date To

Place of Settlement

Search Reset

Results Page : 1- Reorder

<input type="checkbox"/>	Status	Client Reference	Securities Account No.	Securities Name	Securities Identifier	Trade Date	Settlement Date	Settlement Quantity	Settler
<input type="checkbox"/>	Pending Authorization	Idt	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	122	
<input type="checkbox"/>	Pending Authorization	Idttest	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	122	
<input type="checkbox"/>	Pending Authorization	Test	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	123	
<input type="checkbox"/>	Submitted	recoopen7canc	110003530009 - ABN SCA 9	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	11	

Amend Replicate Delete

Result Section

Authorized By	The Authorizes name appears. Only shown in the result section of View/Amend tab.
Canc. Related Reference	It is the client reference number of trade for which cancellation request has been generated.
Client Reference	The 16-character transaction reference number.
Counterparty	Name of the receiver or deliverer of securities for the order.
Created By	The Creators name appears. Only shown in the result section of View/Amend tab.
File Name	Shows Manual in case if the Transaction has been created from the application else shows the file name.
Place of Settlement	The location where securities are kept and settlement takes place.
Securities Account No.	It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Security's Short Name if the Security associated with the trade order is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.
Settlement Amount	The amount of cash to be settled between the client and the counter party.

Settlement Date	Date of settlement of trade.
Settlement Quantity	The quantity to be settled between the client and the counter party as specified in the order.
Trade Date	The date of trade.
Transaction Status	It indicates the status of the transaction. Its possible values are - Unmatched/ Matched/ Can celled/ Settled.
Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Status	It indicates the status of the transaction. Its possible values are - Unmatched/ Matched/ Can celled/ Settled.

10.1.1 Transaction Details - Field References

Transaction Details provides the user with a view of the transaction. The user clicks on the link of the Client Reference to open the Transaction Details Screen.

Transaction Order Details has five sections, Client Details, Transaction Details, Receiving/Delivering Parties, Settlement Details and Others section.

Transaction Details

Client	It is auto-populated by the Client associated with the selected Security Account.
Order Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
Securities Name	It is the Security's Short Name if the Security associated with the trade order is Equity or Warrants. If the Security is a Debt Instrument, then Long Name is displayed.

Client Details

Client	It is auto-populated by the Client associated with the selected Security Account.
Client Type	
Securities Account Name	It is the long name of the Security Account.

Securities Account No.	It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
Client Cash Account	A traditional securities brokerage account designed strictly for the safekeeping of securities owned by clients and the facilitation of trading.

Settlement Details

Place of Settlement	The location where securities are kept and settlement takes place.
Place of Settlement BIC	It is BIC related to the location where securities are kept and settlement takes place.
Settlement Amount	The amount of cash to be settled between the client and the counter party.
Settlement Currency	Currency for the Settlement Amount.
Settlement Date	Date of settlement of trade.
Settlement Qty Basis	It is auto-populated when the Security Identifier is selected. It is populated as "Unit" if the selected security is Equity or Warrants and "Face Amount" if the selected security is Debt Instrument.
Settlement Quantity	The quantity to be settled between the client and the counter party as specified in the order.
Agent Instructing	ABN agent instructing who will be processing settlement
Agent Account Instructing	Account related to Agent instructing
Trade Date	The date of trade.
Deal Amount	Deal Amount of the trade order.
Deal Currency	Currency of the Deal Amount.
Deal Price	Deal Price of the trade order.

Receiving/Delivering Agents

Buyer / Seller	The unique identifying code assigned to a buyer/seller of security.
Counterparty	Name of the receiver or deliverer of securities for the order.
Receiving /	The unique identifying code and name assigned to a receiver/deliver

Delivering Agent	agent.
Receiving / Delivering Custodian	It is the NCS Receiving / Delivering Custodian name.
Buyer / Seller BIC	It is the BIC related to a buyer/seller of security.
Buyer / Seller Account	It is the account related to the buyer/seller of security.
Receiving / Delivering Custodian Account	It is the account related to the NCS Receiving / Delivering Custodian name.

Others

COBO Indicator	Change of Beneficial Ownership of Security
Selic/Cetip Code	These are the codes related to trade for Brazilian Market. SELIC: Special Settlement and Custody System. CETIP: Center for the Custody and Financial Settlement of Securities
Remarks	Remarks (if any) given while creating a transaction.
STT Payable Indicator	This is the Security Transfer Tax Indicator, which decides whether tax will be levied or not
Internal Reference	Change of Beneficial Ownership of Security
ITR Number	It is Income Tax Return notification Number.

Repo Details

Repo Reference	It is unique reference number sent by client to identify Opening and Closing leg of Repo Trade.
Repo Rate	The rate used to calculate the Repurchase Amount
Secondary Leg Reference	The Reference number sent in by the client for second leg of a Repo Trade.
Leg	It is a drop down with values as "Opening" and "Closing". This will indicate that whether the Trade is Opening leg or a Closing leg of Repo.

Other Details

Registration	It is unique reference number sent by client to identify
--------------	--

Flag	Opening and Closing leg of Repo Trade.	
Stamp Currency	Duty	The rate used to calculate the Repurchase Amount
Stamp Amount	Duty	Reference number sent in by the client for second leg of a Repo Trade.
Special Delivery	Settlement Transactions to be settled with special delivery(Non STP)	
Delivery without Matching	In case of a receipt the settlement is not sent to market. In case of deliver, ABN does not expect a counter instruction from street.	
Physical	It indicates securities to be settled physically.	

10.1.1.1 View Transaction Details

To view the Transaction Details, do the following:

1. From the home page, click on Trade Initiation tab.
2. Click on View / Amend tab. The Transaction List screen opens.
3. Search for the required trade order.
4. Click on the Client Reference of the required record, which is provided as a link, to open the details of the trade. The Transaction Details screen opens

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Home Enquiry File Transfer **Trade Initiation** Payment Initiation Response Initiation Help

View / Amend Audit New Authorize Cancel

Transaction List

Templates

Client Reference

Order Type

Settlement Date From *

Securities Account No.

Trade Date From

Counter Party

Advance Search

Transaction Type

Transaction Status

Settlement Date To *

Securities Identifier

Trade Date To

Place of Settlement

Search Reset

Results Page: 1+ Reorder

<input type="checkbox"/>	Status	Client Reference	Securities Account No.	Securities Name	Securities Identifier	Trade Date	Settlement Date	Settlement Quantity	Settler
<input type="checkbox"/>	Pending Authorization	lct	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	122	
<input type="checkbox"/>	Pending Authorization	lcttest	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	122	
<input type="checkbox"/>	Pending Authorization	Test	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	123	
<input type="checkbox"/>	Submitted	repoopen7canc	110003530009 - ABN SCA 9	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	11	

Amend Replicate Delete

10.1.2 Creating a Transaction

The user can create a new trade order from Create Transaction screen

To create a transaction, do the following:

1. From the home page, click on Trade Initiation tab.
2. Click on New tab. The Create Transaction screen opens.
3. Fill in the required details. Client Reference, Transaction Type, Securities Identifier, Security Account No., Settlement Date, Settlement Quantity and Counterparty are mandatory fields.
4. Enter Remarks, if required.
5. Click on the Submit button. The Transaction Acknowledgment screen opens. Click OK on the Acknowledgment screen to complete the process of trade initiation. The trade order will be created in Pending Authorization status.

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Home Enquiry File Transfer **Trade Initiation** Payment Initiation Response Initiation Help

View / Amend Audit **New** Authorize Cancel

Create Transaction Securities Identifier Scheme ISIN

Transaction Details

Client Reference *

Transaction Type * Order Type *

Securities Identifier * Securities Name *

Client Details

Securities Account No. * Securities Account Name *

Client * Client Type

Settlement Details

Trade Date * Settlement Date *

Settlement Qty Basis * Settlement Quantity *

Settlement Amount Settlement Currency

Place of Safekeeping Deal Currency

Deal Price Deal Amount

Agent Instructing Agent Account Instructing

Place of Settlement * Place of Settlement BIC

Receiving/Delivering Parties

Counter Party * Counter Party ID

Buyer/Seller Buyer/Seller ID

Buyer/Seller Account Receiving/Delivering Custodian BIC

Receiving/Delivering Custodian Investor

Receiving/Delivering Custodian Account Investor Account

Others

Internal Reference

CBO Indicator STT Payable Indicator

10.1.3 Authorizing / Rejecting a Transaction

The user can authorize or reject one or more trade orders in 'Pending Authorization' or 'Pending Cancellation' status, at the same time, from the Authorize Transaction screen.

10.1.3.1.1 To authorize / reject a transaction, do the following:

1. From the home page, click on Trade Initiation tab.
2. Click on Authorize tab. The Authorize Transaction screen opens.
3. Search for the required record(s).
4. Select the required trade(s) and click the Authorize or Reject button.

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Home Enquiry File Transfer **Trade Initiation** Payment Initiation Response Initiation Help

View / Amend Audit New **Authorize** Cancel

Authorize Transaction

Templates

Client Reference:

Order Type:

Settlement Date From:

Securities Account No.:

Trade Date From:

Counter Party:

Advance Search

Transaction Type:

Transaction Status:

Settlement Date To:

Securities Identifier:

Trade Date To:

Place of Settlement:

Search Reset

Results Page: 1 | Reorder

<input type="checkbox"/>	Status	Client Reference	Securities Account No.	Securities Name	Securities Identifier	Trade Date	Settlement Date	Settlement Quantity	Settle
<input type="checkbox"/>	Pending Authorization	Idt	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	122	
<input type="checkbox"/>	Pending Authorization	Idttest	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	122	
<input type="checkbox"/>	Pending Authorization	Test	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	123	
<input type="checkbox"/>	Pending Authorization	testremarks	110003530010 - ABN SCA 10	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	1,223	

Authorize Reject

5. If the user clicks on Authorize, the Acknowledgment screen opens. On successful authorization, the status of the trade changes from 'Pending Authorization' to 'Authorized', or from 'Pending Cancellation' to 'Cancellation Authorized'.
6. If the user clicks on Reject, the Acknowledgment screen opens. On successful rejection, the status of the trade order changes from 'Pending Authorization' to 'Rejected', or from 'Pending Cancellation' to 'Cancellation Rejected'.

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Home Enquiry File Transfer **Trade Initiation** Payment Initiation Response Initiation Help

View / Amend New **Authorise** Cancel Audit

Acknowledgement

Client Reference	Status	Success/Failure
TRADE001	Success	Record Authorised Successfully

Ok

10.1.4 Amending a Transaction

The user can amend trade orders in "Pending Authorization" status from the View / Amend Transaction screen.

To amend a transaction, do the following:

1. From the home page, click on Trade Initiation tab.
2. Click on View / Amend tab. The View / Amend Transaction screen opens.
3. Search for the trade to be amended.
4. Select the trade to be amended. Click on the Amend button. The Amend Order screen appears.

5. Edit the required details.
6. Click on Submit button. The Transaction Acknowledgment screen appears.

ABN-AMRO Clearing

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

View / Amend Audit New Authorize Cancel

Settlement Qty Basis *	UNIT	Settlement Quantity *	122
Settlement Amount		Settlement Currency	
Place of Safekeeping		Deal Currency	
Deal Price		Deal Amount	
Agent Instructing		Agent Account Instructing	
Place of Settlement *	BP12000366 - BANQUE NATIONALE	Place of Settlement BIC	NBBEBEBB
Delivering/Receiving Agent			
Counter Party *	BP11000347 - BINCKBANK N.V.	Counter Party ID	BIC - BICKNL2A
Buyer/Seller		Buyer Seller ID	
Buyer Seller Account			
Receiving/Delivering Custodian		Receiving/Delivering Custodian BIC	
Receiving/Delivering Custodian Account			
Investor		Investor Account	
Others			
Internal Reference			
CBO Indicator		STT Payable Indicator	
Exemption Code		Remarks	
ITR Number			
Special			
Registration Flag		Stamp Duty Currency	
Stamp Duty		Stamp Duty Amount	
Special Delivery		Delivery Without Matching	
Physical			

Order Type Receive Free of Payment - Idt has been amended

10.1.5 Cancelling a Transaction

The user can cancel one or more trade status from the Cancel Transaction screen.

To cancel a transaction, do the following:

1. From the home page, click on Trade Initiation tab.
2. Click on Cancel tab. The Cancel Transaction screen opens.

ABN-AMRO Clearing

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

View / Amend Audit New Authorize Cancel

Cancel Securities Identifier Scheme ISIN

Templates

Client Reference

Order Type

Settlement Date From 05/09/2012

Securities Account No.

Trade Date From

Counter Party

Advance Search

Transaction Type

Transaction Status

Settlement Date To 11/09/2012

Securities Identifier

Trade Date To

Place of Settlement

Search Reset

Results Page: 1- Reorder

<input type="checkbox"/>	Status	Securities Account No.	Securities Identifier	Trade Date	Settlement Date	Settlement Quantity	Settlement Amount	Order Type	
<input type="checkbox"/>	Unmatched	110003530009 - ABN SCA 9	ISIN - NL0000046712	03/09/2012	05/09/2012	UNIT 500		Receive Free of Payment	B
<input type="checkbox"/>	To Be Repaired	110003530009 - ABN SCA 9	ISIN - NL0000046712	03/09/2012	05/09/2012	UNIT 200		Receive Free of Payment	B
<input type="checkbox"/>	To Be Repaired	110003530009 - ABN SCA 9	ISIN - NL0000046712	03/09/2012	05/09/2012	UNIT 500		Receive Free of Payment	B
<input type="checkbox"/>	To Be Repaired	110003530009 - ABN SCA 9	ISIN - NL0000046712	03/09/2012	05/09/2012	UNIT 600		Receive Free of Payment	B

Cancel

3. Search for the trade to be canceled.
4. Select one or more trades to be canceled. Click on the Cancel button. The Acknowledgment screen appears, and the status of the transaction changes to 'Pending Cancellation'. The transaction needs to be authorized to complete the cancellation process.

ABN-AMRO Clearing

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Home Enquiry File Transfer Trade Initiation Payment Initiation Response Initiation Help

View / Amend Audit New Authorize Cancel

Acknowledgment

Client Reference	Status	Success/Failure
3356	Success	Cancellation request has been initiated successfully with Cancellation Reference process30

Ok

10.1.6 Deleting a Transaction

The user can delete one or more trade orders in "Pending Authorization" status from the View / Amend Transaction screen.

To delete a transaction, do the following:

1. From the home page, click on Trade Initiation tab.
2. Click on View / Amend tab. The View / Amend Transaction screen opens.

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Home Enquiry File Transfer **Trade Initiation** Payment Initiation Response Initiation Help

View / Amend Audit New Authorize Cancel

Transaction List

Templates

Client Reference:
 Order Type:
 Settlement Date From *:
 Securities Account No.:
 Trade Date From:
 Counter Party:
 Advance Search:

Transaction Type:
 Transaction Status:
 Settlement Date To *:
 Securities Identifier:
 Trade Date To:
 Place of Settlement:

Search Reset

Results Page: 1- Reorder

<input type="checkbox"/>	Status	Client Reference	Securities Account No.	Securities Name	Securities Identifier	Trade Date	Settlement Date	Settlement Quantity	Settler
<input type="checkbox"/>	Pending Authorization	Idt	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	122	
<input type="checkbox"/>	Pending Authorization	Idttest	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	122	
<input type="checkbox"/>	Pending Authorization	Test	110003530001 - ABN SCA 1	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	123	
<input type="checkbox"/>	Submitted	repoopen7cand	110003530009 - ABN SCA 9	ABNDVOP	ISIN - NL0000046712	05/10/2012	05/10/2012	11	

Amend Replicate Delete

3. Search for the trade to be deleted.
4. Select one or more trades to be deleted. Click on the Delete button. The Acknowledgment screen appears. On successful deletion, the status of the trade order changes to 'Deleted'.

ABN-AMRO Clearing You are logged in as TCS-GUIDE @ 15 Oct 12 09:20hrs GMT Time | Logout

Home Enquiry File Transfer **Trade Initiation** Payment Initiation Response Initiation Help

View / Amend Audit New Authorize Cancel

Acknowledgment

Client Reference	Status	Success/Failure
Idttest	Success	Record Deleted Successfully

Ok

10.1.7 Replicating a Transaction

The user can replicate a trade order in "Pending Authorization" or "Authorized" or "Submitted" status from the View / Amend Transaction screen

To replicate a transaction, do the following:

1. From the home page, click on Trade Initiation tab.
2. Click on View / Amend tab. The View / Amend Transaction screen opens.
3. Search for the trade to be replicated.
4. Select the trade to be replicated. Click on the Replicate button. The Acknowledgment screen appears. On successful replication, the status of the trade order changes to 'Pending Authorization'.

5. The same screen appears that is available while creating a new transaction i.e Create Transaction screen.
6. Add button is also available here. Using this functionality user can replicate a trade as many number of times as he wants.

10.1.8 Auditing a Transaction

Audit allows the user in viewing audit related information of the instruction created (i.e. from creation of record in Channels to submission of response to Bancs) and canceled (i.e. from creation of cancellation request in Channels to submission of cancellation request to Bancs).

User depending upon the role will be able to view all actions performed on the instruction

The user can audit one or more trade orders on which any one of "AUTHORIZE", "CANCEL", "CREATE", "DELETE", "REJECT" operations has been performed.

To audit a transaction, do the following:

1. From the home page, click on Trade Initiation tab.
2. Click on Audit tab. The Transaction Audit screen opens.

Audit has two sections, Transaction Audit and the Result section. User specifies a search criterion on the Transaction Audit section and presses the Search Button to view the corresponding outcome in the Results section.

Transaction Audit

Templates

Client Reference:

User Id:

Audit Date From*:

Settlement Date From:

Securities Account No.:

Action:

Audit Date To*:

Settlement Date To:

Results Page: 1 Reorder

Client Reference	Audit Date	Action	Action Performed By
1ct	05/10/2012 09:43:38	Create	TCS-GUIDE
1cttest	05/10/2012 10:03:43	Create	TCS-GUIDE
testremarks	05/10/2012 11:50:10	Create	TCS-GUIDE
Test	05/10/2012 12:05:42	Create	TCS-GUIDE

10.2 Audit - Field Reference

Client Reference	The Client Reference of the Response, either specified by the user (while creating the response), or generated by system.
Securities Account No.	It is the Old Account Id or Security Account Id (if Old Account Id is not present) suffixed with Security Account Long Name.
User Id	Id of the user who has performed the action.
Action	It lists action performed for a particular response or instruction. A drop down list will be provided for all the possible actions on an instruction like create, delete, authorize, reject, and cancel.

10.2.1.1 View Transaction Audit Details

To view the Transaction Audit Details, do the following:

1. From the home page, click on the Trade Initiation tab.
2. Click on the Audit tab. The Transaction Audit Details screen opens.

The screenshot displays the 'Transaction Audit Details' window in the ABN-AMRO Clearing system. The window has a title bar with the ABN-AMRO logo and the text 'ABN-AMRO Clearing'. Below the title bar is a navigation menu with tabs: Home, Enquiry, File Transfer, Trade Initiation (selected), Payment Initiation, Response Initiation, and Help. Under the 'Trade Initiation' tab, there are sub-tabs: View / Amend, Audit (selected), New, Authorize, and Cancel. The main content area shows the following details:

Client Reference	lcttest	Audit Date	10/15/2012 14:50:51
Action	Delete	Action Performed by	TCS-GUIDE

Below these details is a 'Results' section with a table comparing 'Previous Value' and 'Current Value' for various fields:

Field Name	Previous Value	Current Value
Client Reference	lcttest	lcttest
Transaction Status	Pending Authorization	Deleted
Order Type	Receive Free of Payment	Receive Free of Payment
Securities Identifier	NL0000048712	NL0000048712
Securities Name	ABNDVOP	ABNDVOP
Securities Account No	110003630001	110003630001
Securities Account Name	ABN SCA 1	ABN SCA 1
Client	ABN AMRO BANK N.V.	ABN AMRO BANK N.V.
Client Type		
Trade Date	10/05/2012	10/05/2012
Settlement Date	10/05/2012	10/05/2012
Settlement Qty Basis	UNITS	UNITS
Settlement Quantity	122	122

A 'Close' button is located at the bottom right of the window.

10.3 Transaction Audit Details Field Reference

Client Reference	The Client Reference of the Response, either specified by the user (while creating the response), or generated by system.
Action	This will list action performed for a particular response or instruction. A drop down list will be provided for all the possible actions on an instruction like create, delete, authorize, reject, and cancel.
Audit Date	Date on which action has been performed.
Action Performed By	Org Id -User Id of the user who has performed action on that instruction will be displayed here.
Field Name	Name of the field of transaction for which changes have been done.
Previous Value	The previous value of the transaction fields.
Current Value	The current value of the transaction fields.

11 Glossary

A	Asset Valuation	It is a portfolio of settled positions of a client.
B	Bank Reference	It uniquely identifies a settlement/received order created in BaNCS.
	Base Security	This is the security on which a trade is executed.
	BIC Correspondent Beneficiary	Bank Identification Code associated with Beneficiary.
	Blocked Reason	The reason for blocking.
	Broker Allegement Id	The system generates the Broker Allegement ID when the Broker Allegement is received.
C	Client	The Business Partner name associated with the web account.
	Client Reference	It is the reference number of the trade, provided by the user.
	Category	Category of client like institutional, individual, CSM
	Cash Account	Account associated with user where money is deposited to buy securities.
	Component Type	This field describes the amount. All the movements will be grouped by component type so that clubbing of charges can be done.

	Confirmed Balance	It is the balance which is confirmed till 'As Of Date'.
	Counter Party	It identifies the party which will receive or deliver the security.
D	Depository Reference Number	It is the unique reference number of the depository.
E	Eligible Holdings	It is the eligible holding for each custody account for a particular event and eligible security. It is calculated and displayed as of Ex Date/BC Date/Deadline Date.
	Event Reference	It is a 16-character unique Reference Id of the Corporate Action.
	Event Stage	It is the processing stage of the Corporate Action.
	Event Status	It indicates whether an event is approved in NCS Custody or not.
	Event Type	It is the type of Corporate Action announced.
I	Indicative Price	It is the validated security market price used for the purpose of valuation, computation and reporting.
L	Leg	This will indicate that whether the Trade is Opening leg or a Closing leg of Repo.
M	Movement Reason	The movement Reason can be either "Confirmed" or "Unconfirmed"
O	Overview	It is a summary Corporate Actions (including Proxy events).
P	Preferred Number	It is the preferred numbering scheme for a security. It is based on the client preferred numbering scheme mentioned in user profile.
	Payment Account	It is the account from which the payment is to be made.
	Place of Safekeeping	It is the place at which securities are kept.
	Payment Type	It indicates the type of payment initiated-Outbound/Inbound/Internal Transfer.
	Portfolio Currency	It is the preferred currency of the user in which he wants to see his portfolio.
	Pool Reference	Reference number sent by the client to identify constituents of a back to back trade. This field will only appear for transaction type "TURN"
Q	Quantity	The quantity settled between client and the counter party.

R	Reason Code	It will be a non-mandatory field. It will be a drop down list with various status transitions available in the system for Reason Code values which are send to client in Swift message.
	Response/Accepted Quantity	It is the quantity of holdings advised in the response.
	Response Type	It is the Option Type on which a response is created.
	Receiving/Delivery Party	It is the unique name assigned to the receiving/delivery agent of the trade.
	Repo Rate	The rate used to calculate the repurchase amount.
	Response Type	It is the Option Type on which a response is created.
S	Securities Identifier	It is the Security Number as per Client's Preferred Numbering Scheme.
	Security Account	It is the account holding the security for the Business Partner.
	Security Type	It is the type of security - Equity/ Fixed Income.
	Status Code	It will be a non-mandatory field. It will be a drop down list with various status transitions available in the system for Status Code values which are send to client in Swift message.
T	Transaction Type	It indicates the type of transaction. It can be Deliver free of payment, Deliver verses of payment, Receive free of payment, Receive verses of payment.
U	Unconfirmed Balance	It is the balance which is pending till 'As of date'.
	Unmatched	Indicates the number of trades that is unmatched with value date as the current date. It is a hyperlink if this value is greater than zero.
V	Value Date	It is the date of credit of realization of corporate action.